

Direct neutrino mass searches

KATRIN and other approaches

MARE

Neutrino Oscillation Workshop - NOW 2012

PROJECT 8

Guido Drexlin, Institut für Experimentelle Kernphysik

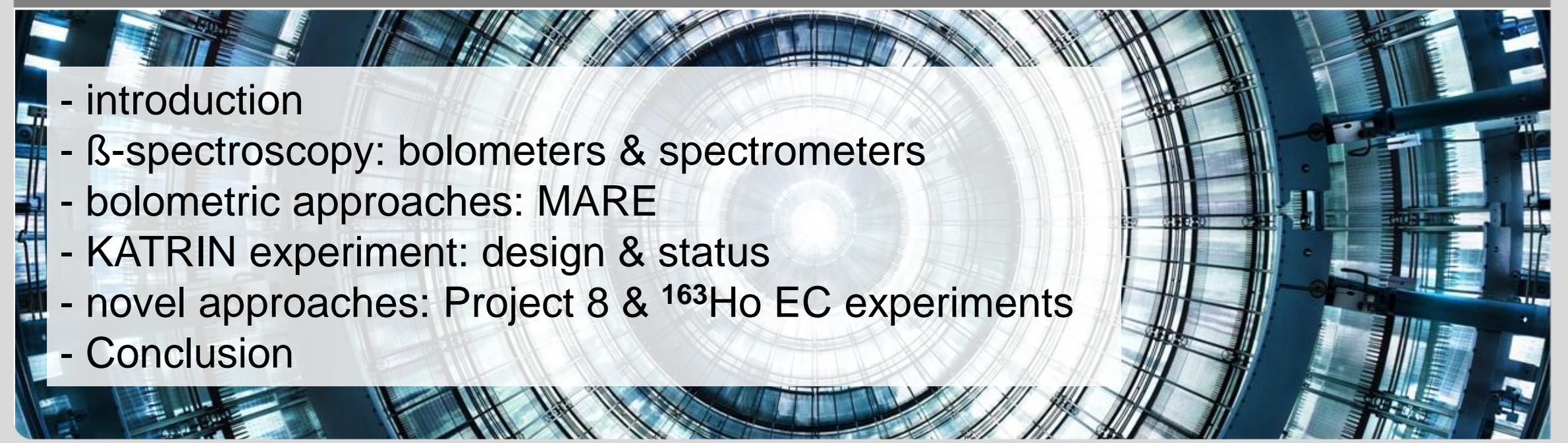


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Neutrino Oscillation Workshop - NOW 2012

Guido Drexlin, Institut für Experimentelle Kernphysik

- 
- introduction
 - β -spectroscopy: bolometers & spectrometers
 - bolometric approaches: MARE
 - KATRIN experiment: design & status
 - novel approaches: Project 8 & ^{163}Ho EC experiments
 - Conclusion



in memoriam

Jochen Bonn

experimental physicist par excellence

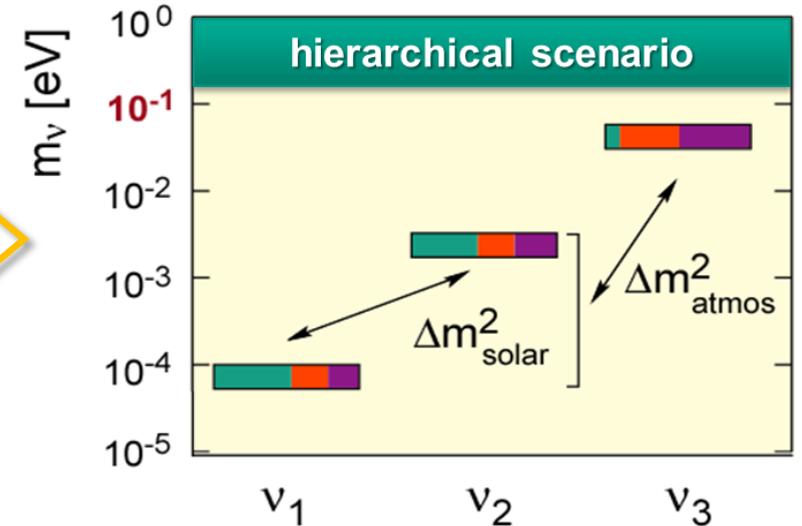
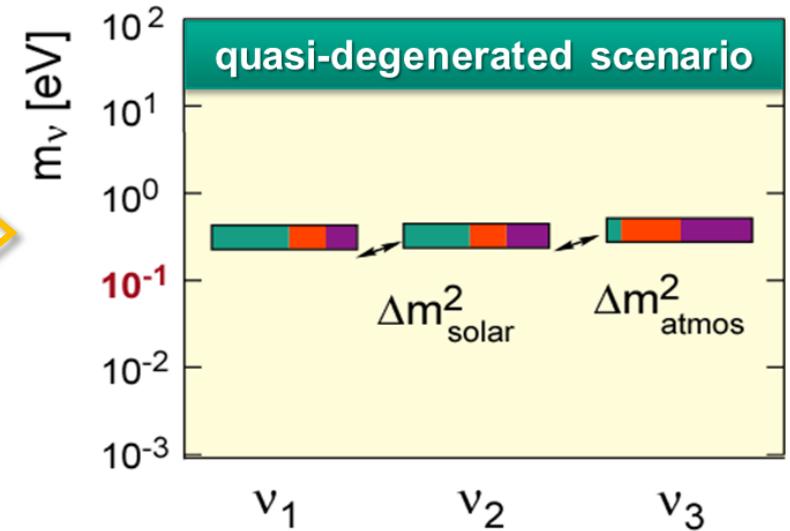
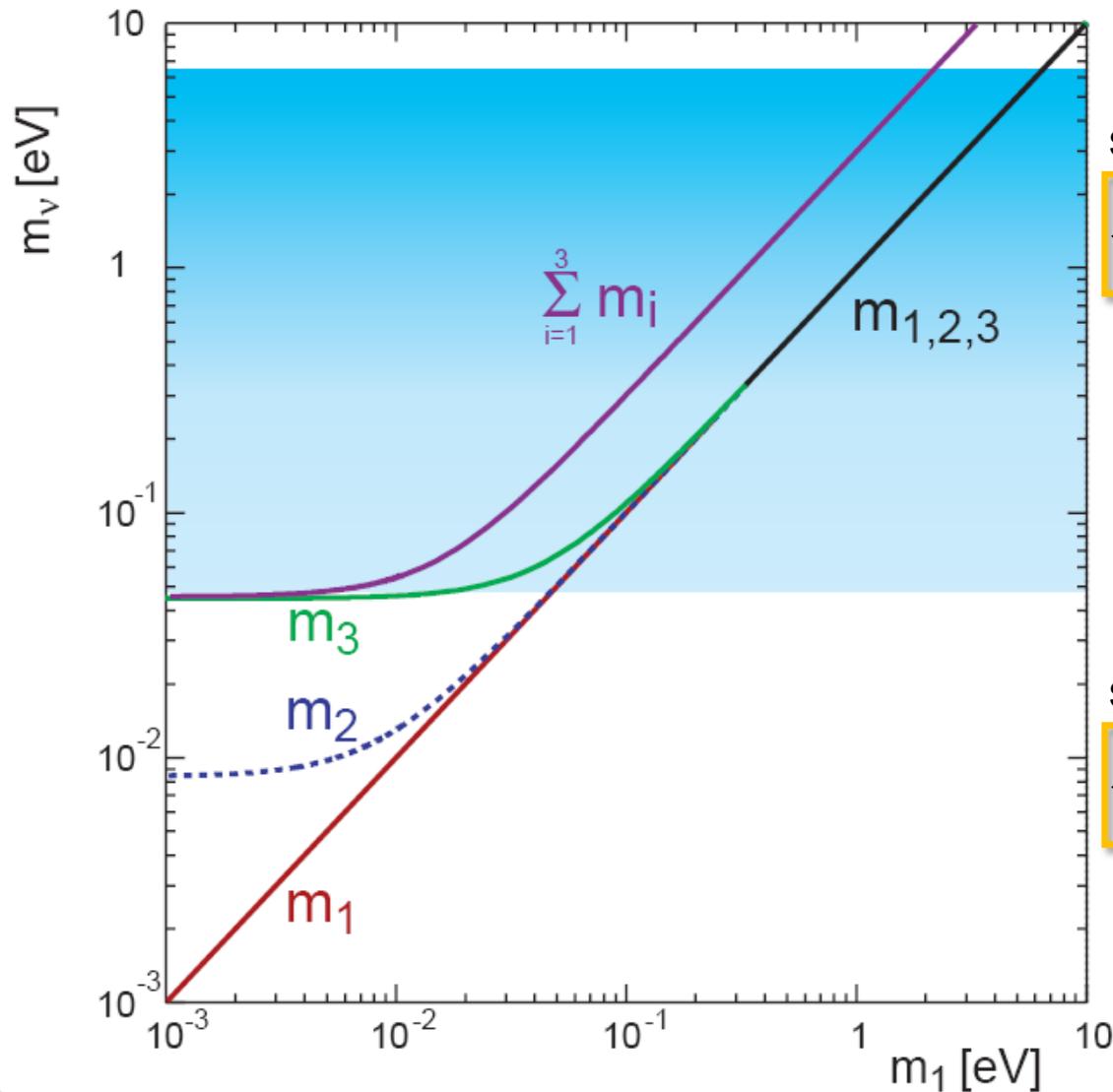
1944 - 2012

Introduction & β -spectroscopy



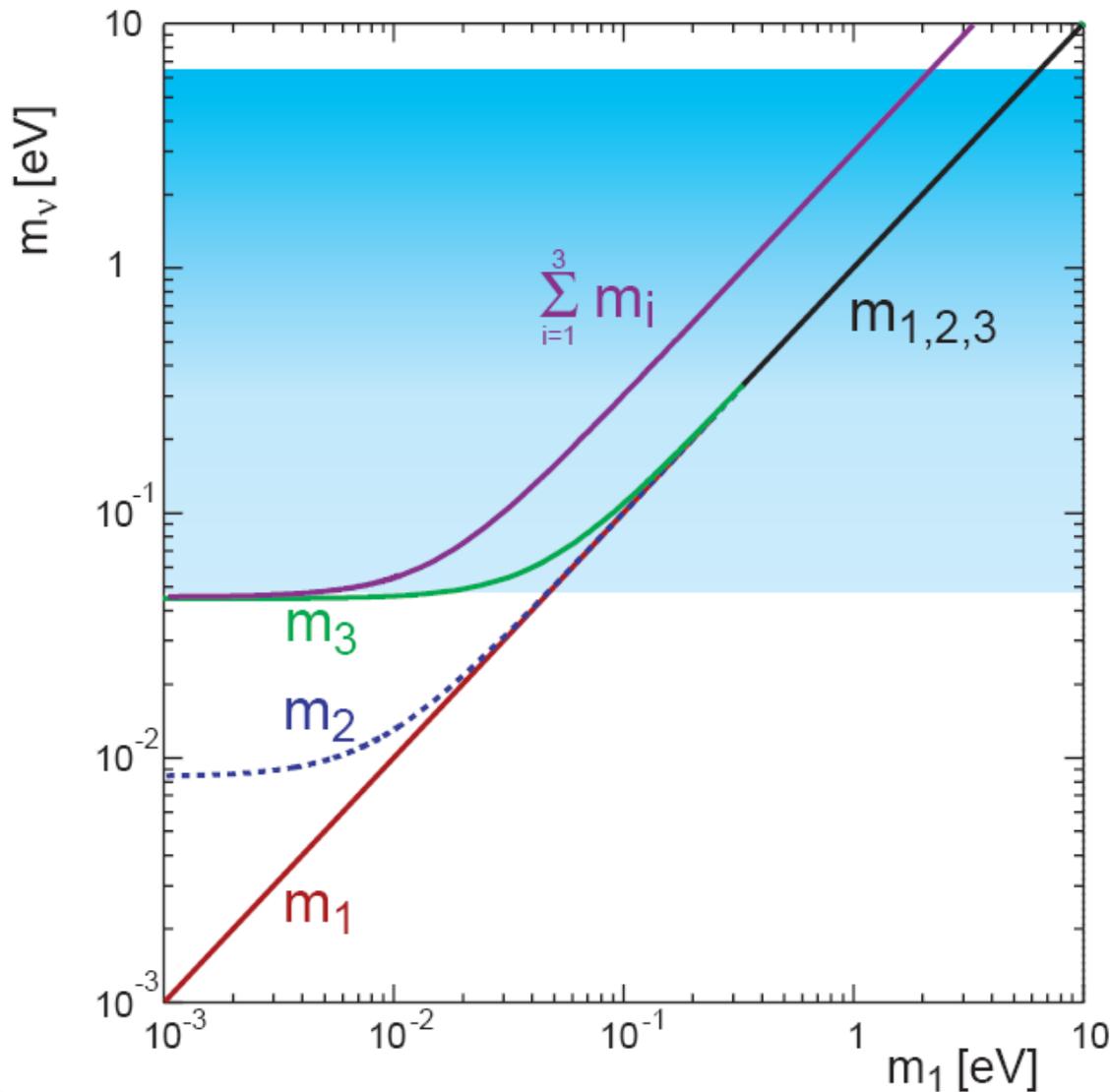
motivation: ν 's in astroparticle physics

particle physics: absolute neutrino mass scale (m_ν)



motivation: ν 's in astroparticle physics

cosmology: role of relic- ν 's as hot dark matter (Ω_ν)



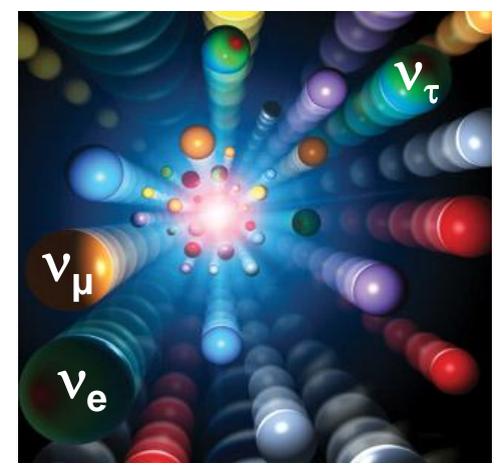
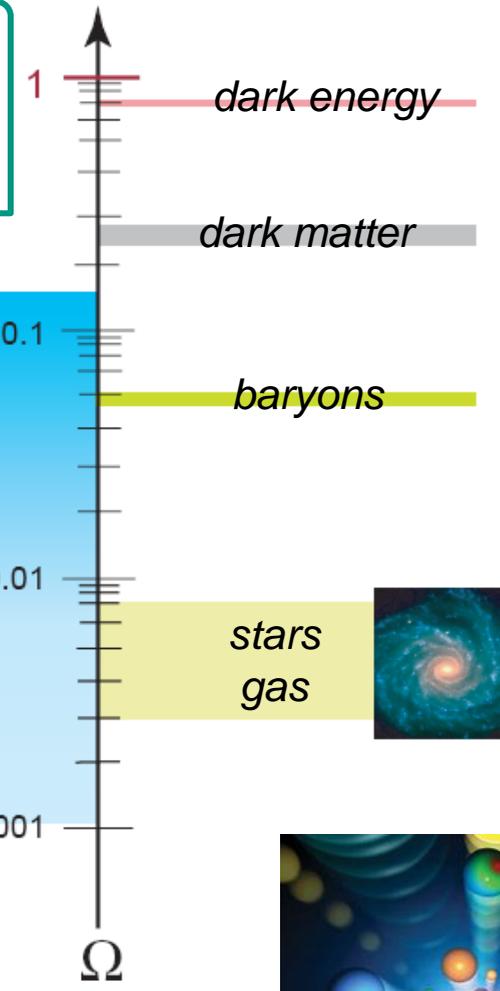
tritium β -decay

$\Sigma m_i < 6.6 \text{ eV (3}\nu\text{)}$

$\Sigma m_i < 0.6 \text{ eV (3}\nu\text{)}$

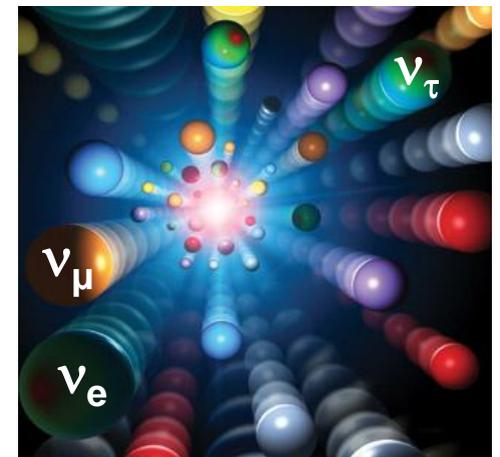
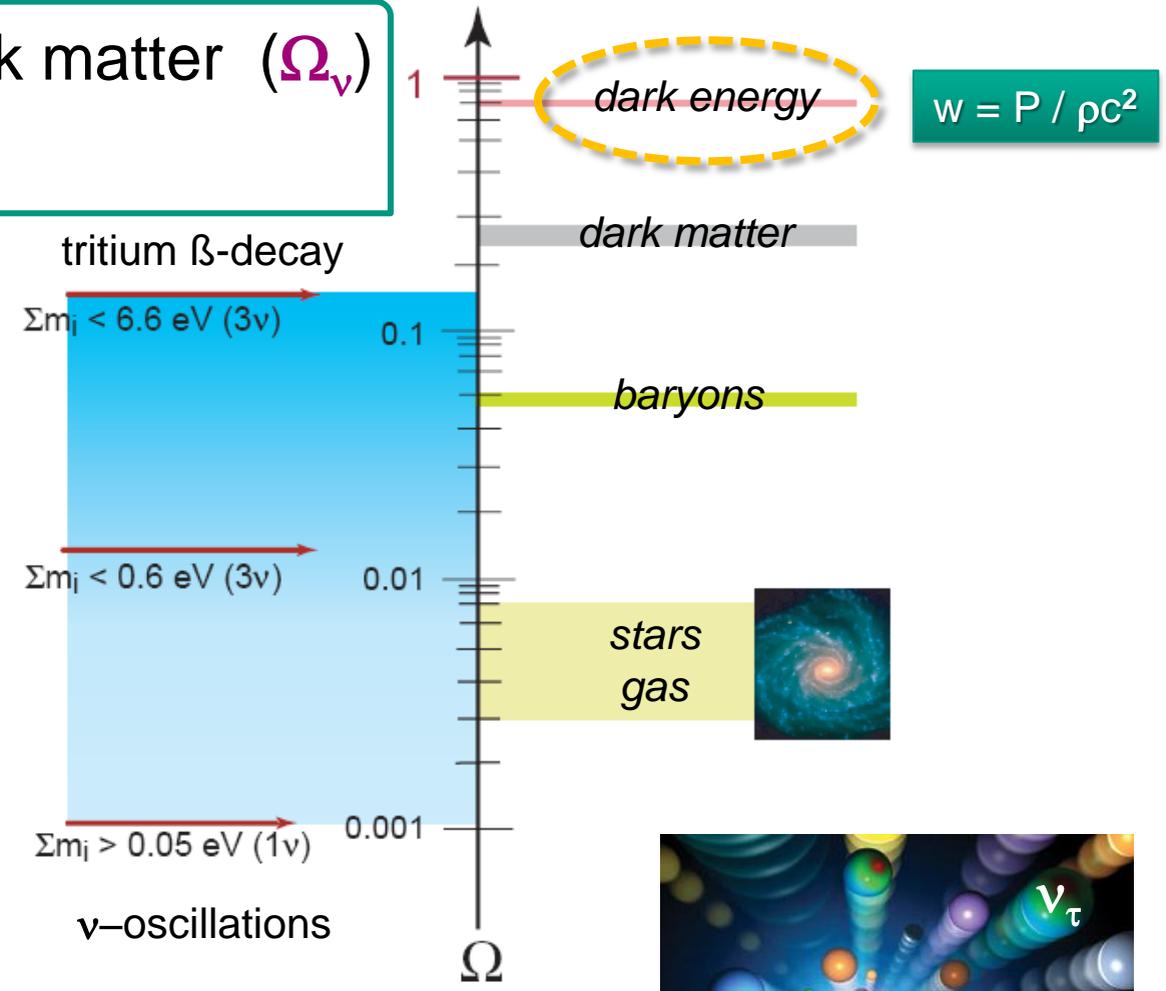
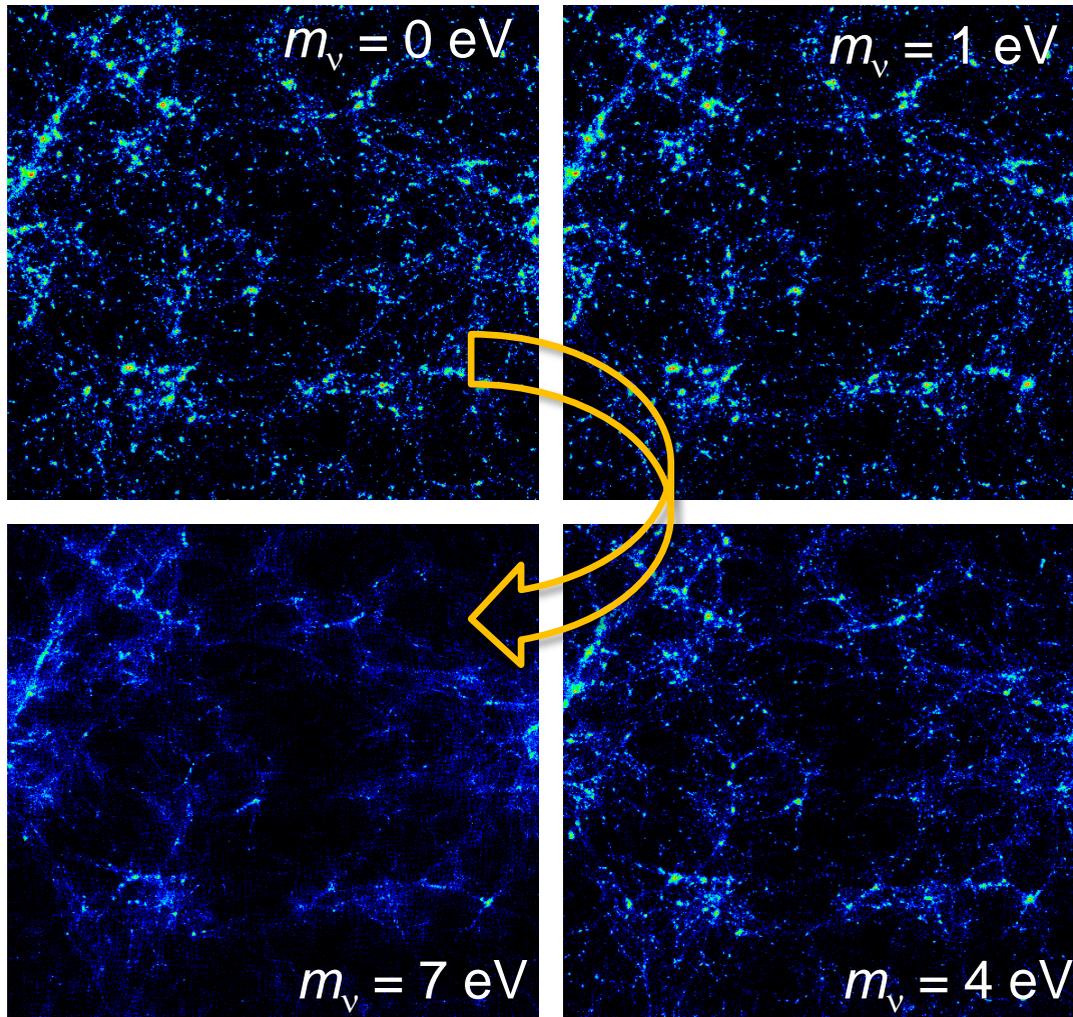
$\Sigma m_i > 0.05 \text{ eV (1}\nu\text{)}$

ν -oscillations



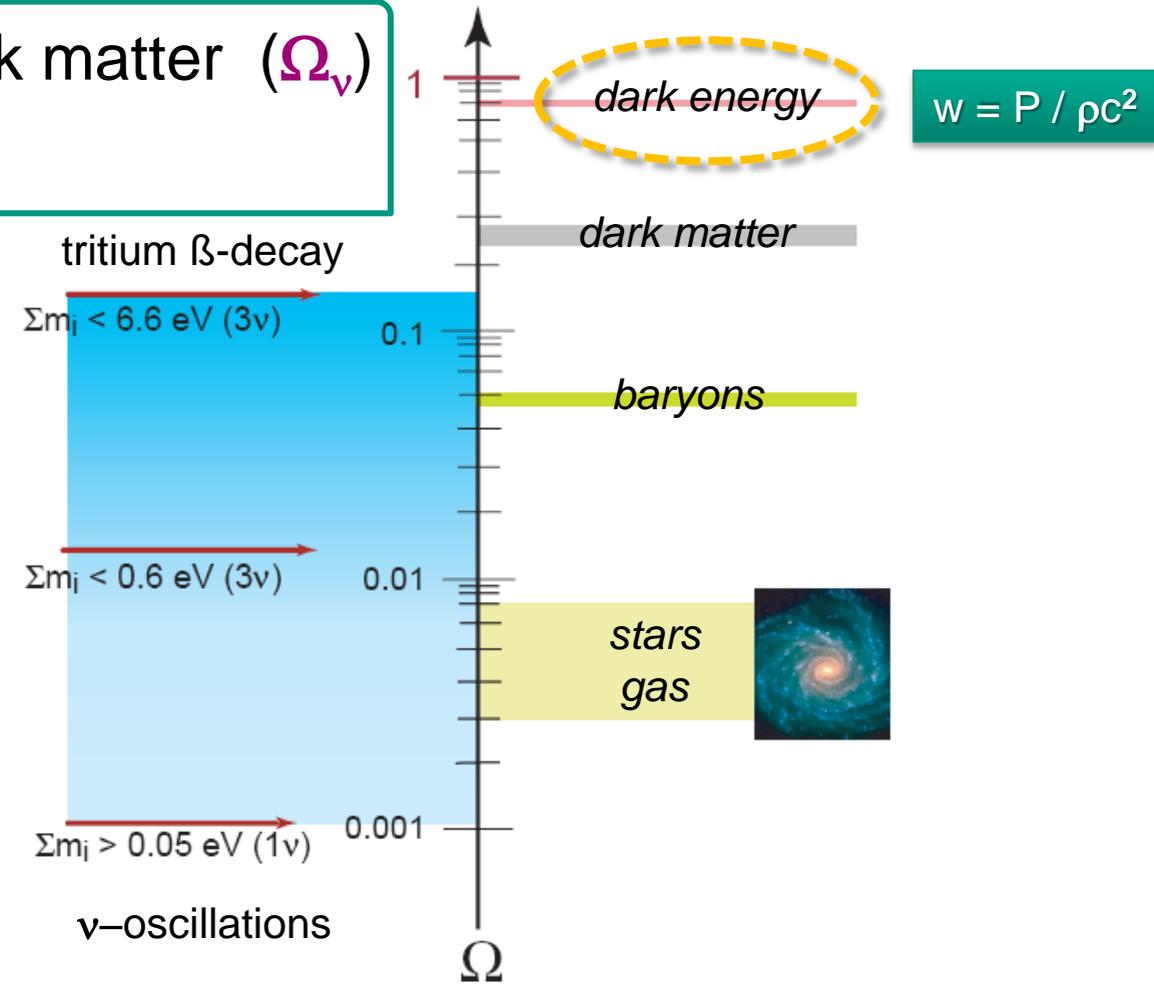
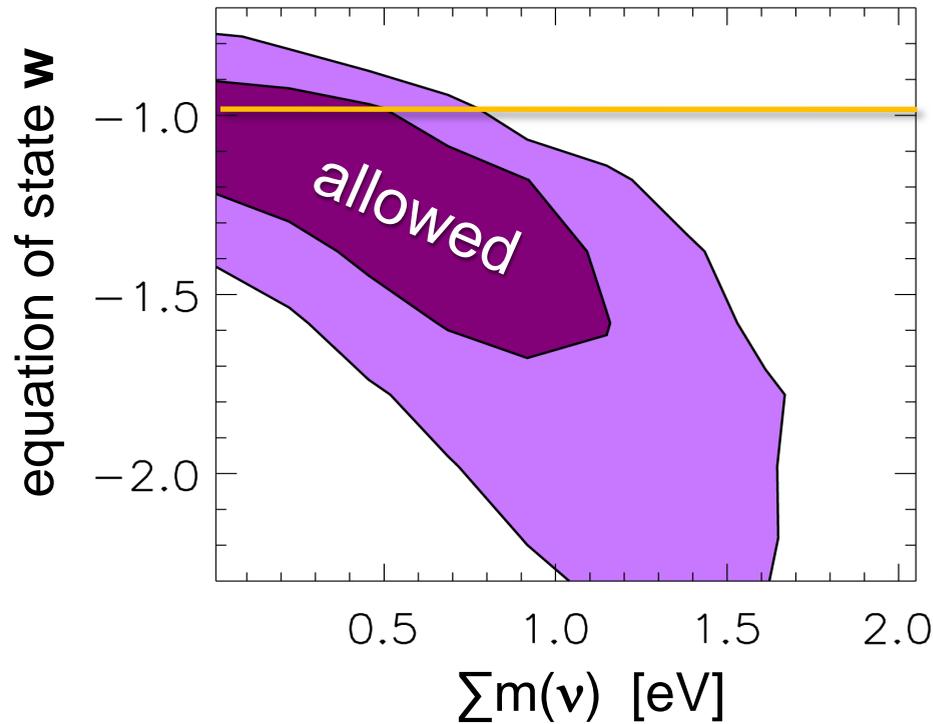
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cosmology: role of relic- ν 's as hot dark matter (Ω_ν)



motivation: ν 's in astroparticle physics

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degeneracy between m_ν and dark energy equation of state w

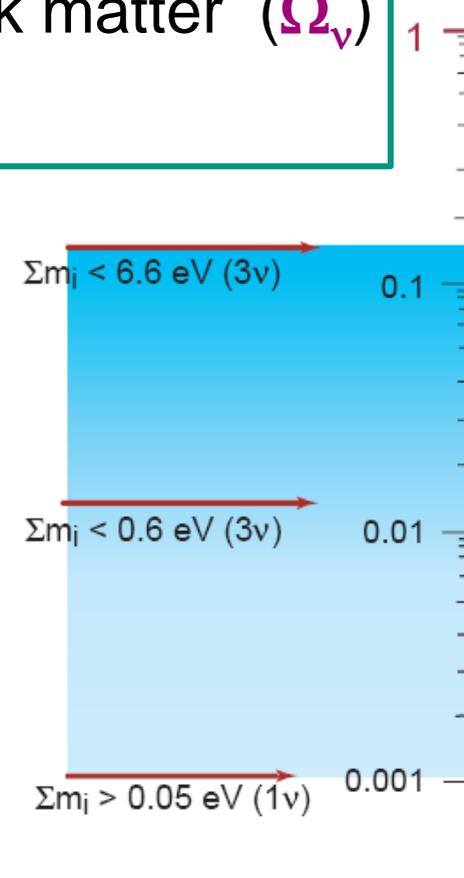
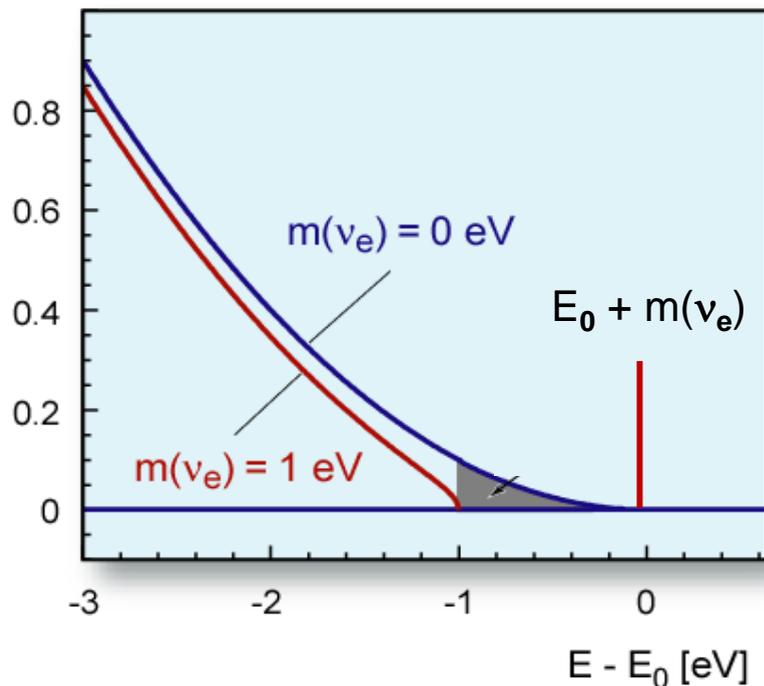
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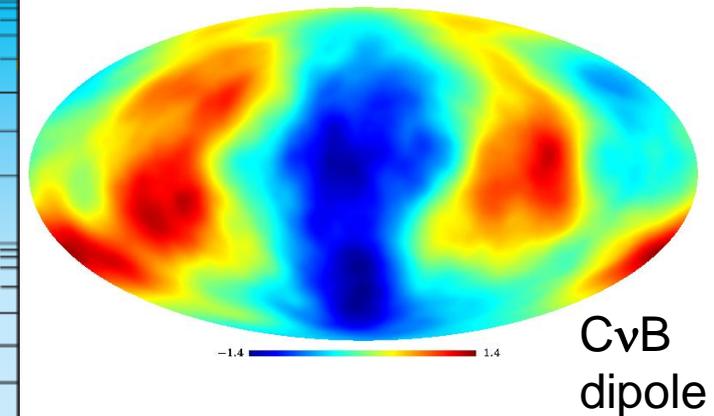
■ idea: capture of relic neutrinos on β -unstable isotope (${}^3\text{H}$, ${}^{187}\text{Re}$):



advantage: no threshold!



calculated anisotropies of the C ν B for $m_\nu = 10$ meV (S. Hannestad, 2009)

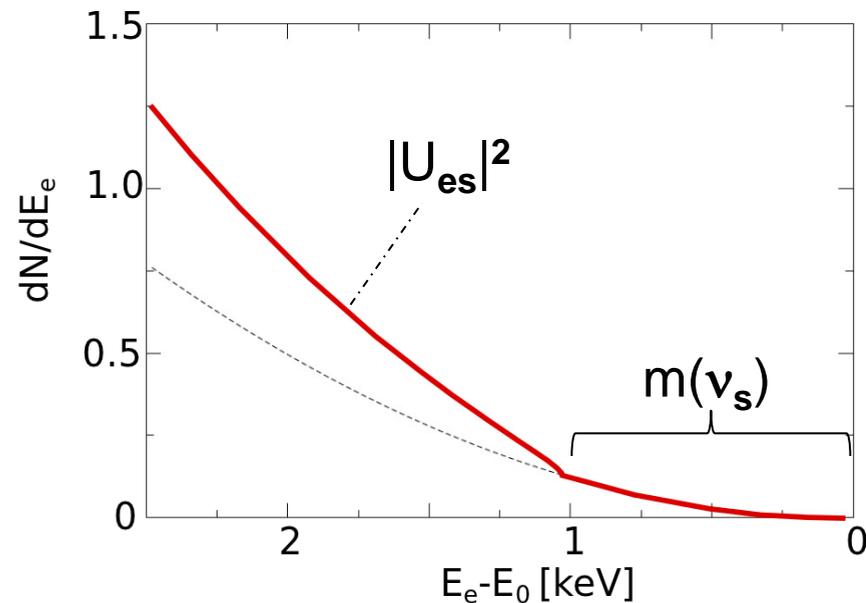


- **experimental challenges in case of ${}^3\text{H}$:**
- a) $>10^6$ KATRIN T_2 target mass required (~ 100 g), 24 g T_2 are on site at TLK
 - b) resolution $\Delta E < 50$ meV for 18.6 keV β 's would severely cut solid angle of source

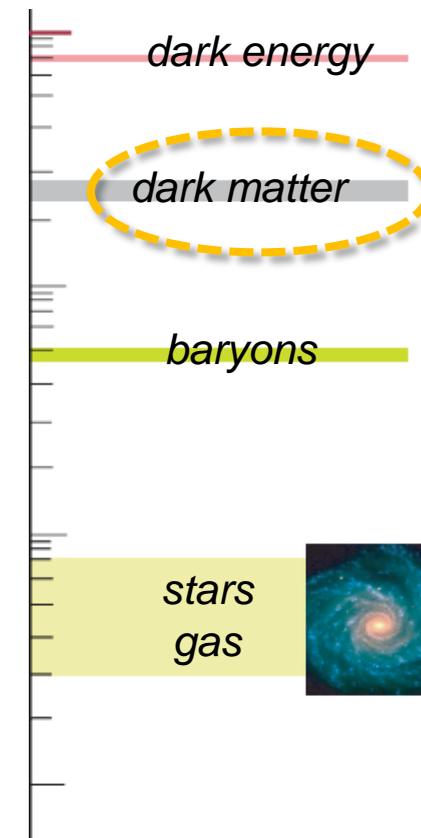
motivation: ν 's in astroparticle physics

cosmology: role of sterile ν 's as warm dark matter
(see talk by M. Lindner)

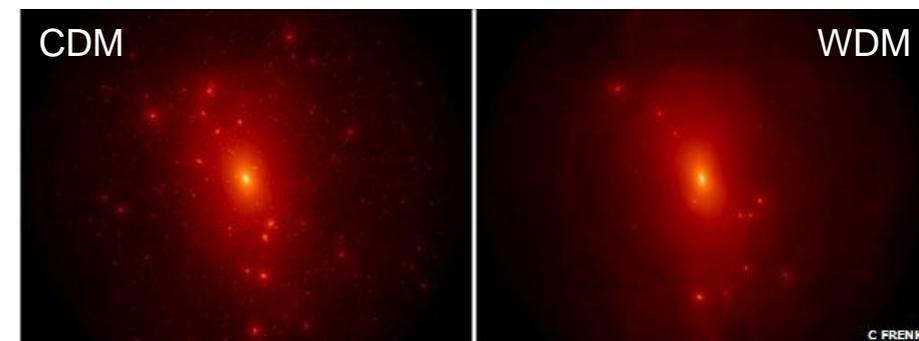
- idea: sterile ν 's in the 1-10 keV mass regime would constitute warm dark matter (WDM)



- sterile neutrino ν_s would manifest itself as a tiny kink (10^{-7} - 10^{-10}) deep in the β -spectrum
↳ need reliable calculation of spectral shape



DM & dwarf satellites



β -decay – Fermi theory & ν -mass

- β -decay kinematics close to endpoint E_0 : model independent measurement of $m(\nu_e)$, based solely on **kinematic parameters & energy conservation**

$$\frac{d\Gamma_i}{dE} = C \cdot p \cdot (E + m_e) \cdot (E_0 - E) \cdot \sqrt{(E_0 - E)^2 - m_i^2} \cdot F(E, Z) \cdot \theta(E_0 - E - m_i)$$

$$G_F^2 \cdot \frac{m_e^5}{2\pi^3} \cdot \cos^2 \theta_C \cdot |M|^2$$

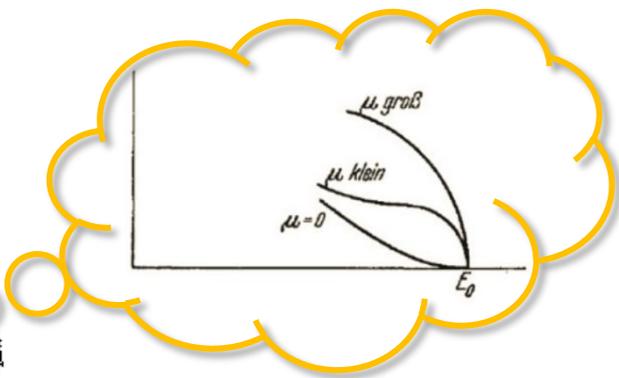
**observable $m^2(\nu_e)$:
effective
'electron- ν -mass'**

Fermi function $F(E, Z)$

$$m(\nu_e) = \sqrt{\sum_{i=1}^3 |U_{ei}|^2 \cdot m_i^2}$$

'incoherent' sum of the mass eigenstates m_i

- small modifications by final states, radiative & recoil corrections

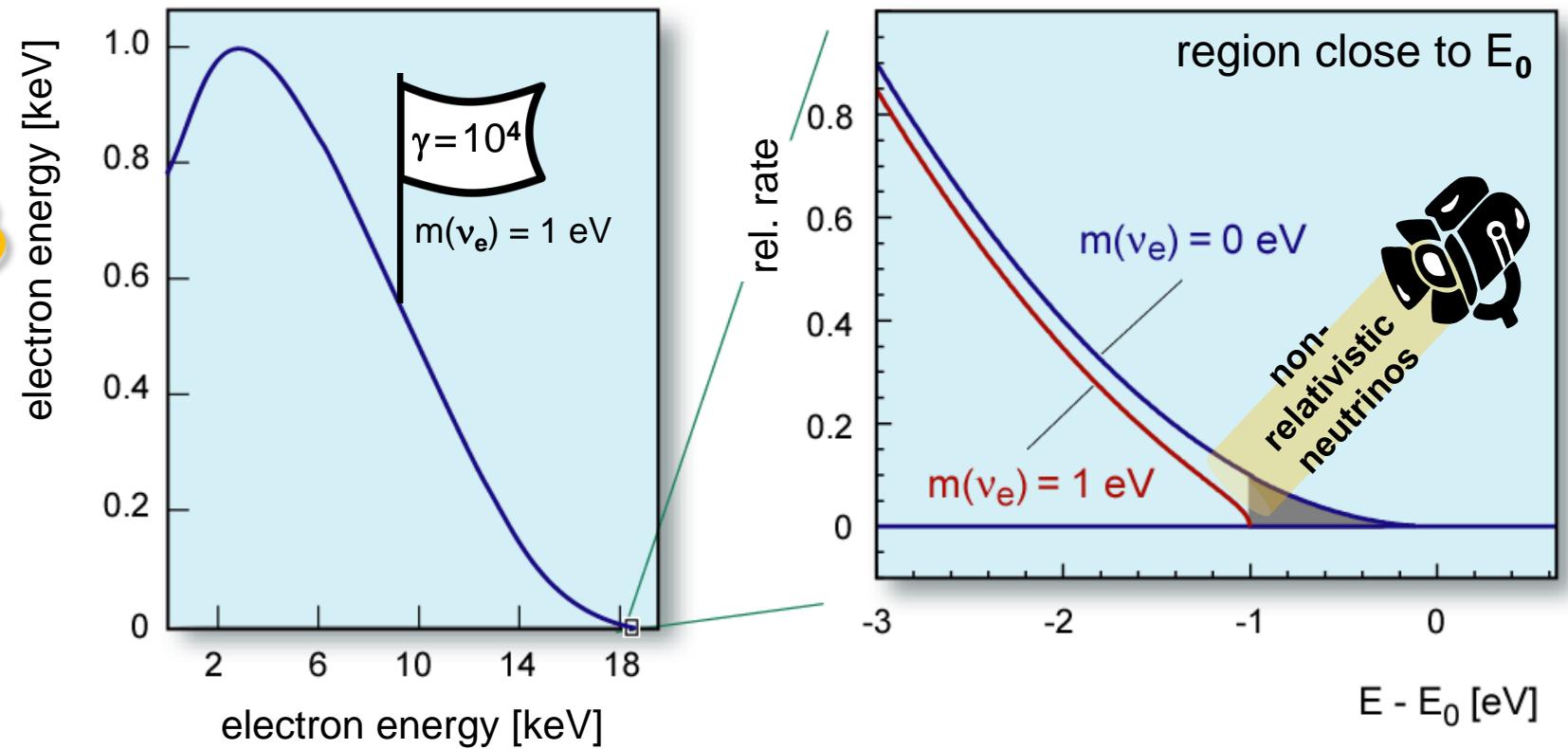


β -decay – Fermi theory & ν -mass

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$(E_0 - E)^2 \approx m_i^2$

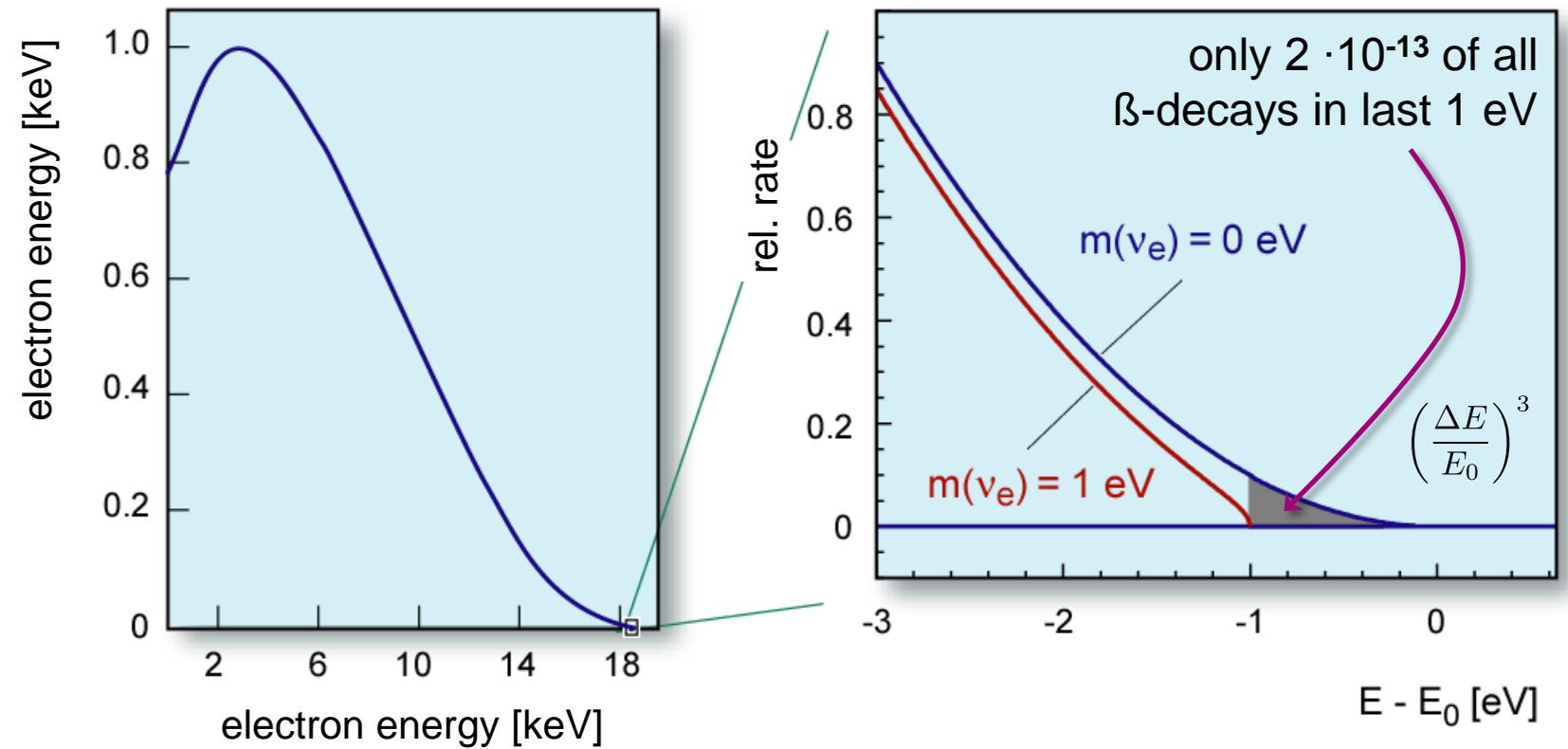
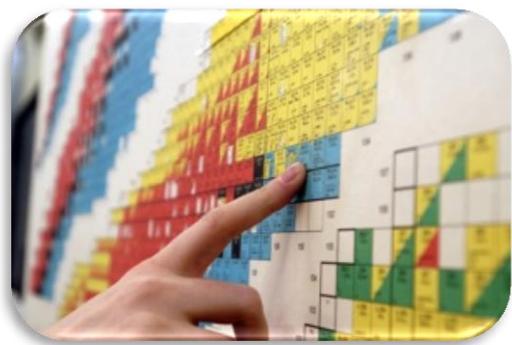


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which isotope yields the highest β -intensity & ν -mass sensitivity?



β -decay – Fermi theory & ν -mass

- β -decay kinematics close to endpoint E_0 : model independent measurement of $m(\nu_e)$, based solely on **kinematic parameters & energy conservation**

$$\frac{d\Gamma_i}{dE} = C \cdot p \cdot (E + m_e) \cdot (E_0 - E) \cdot \sqrt{(E_0 - E)^2 - m_i^2} \cdot F(E, Z) \cdot \theta(E_0 - E - m_i)$$

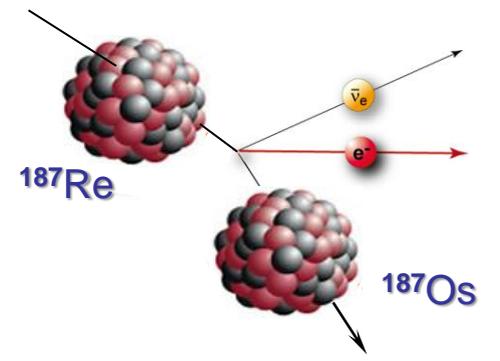
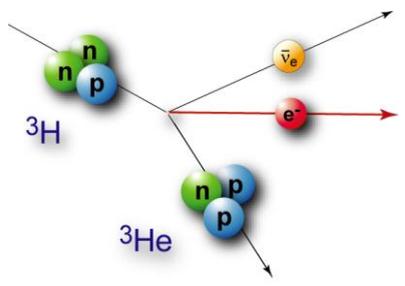


β -source requirements

- short half life $t_{1/2} \rightarrow$ high luminosity
- low endpoint energy E_0
- superallowed/allowed transition
- simple atomic/molecular structure



^3H : super-allowed		^{187}Re : unique 1 st	
E_0	18.6 keV	E_0	2.47 keV
$t_{1/2}$	12.3 y	$t_{1/2}$	43.2 Gy



β -decay – Fermi theory & ν -mass

- β -decay kinematics close to endpoint E_0 : model independent measurement of $m(\nu_e)$, based solely on **kinematic parameters & energy conservation**

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which detector?

β -detection requirements

large solid angle ($\sim 2\pi$)

low background rate

high energy resolution ($\sim eV$)

short dead time, no pile up



calorimeter

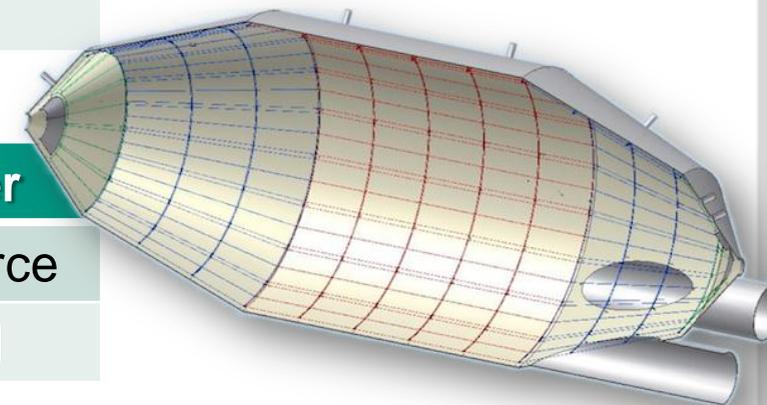
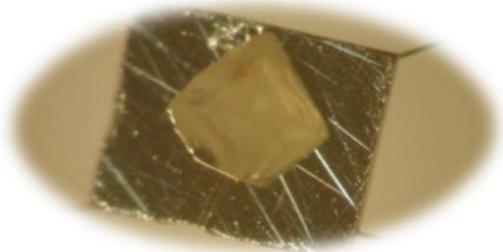
β -source = detector

β -source: $^{187}\text{Re}, ^{163}\text{Ho}$

spectrometer

external β -source

β -source: ^3H



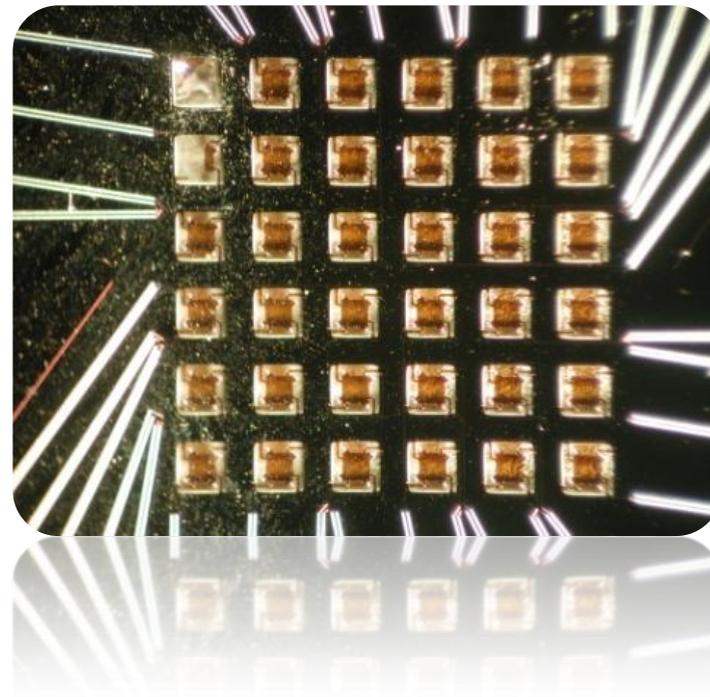
- experimental challenges, requirements and characteristics of micro-bolometers and electrostatic spectrometers for high-precision β -spectroscopy



	calorimeters	spectrometers
source	metallic Re, dielectric AgReO ₄	high-purity molecular gaseous T ₂
β-energy	arrays of crystal bolometers	MAC-E filter: electrostatic retardation
activity	low: $<10^5$ β /s	high: $\sim 10^{11}$ β /s
response	entire decay energy	(longitudinal) kinetic energy of electrons
interval	entire β -decay spectrum	very narrow interval close to E ₀
method	differential spectrum	integral spectrum (ToF mode possible)
set-up	modular, size can be upscaled	integral design, spectrometer size limits
resolution	$\Delta E \sim$ few eV (FWHM)	$\Delta E = 0.93$ eV (100%)

spectrometer & calorimeter techniques complementary (different systematics)

bolometric approaches: MARE



PS-1, Wed. 18.00-18.20 Elena Ferri *Nu mass with ^{187}Re and ^{163}Ho in MARE*

bolometer experiments for ^{187}Re

■ ^{187}Re -experiments (MANU, MIBETA, MARE)

^{187}Re as β -emitter: natural isotope content = 62.8 %



$5/2^+ \rightarrow 1/2^-$ 'unique' 1st forbidden transition (shape factor), BEFS

^{187}Re : unique 1st

E_0	2.47 keV
$t_{1/2}$	43.2 Gy

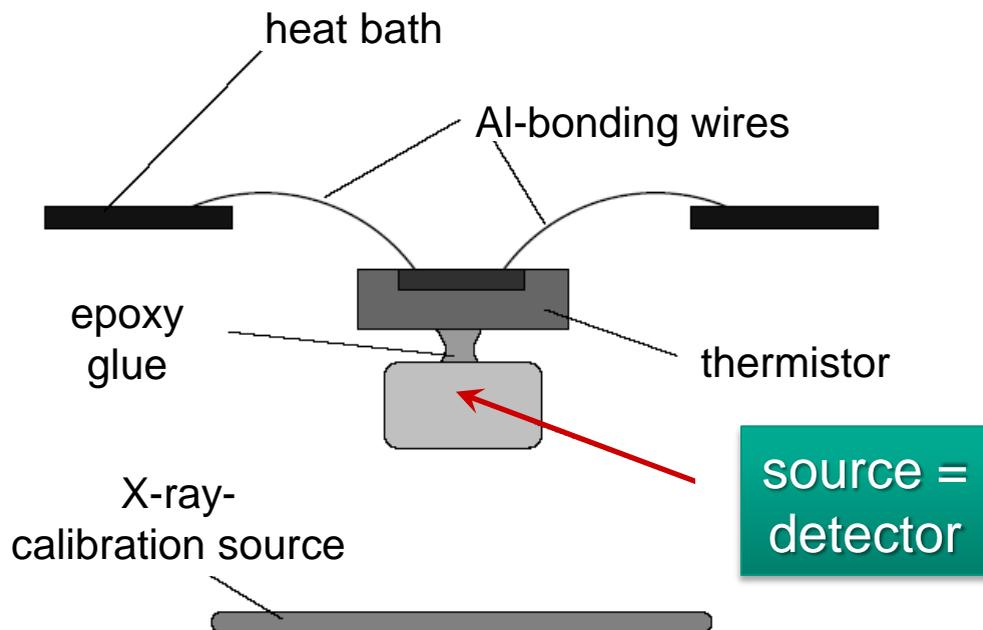
■ previous ^{187}Re -experiments MANU, MIBETA

MANU: metallic Rhenium

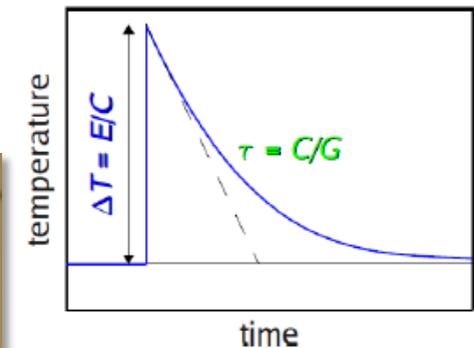
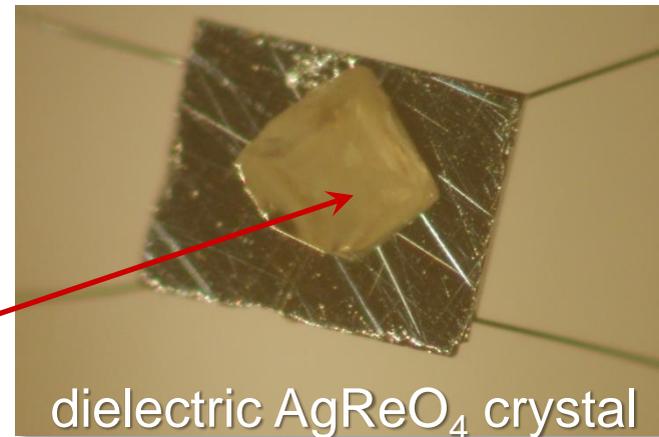
group in Genova

MIBETA: dielectric AgReO_4 crystals

group in Milano



measure entire β -decay energy

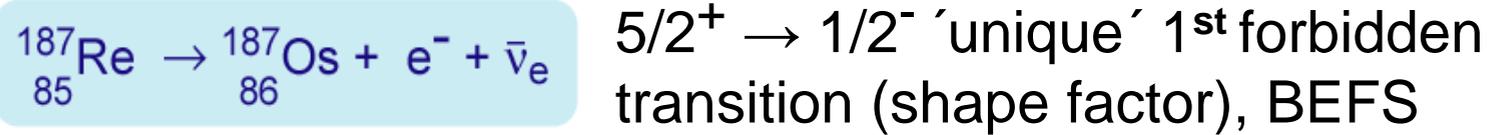


MIBETA:
10 crystals

bolometer experiments

■ ^{187}Re -experiments (MANU, MIBETA, MARE)

^{187}Re as β -emitter: natural isotope content = 62.8 %



^{187}Re : unique 1st

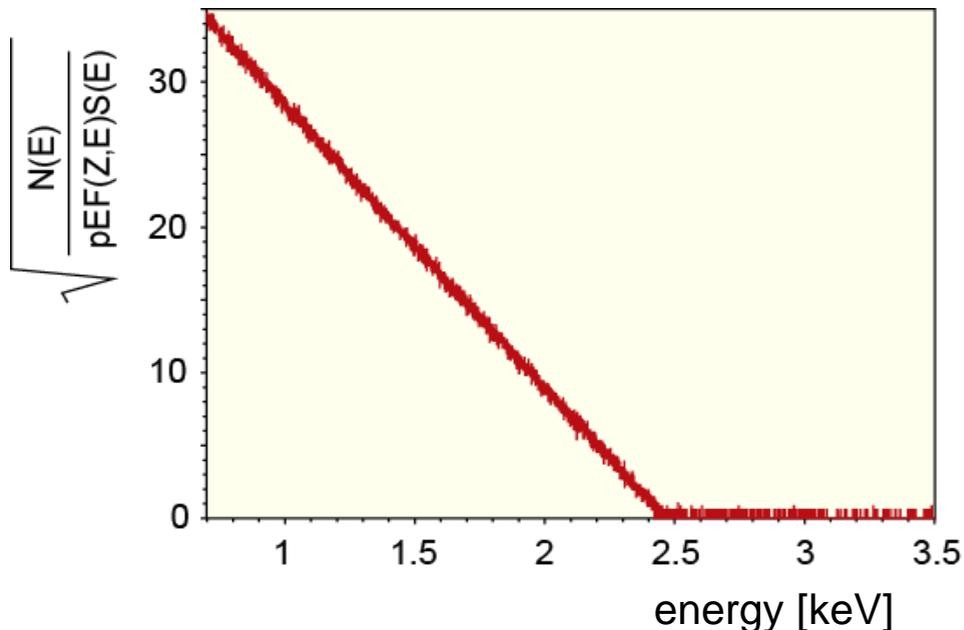
E_0 2.47 keV

$t_{1/2}$ 43.2 Gy

■ previous ^{187}Re -experiments MANU, MIBETA

MANU: metallic Rhenium group in Genova

MIBETA: dielectric AgReO_4 crystals group in Milano



- analysis of ^{187}Re -Kurie plot

$6.2 \cdot 10^6$ ^{187}Re β -decays:
 $m(\nu) < 15$ eV (2004)

- several months of measuring time

MARE experiment

■ Microcalorimeter Arrays for a Rhenium Experiment

■ general strategy to increase sensitivity to sub-eV regime:

- deploy large arrays of cryogenic micro-bolometers
- up-scaling of source intensity with $1 \text{ mg Re} \approx 1 \text{ decay/s}$
- avoid pulse pile-up: develop faster detectors
- develop multiplexed read-out technologies
- improve energy resolution to 1 eV-level

MARE-I $\sim 10^9\text{-}10^{10}$ β -decays

- set-up small bolometer array: ν -mass sensitivity $m(\nu_e) \sim \text{few eV}$
- test & select different isotopes ($^{163}\text{Ho-EC}/^{187}\text{Re-}\beta\text{-decay}$) and read-out/sensor techniques (TES, Si-thermistor, MMC, ...)

MARE-II $\sim 10^{14}$ β -decays

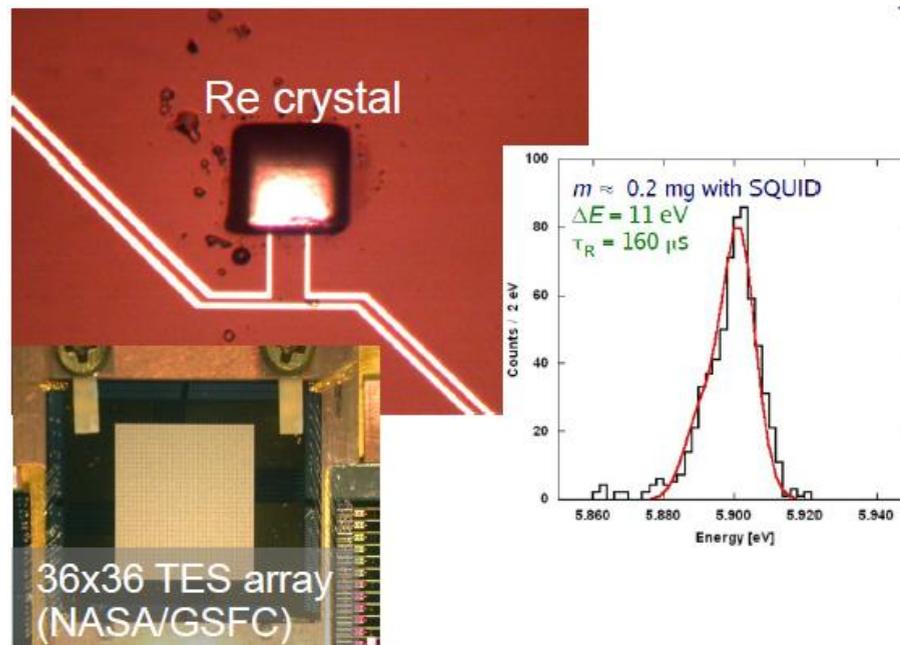
- full set-up, large bolometer array with $10^4\text{-}10^5$ pixels
- aim for statistical ν -mass sensitivity $m(\nu_e) \sim 0.1\text{-}0.2 \text{ eV}$



MARE experiment: phase-I

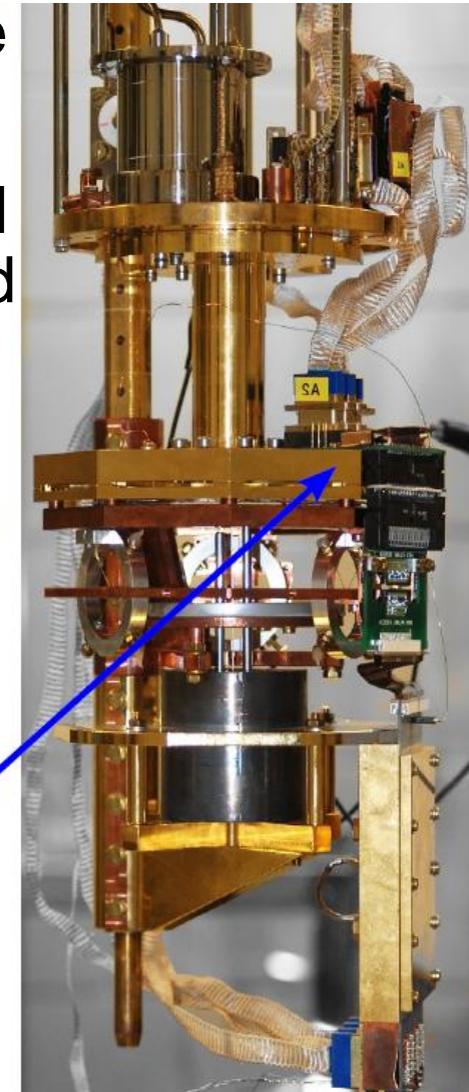
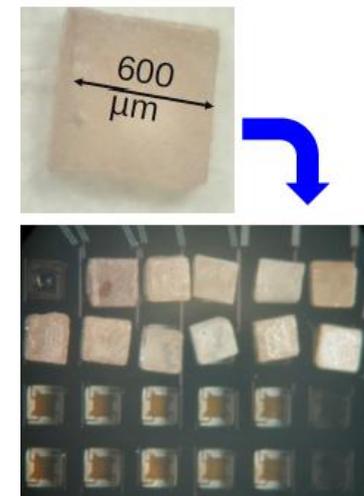
Genova $m(\nu_e) \sim 2 \text{ eV}$

- metallic Re absorbers, up to 300
- $m = (0.2-0.3) \text{ g} \rightarrow \sim 0.25 \text{ Bq}$
- TES sensors (Ir-Au bi-layer), multiplexed SQUID read-out
- $\Delta E \sim 11 \text{ eV}$
- $\tau_{\text{rise}} \sim 160 \mu\text{s}$



Milano-Bicocca $m(\nu_e) \sim 3-4 \text{ eV}$

- 6x6 arrays of AgReO4 crystals (up to 8 arrays can be housed in cryostat)
- $m = 0.5 \text{ mg} \rightarrow 0.27 \text{ Bq}$
- readout: Si-implanted thermistors
- $\Delta E \sim 25 \text{ eV}$
- $\tau_{\text{rise}} \sim 250 \mu\text{s}$



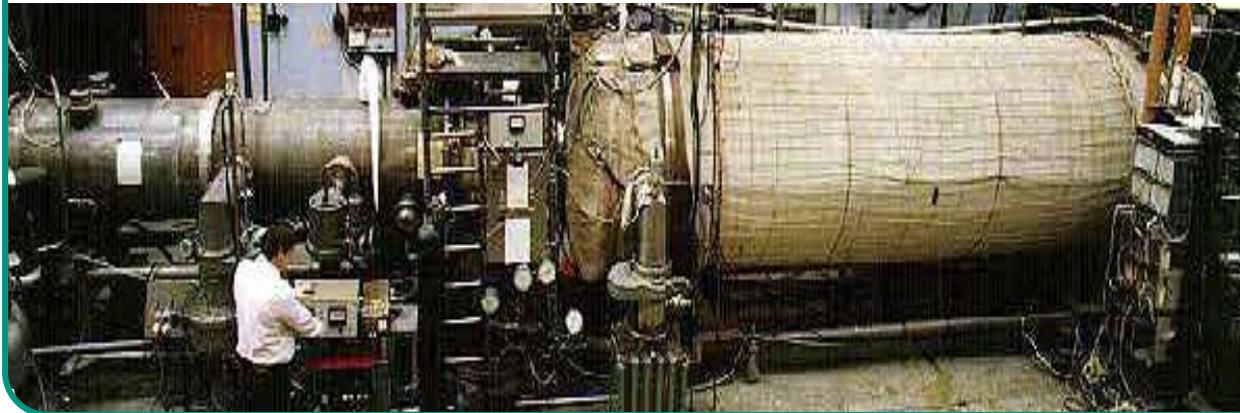
KATRIN – design & status



Troitsk & Mainz experiments

Troitsk experiment

- windowless gaseous tritium source



- 2011 re-analysis of selected data from 1994-2004: no evidence for Troitsk anomaly
 $m^2(\nu_e) = (-0.67 \pm 1.89 \pm 1.68) eV^2$

$$m(\nu_e) < 2.05 eV$$

V.N. Aseev et al., Phys. Rev. D 84 (2011) 112003

Mainz experiment

- quench condensed tritium source



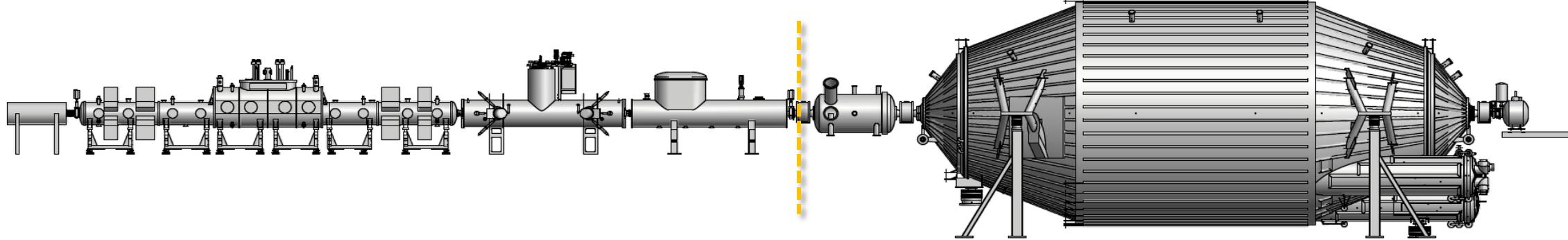
- 2004 final analysis of Mainz phase II data from 1998-2001: analysis of last 70 eV

$$m^2(\nu_e) = (-0.6 \pm 2.2 \pm 2.1) eV^2$$

$$m(\nu_e) < 2.3 eV$$

C. Kraus et al., Eur. Phys. J. C 40 (2005) 447

KATRIN experiment



tritium-bearing components

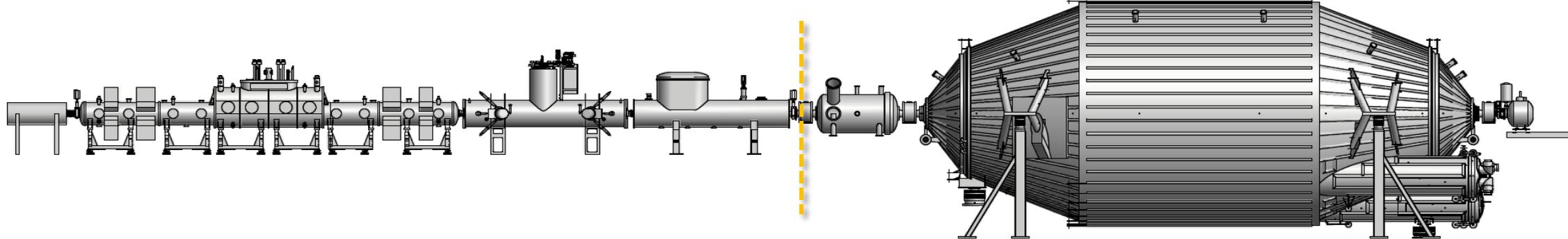
electrostatic spectrometers & detector

KArlsruhe TRItium Neutrino experiment

- a large-scale, next-generation direct neutrino mass experiment
- currently being installed at Tritium Laboratory Karlsruhe at KIT
- push spectrometer technology to limits, sensitivity $m(\nu_e) = 200$ meV



KATRIN experiment - overview



tritium-bearing components

electrostatic spectrometers & detector

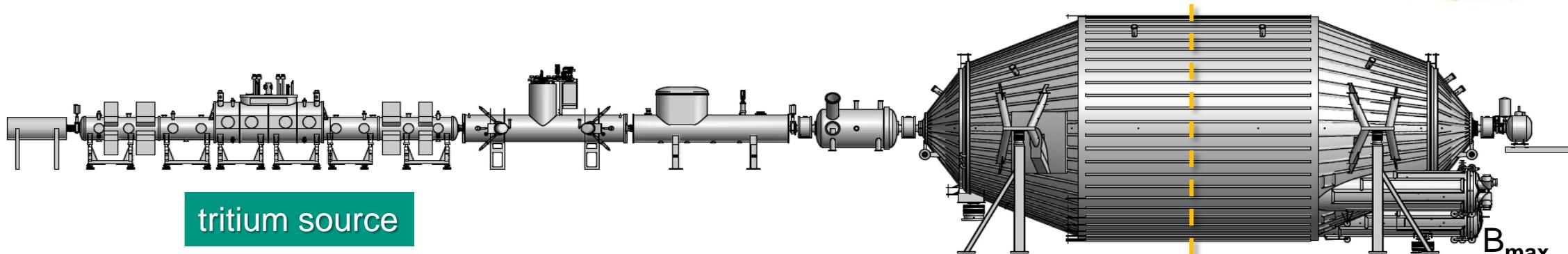
tritium source: 10^{11} β -decays/s

total background: 10^{-2} cps

experimental challenges

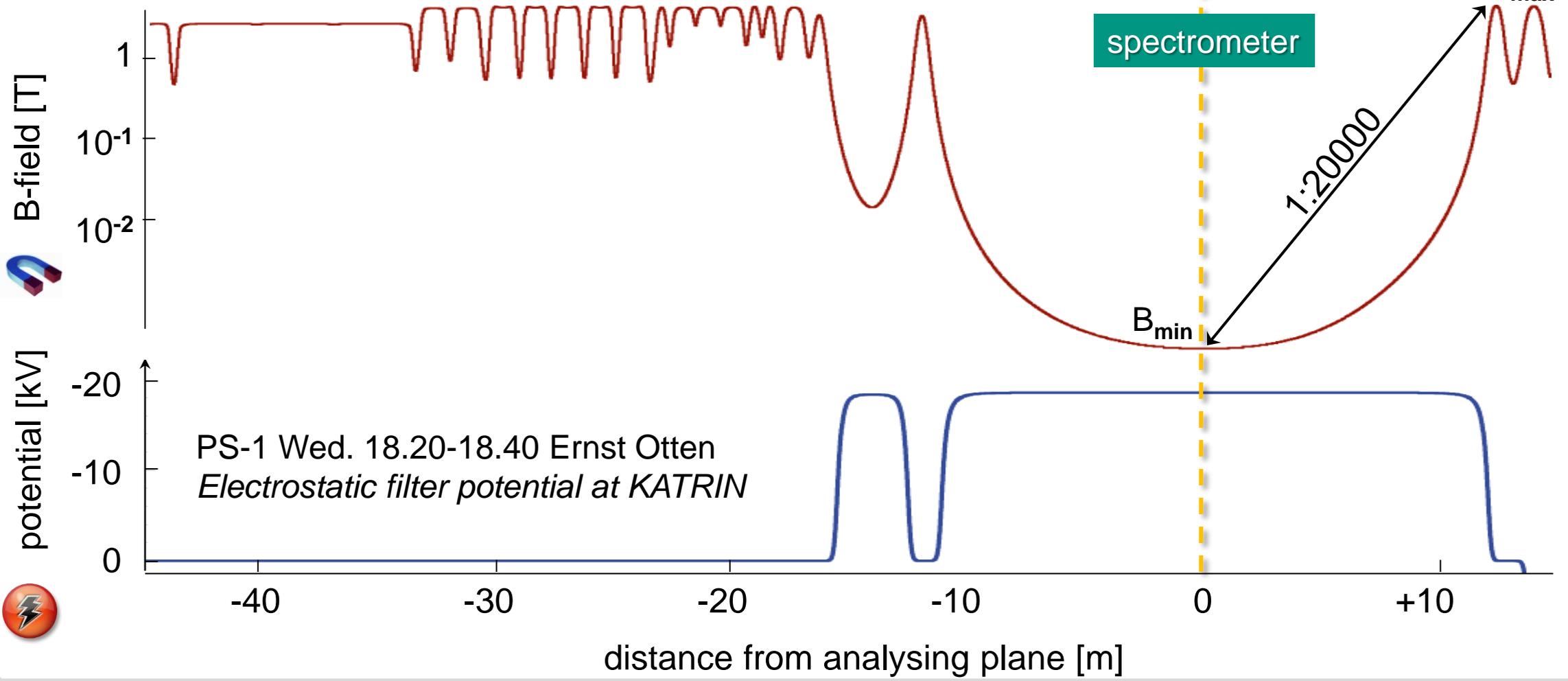
- ↪ 10^{-3} stability of tritium source column density ρd (T , p_{in})
- ↪ on-line & in-situ measurement (10^{-3}) of isotope content in source
- ↪ retention factor of flux of molecular tritium $R > 10^{14}$
- ↪ effective removal of ions $R = 10^8$
- ↪ fully adiabatic (meV-scale) transport of electrons over > 50 m
- ↪ avoid particle storage in Penning traps, UHV in spectrometer
- ↪ precise monitoring of HV on ppm-scale

magnetic field & electrostatic potential

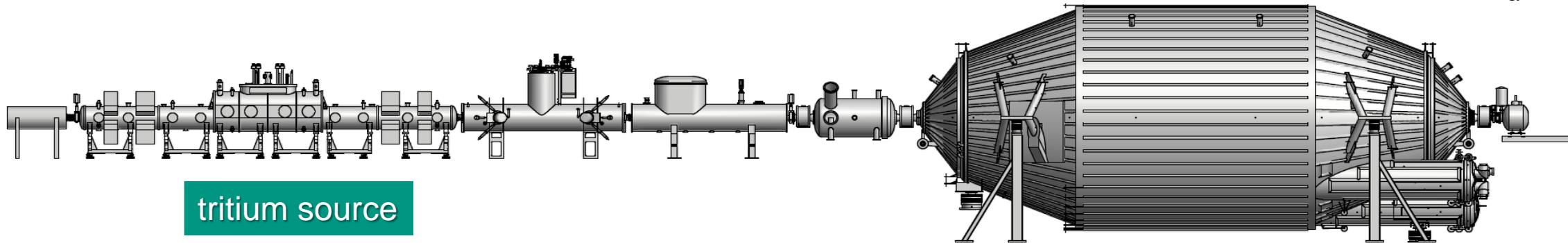


tritium source

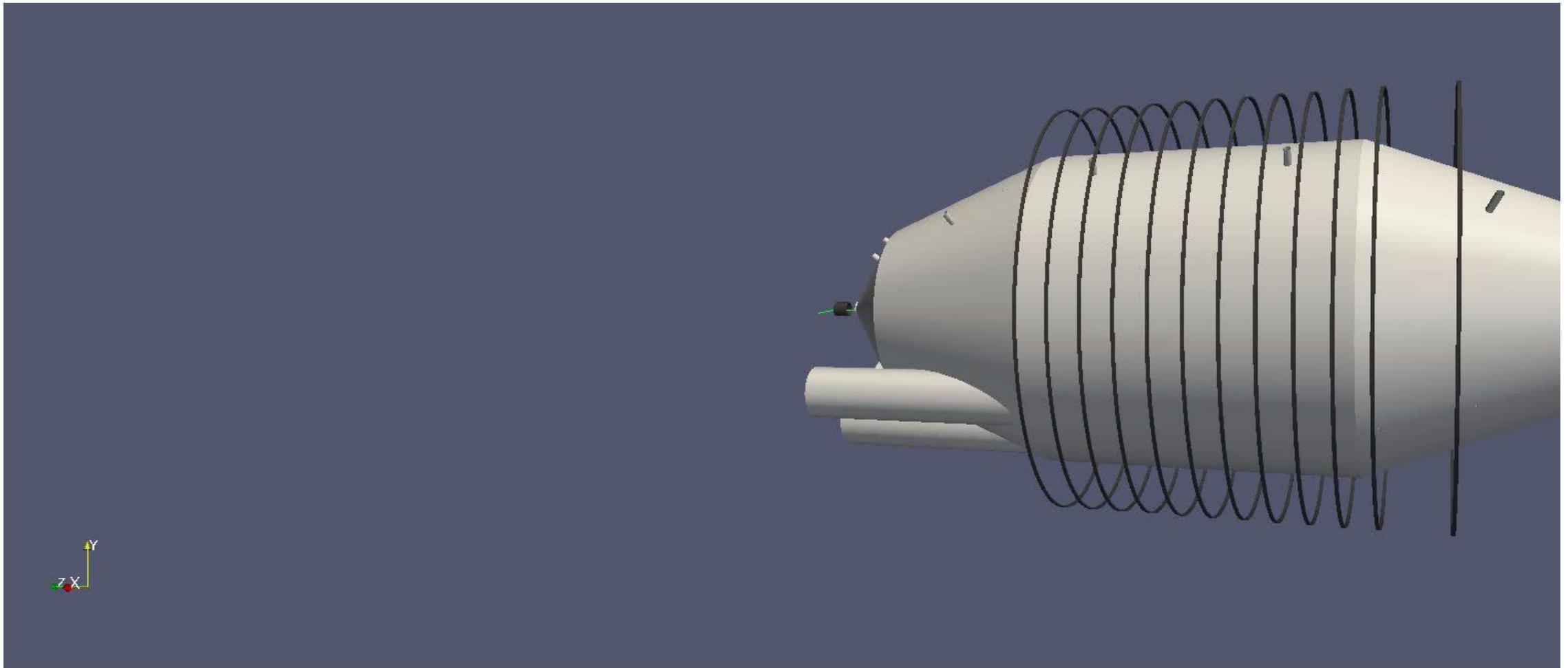
spectrometer



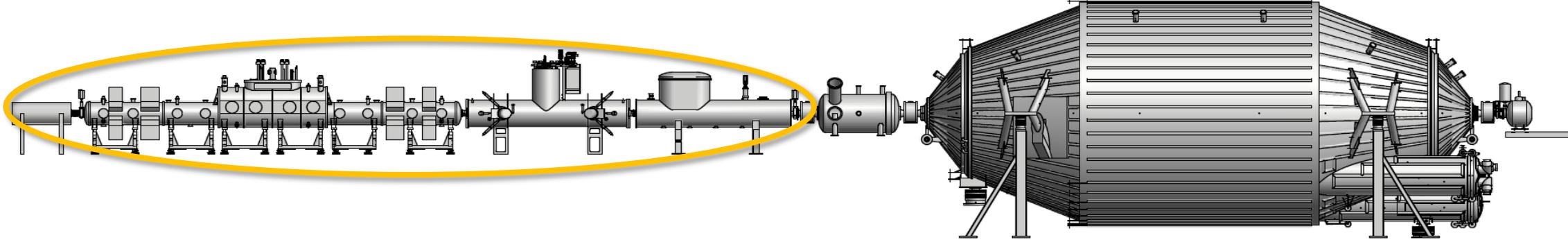
magnetic field & electrostatic potential



tritium source

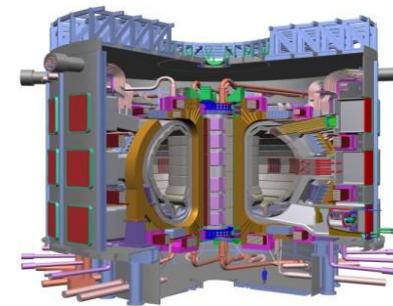


tritium source

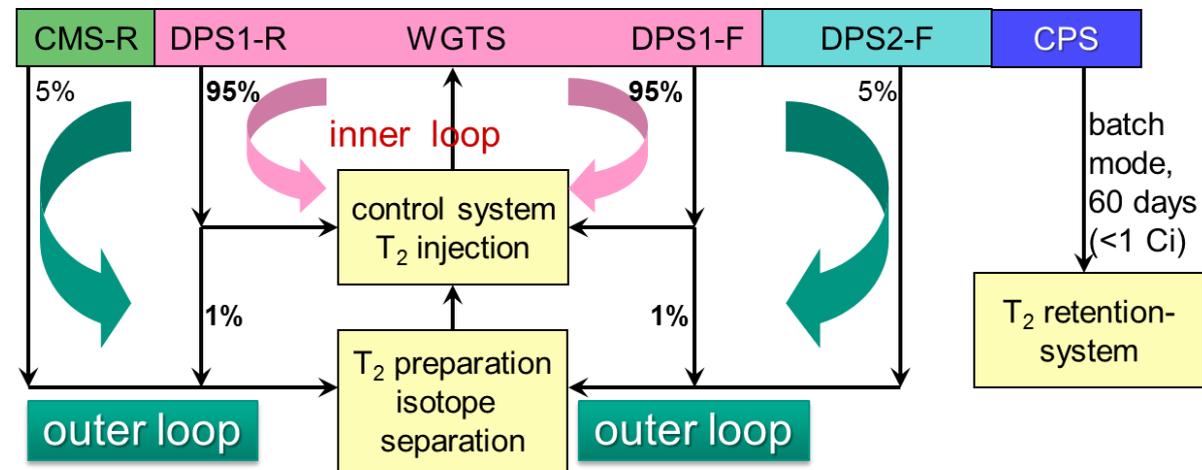


■ **KATRIN tritium throughput per year is equivalent to ITER fusion facility**

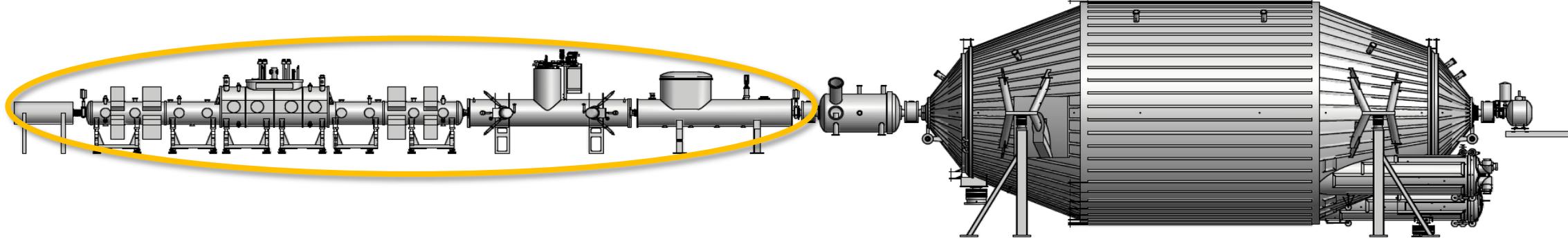
tritium source is operated as closed cycle:
throughput of 40 kg/year



TLK – a unique research facility



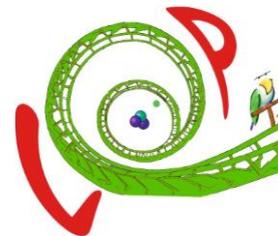
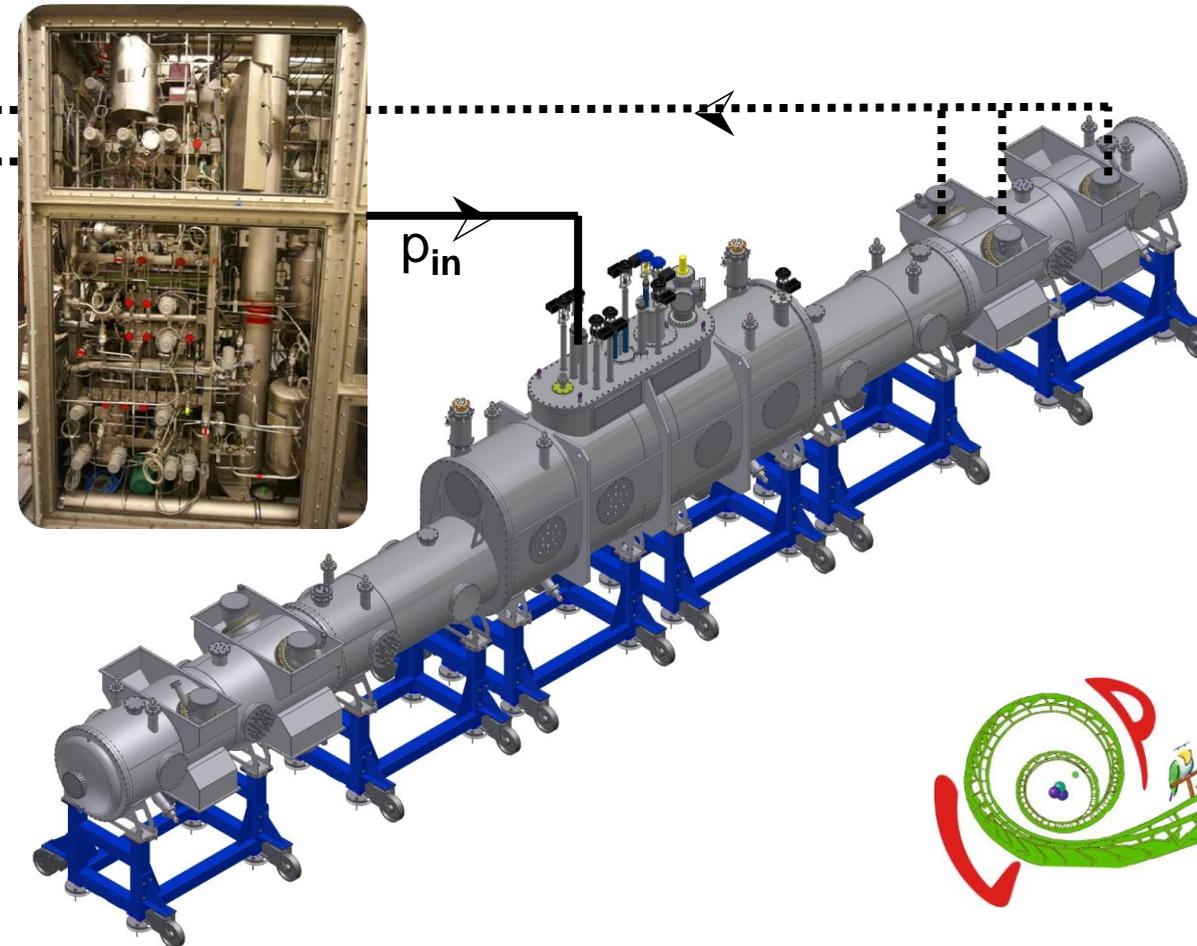
tritium source: loop system



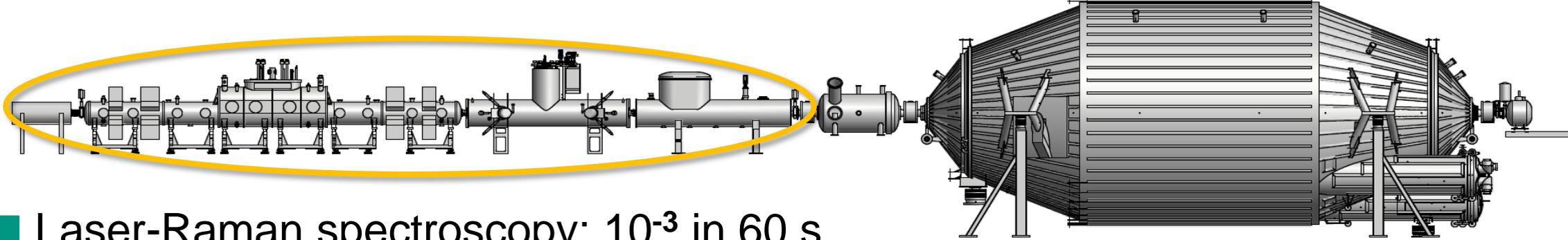
- 10^{-4} stability for p_{in} achieved in test set-up



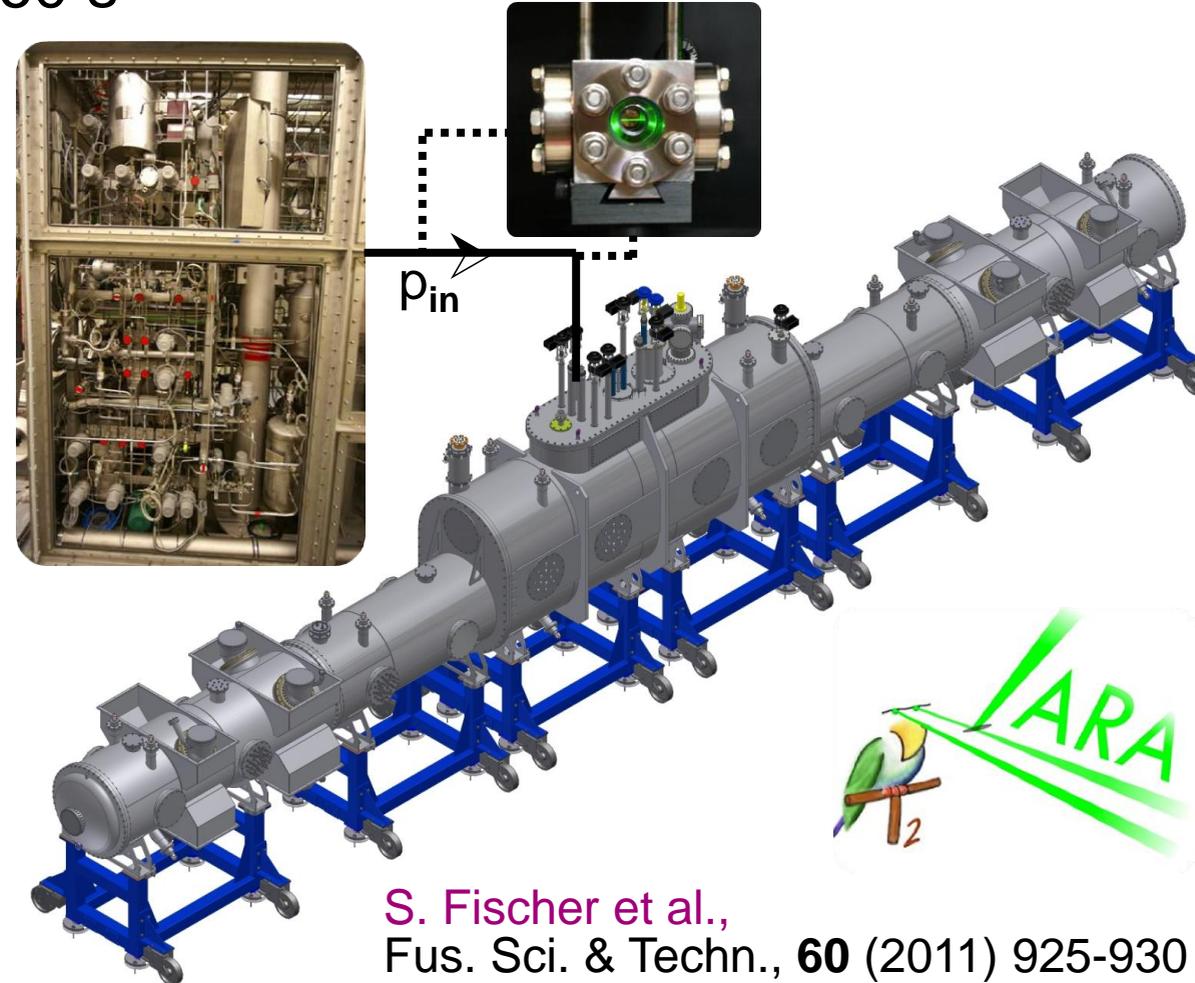
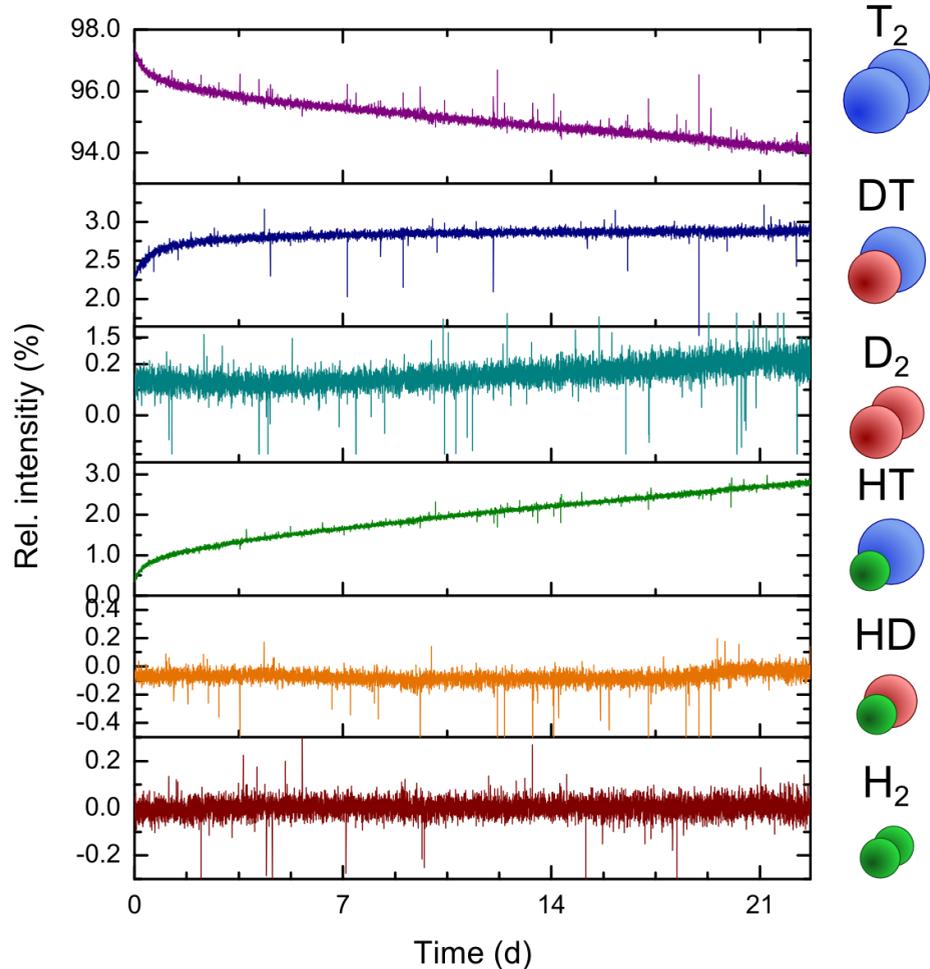
TLK – a unique research facility



tritium source: loop system

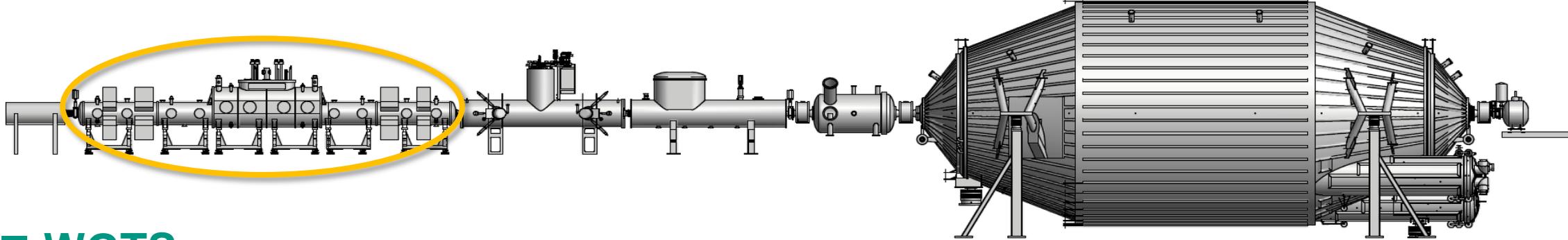


■ Laser-Raman spectroscopy: 10^{-3} in 60 s



S. Fischer et al.,
Fus. Sci. & Techn., **60** (2011) 925-930

WGTS – windowless gaseous tritium source



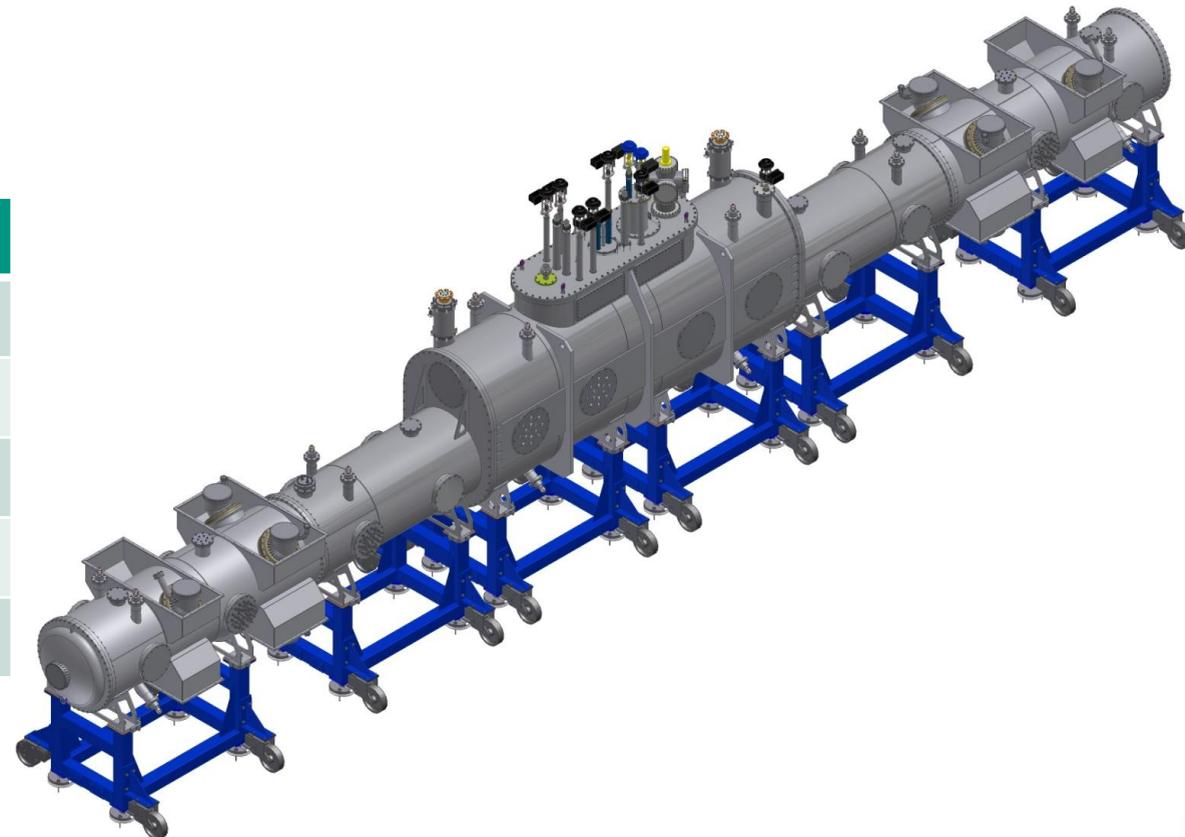
■ WGTS

- a molecular tritium source of

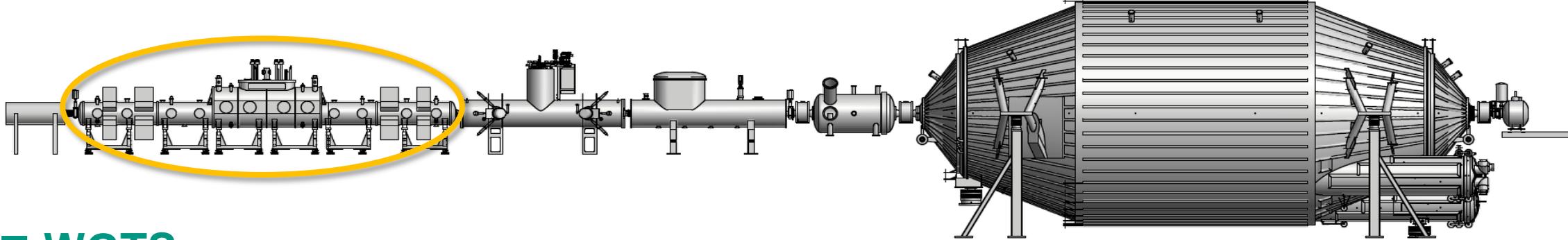
highest luminosity

highest stability

WGTS	design value	precision
luminosity	1.7×10^{11} Bq	
injection rate	5×10^{19} mol/s	± 0.1 %
column density ρd	5×10^{17} mol/cm ²	± 0.1 %
tritium purity	> 95%	± 0.1 %
magnetic field	3.6 T	± 2 %



WGTS – windowless gaseous tritium source



■ WGTS

one of the world's most complex cryostats:
tritium – cryo – magnet issues

12 cryogenic circuits

6 cryogenic fluids

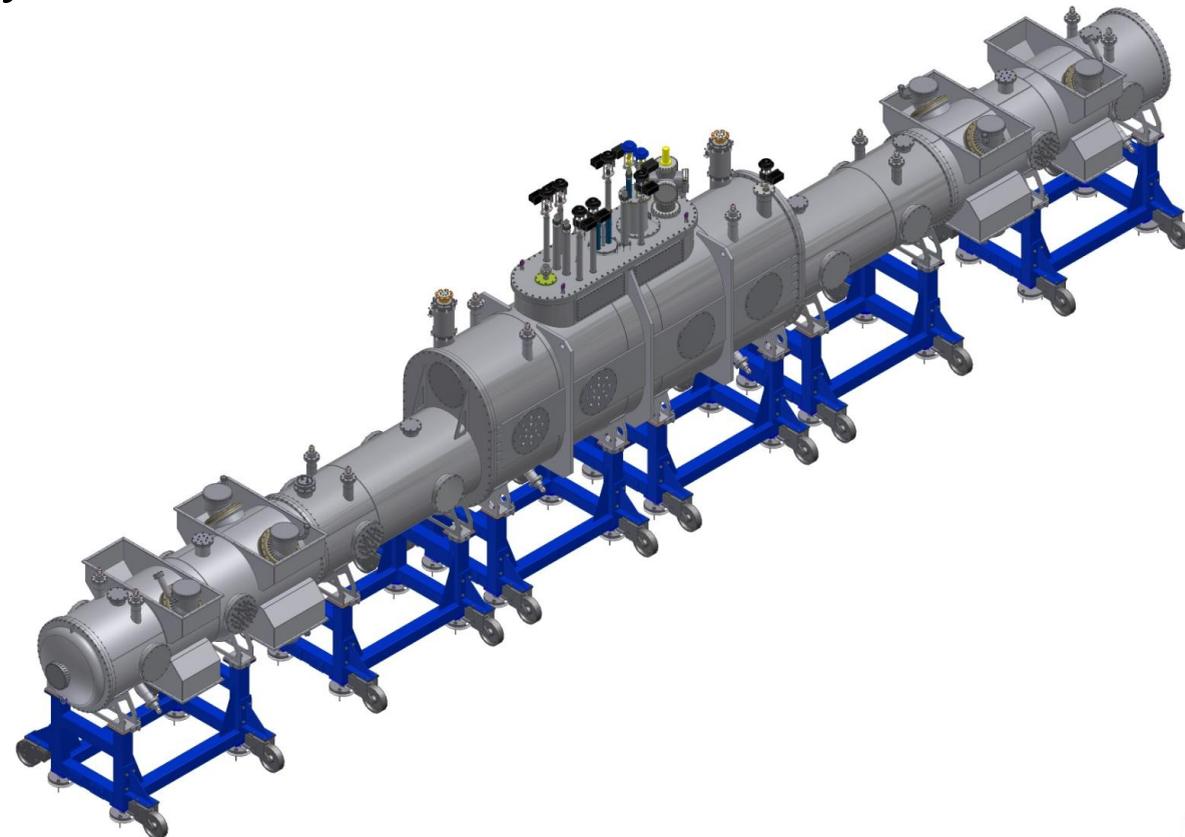
- instrumentation:

~ 500 sensors for

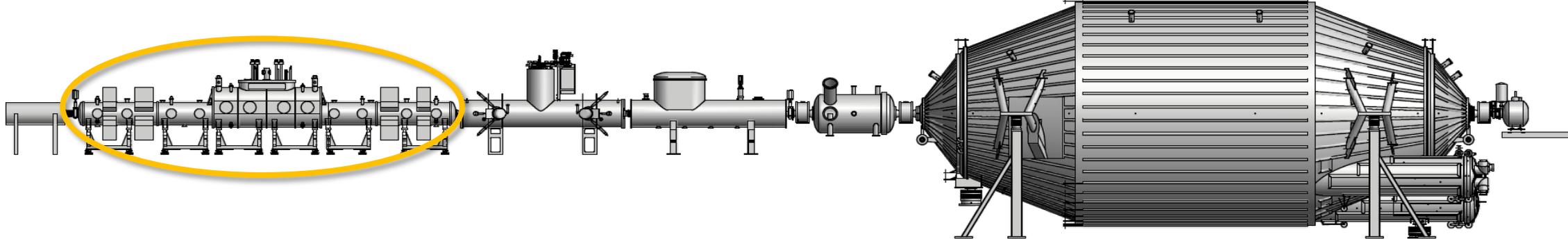
temperature (4 – 600 K),

B-field, pressure,

gas flow, liquid levels

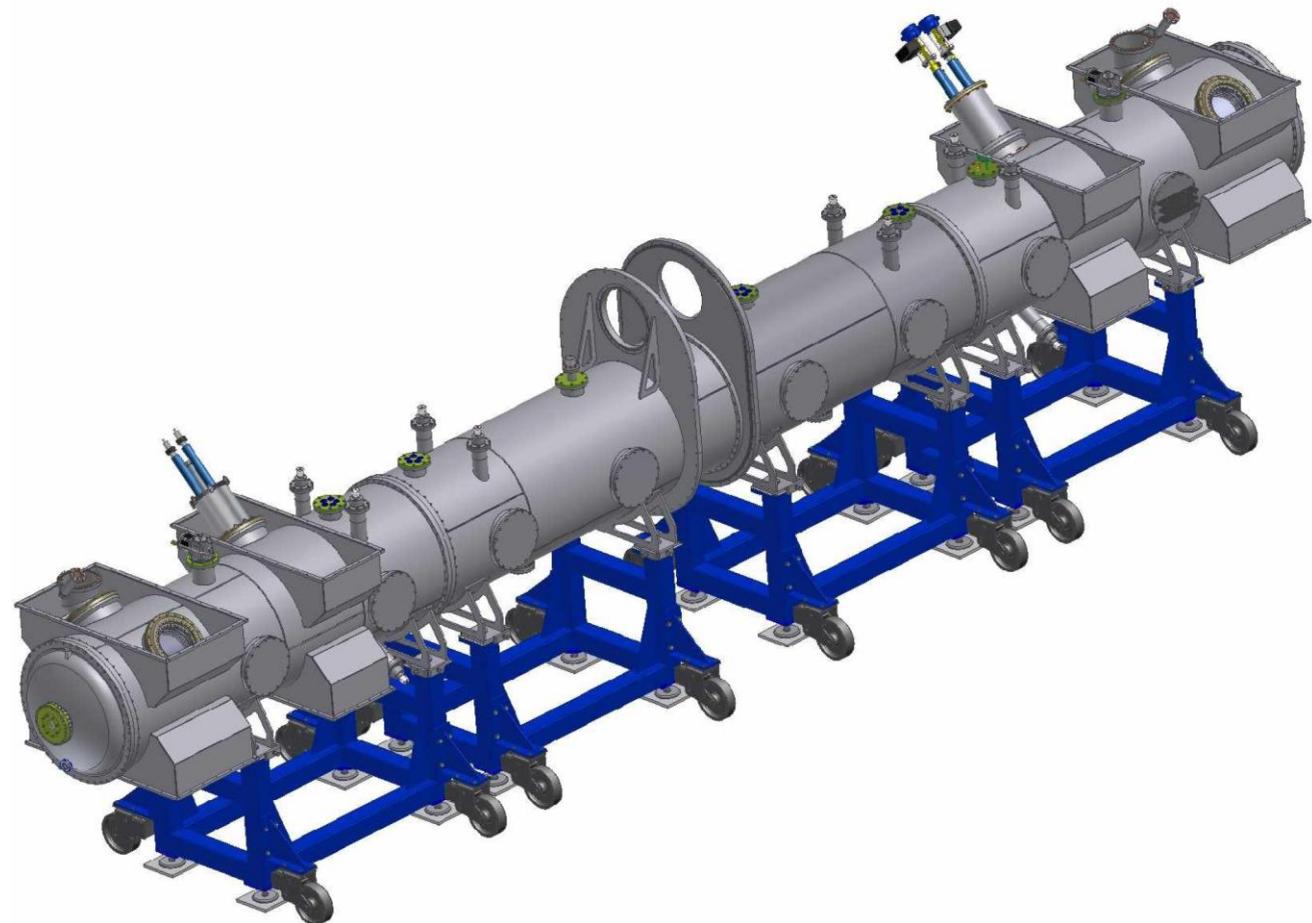


WGTS – demonstrator

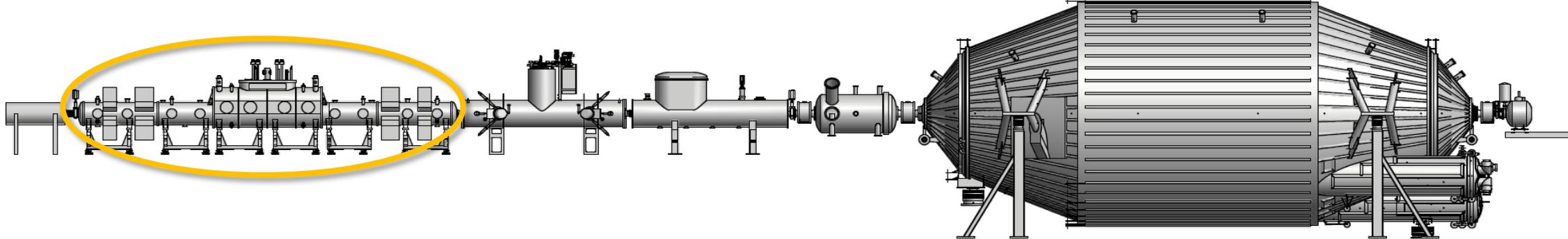


■ objectives of measurements

- demonstrate feasibility of novel 2-phase (LNe/GNe) beam tube cooling system
- WGTS-BT requirements:
 - a) operation at $T_{BT} = 28-32$ K
 - b) $\Delta T = \pm 30$ mK
 - stability (1h)
 - homogeneity (10 m)

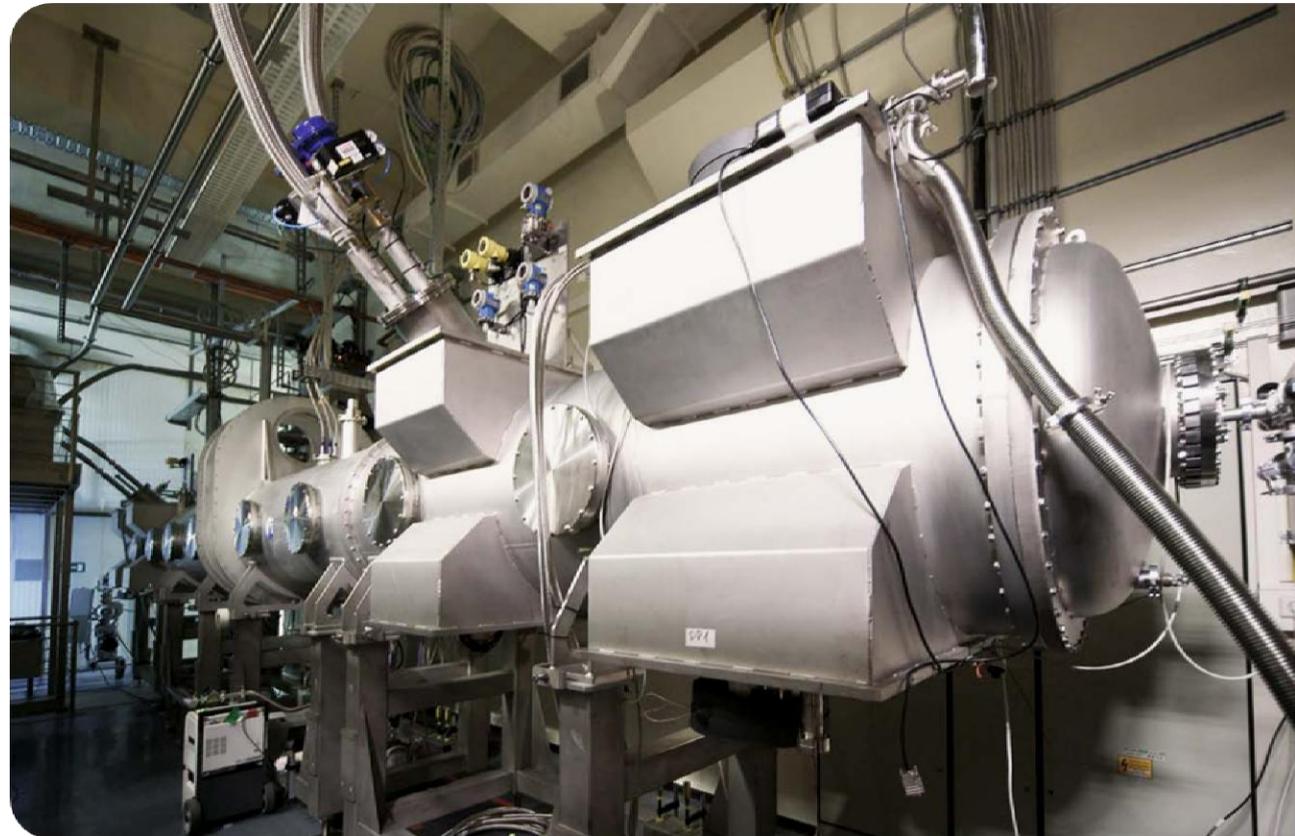


WGTS – demonstrator

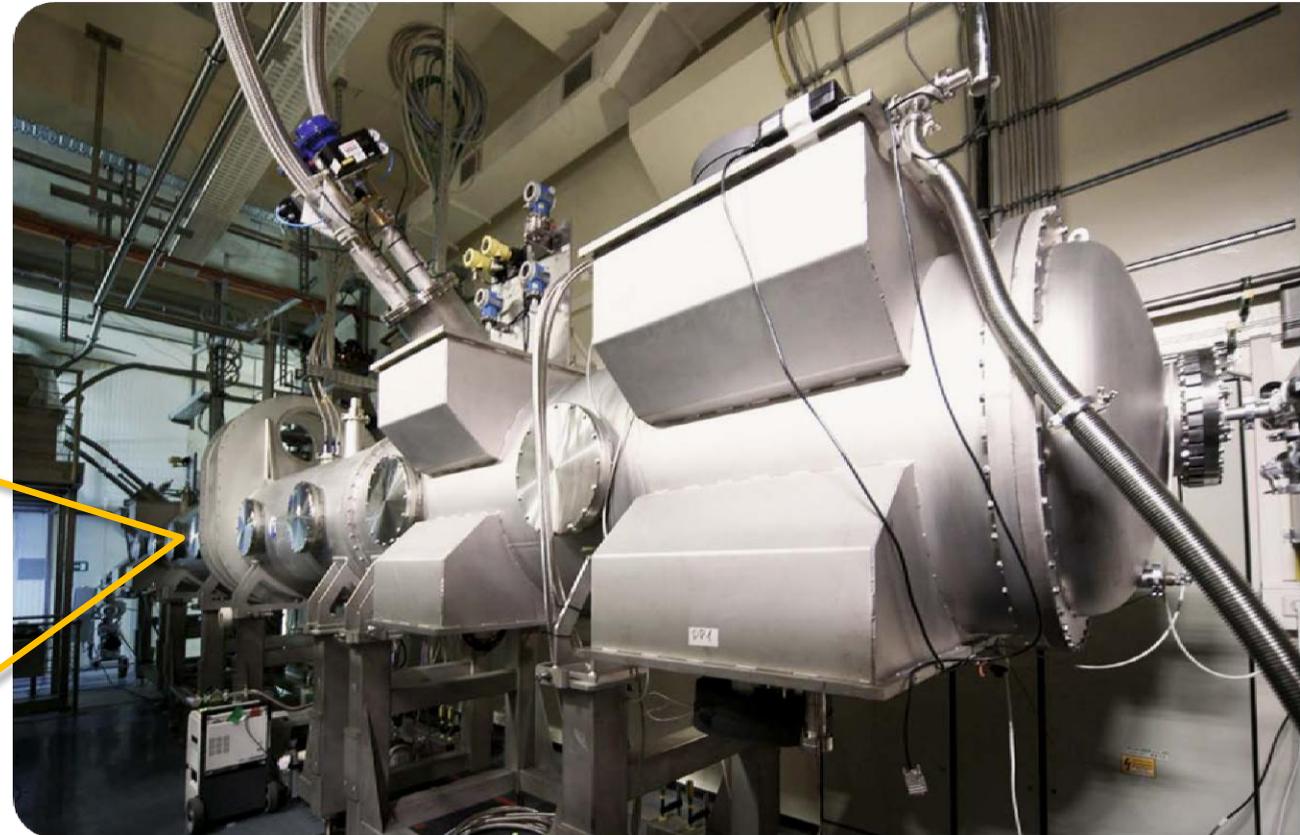
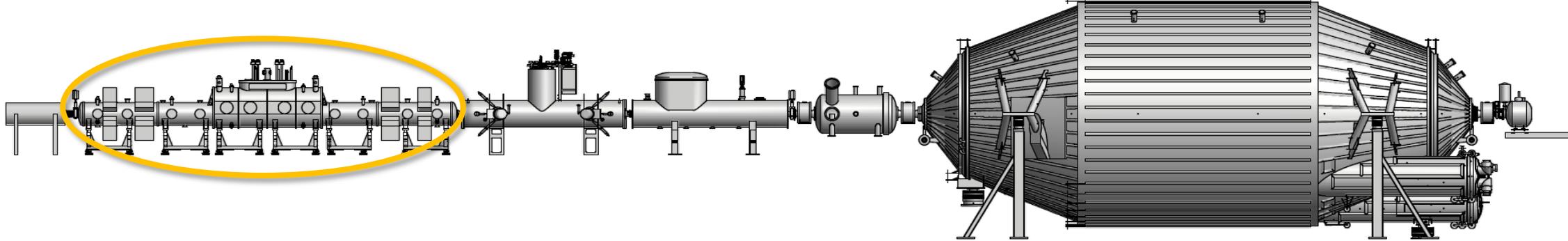


■ objectives of measurements

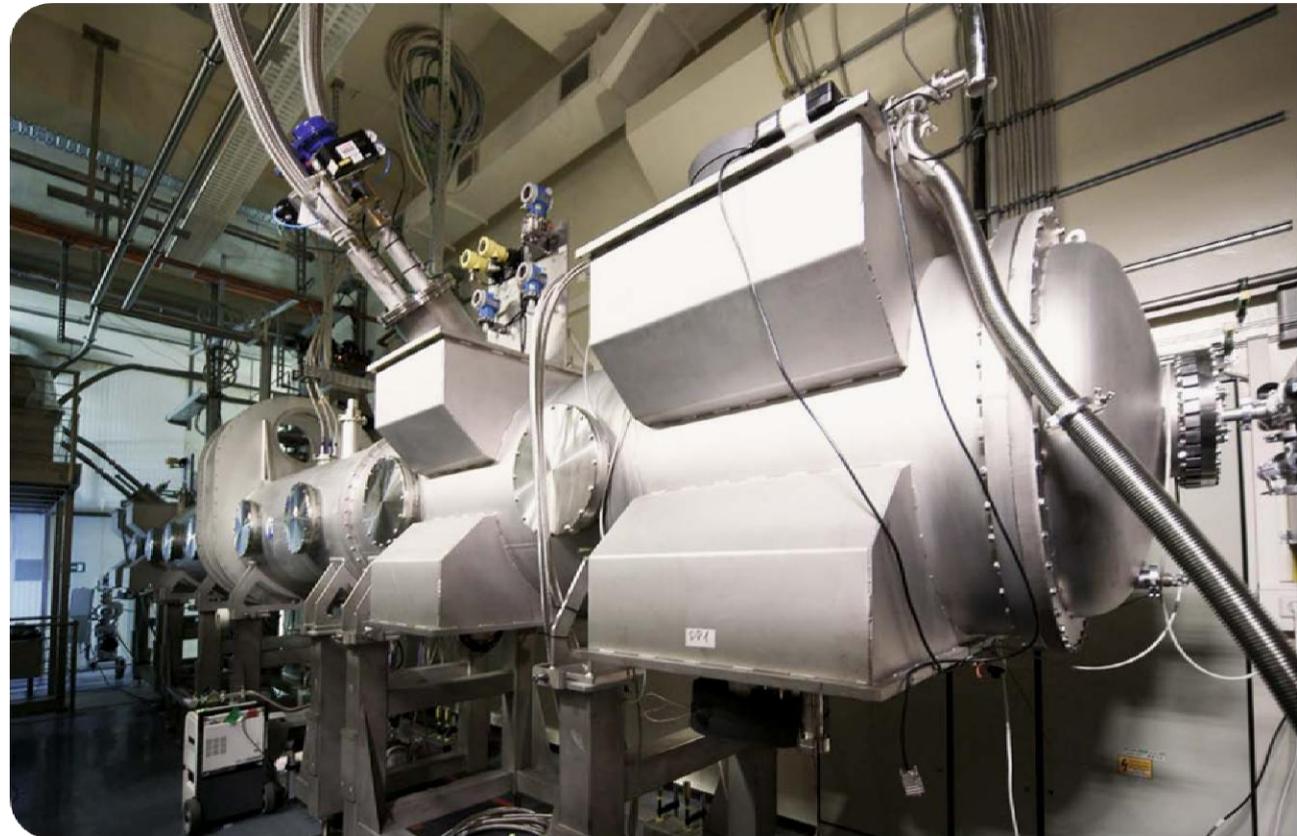
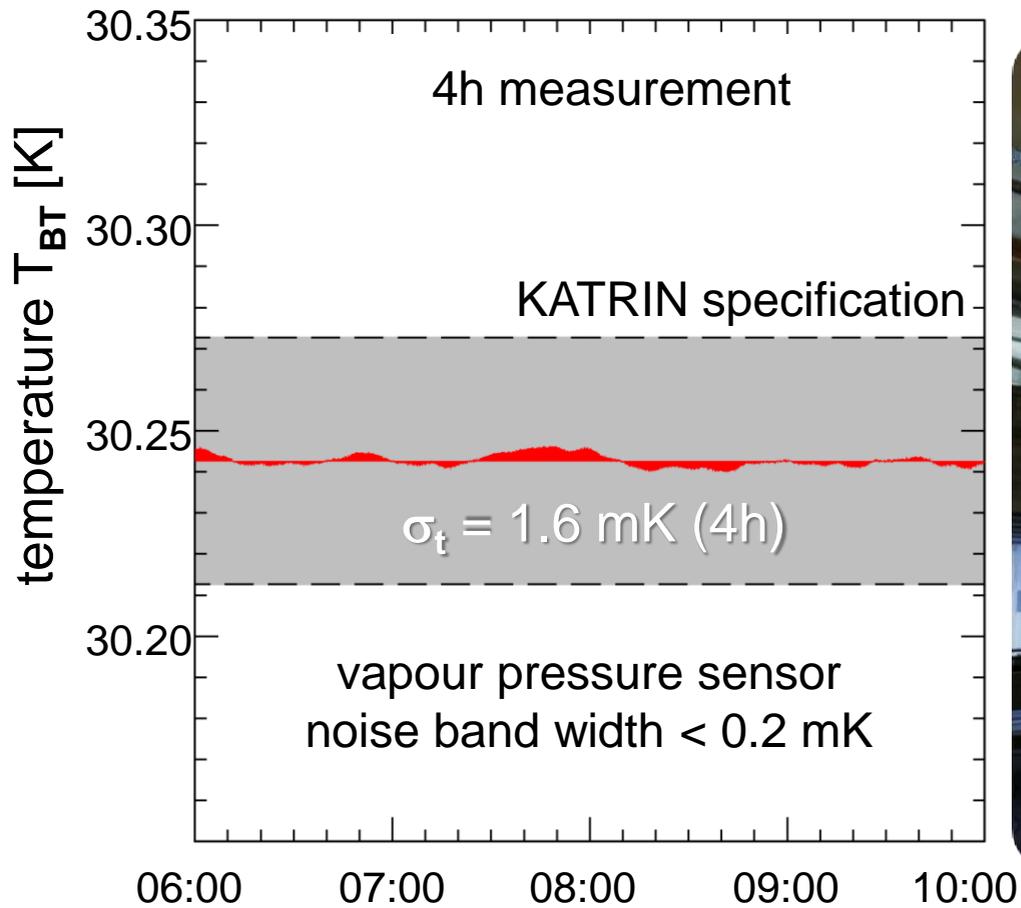
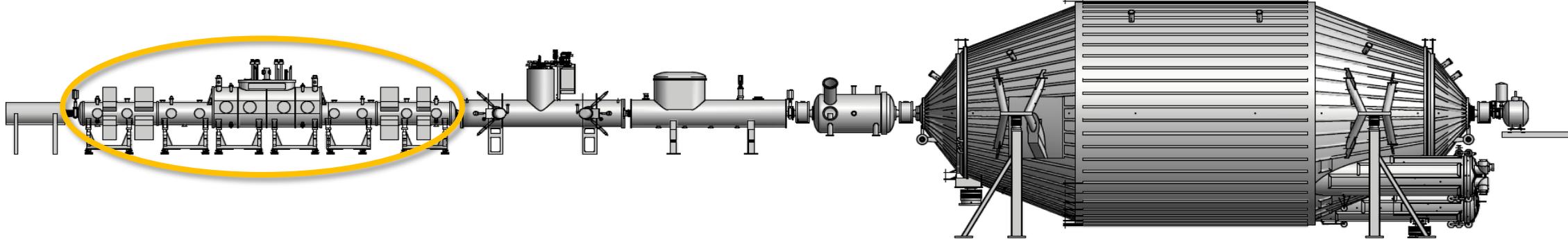
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 - homogeneity (10 m)



WGTS – demonstrator

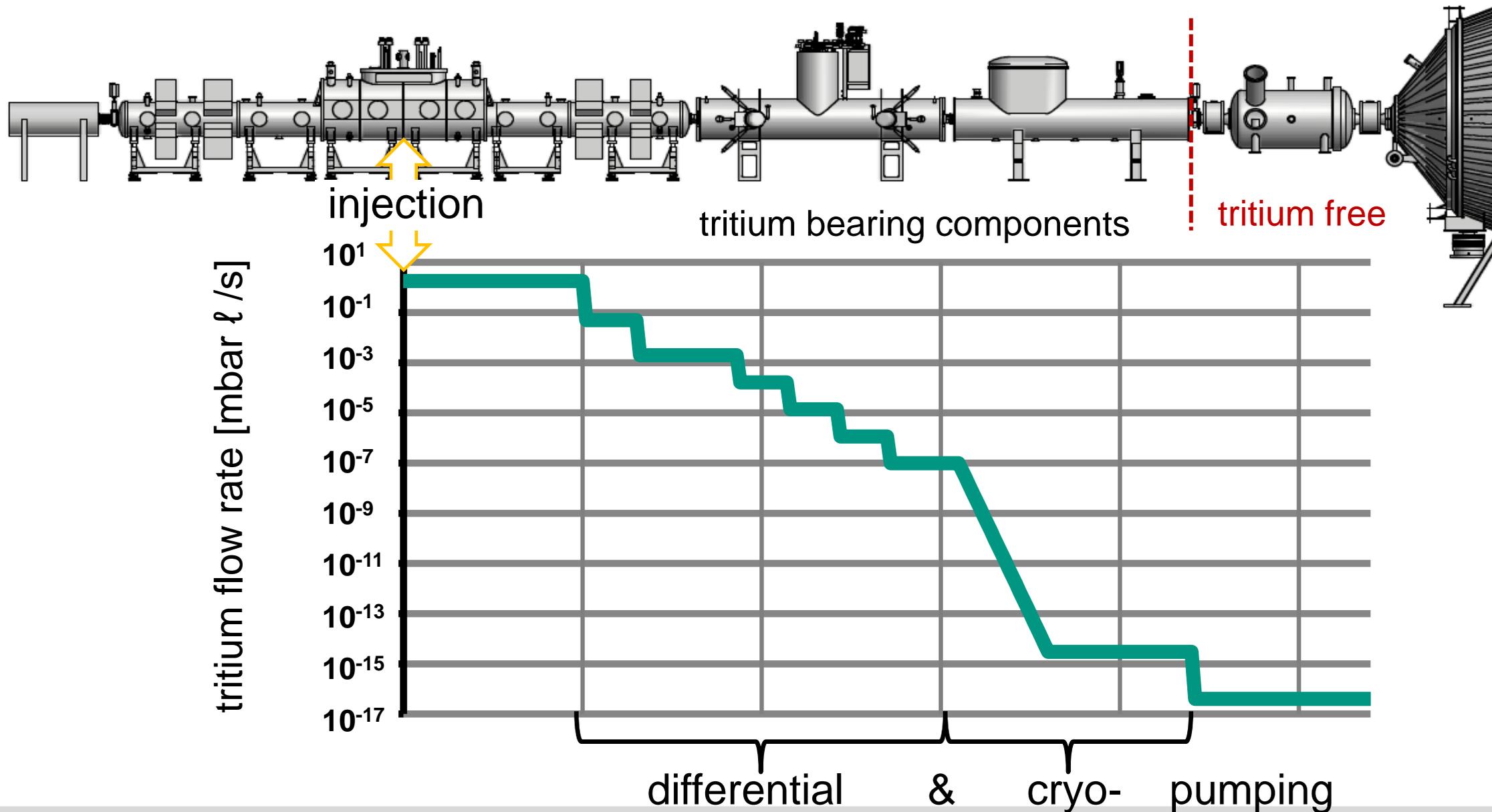


WGTS – demonstrator



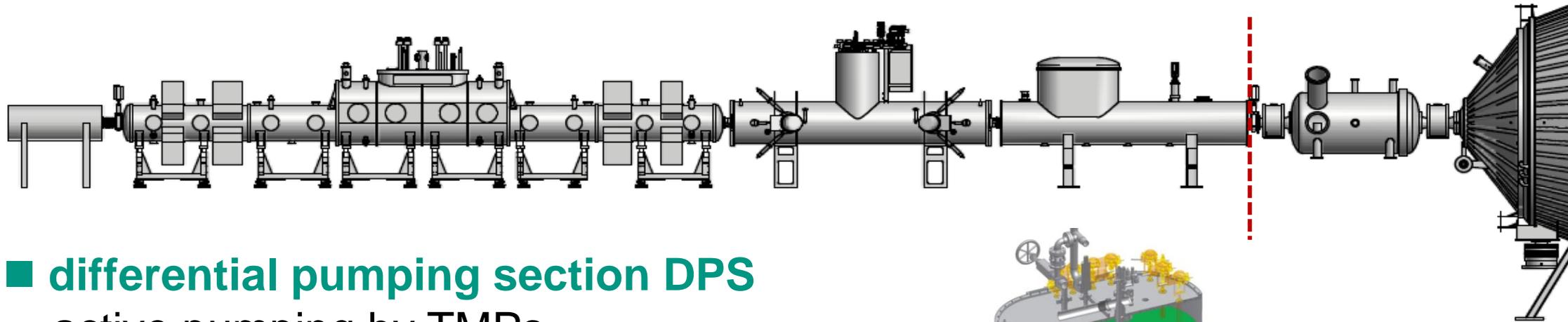
tritium retention techniques

- tritium flow rate out of the WGTS has to be reduced by **factor** $> 10^{14}$



tritium retention techniques

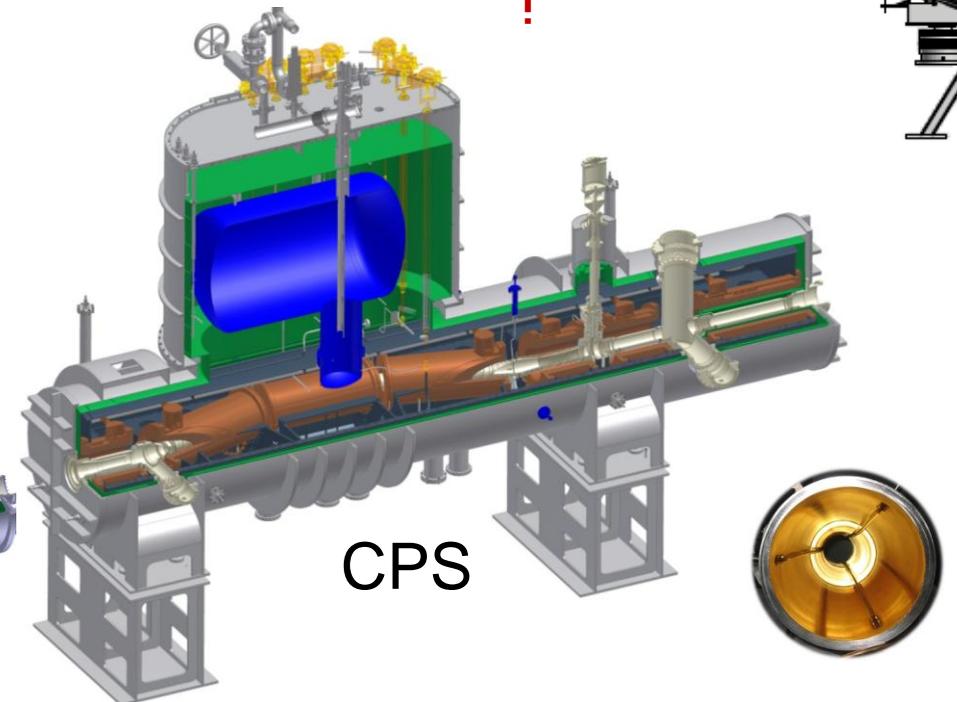
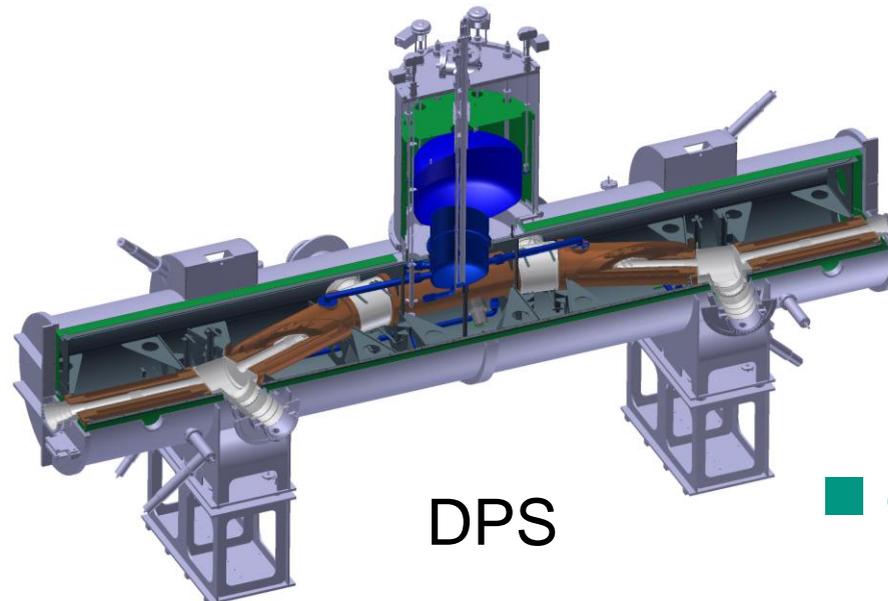
- tritium flow rate out of the WGTS has to be reduced by **factor $> 10^{14}$**



- **differential pumping section DPS**

active pumping by TMPs

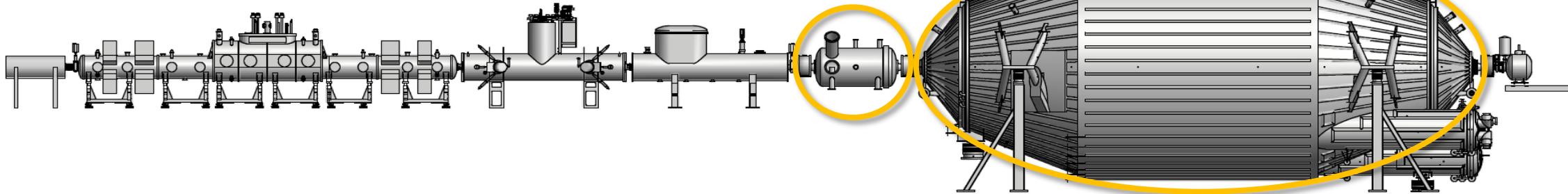
reduction $R = 2.5 \cdot 10^4$ achieved @RT



- **cryogenic pumping section CPS**

cryosorption on Ar-frost

electrostatic spectrometers



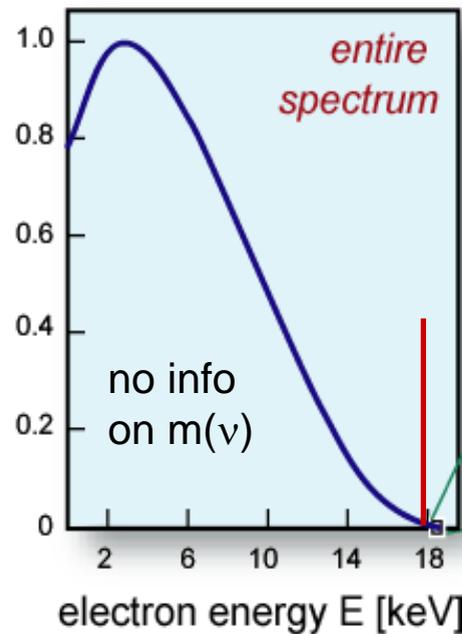
pre-filter option

fixed retarding potential

$$U_0 = - 18.3 \text{ kV}$$

$$\Delta E \sim 100 \text{ eV}$$

- filter out all β -decay electrons without $m(\nu)$ -info
- reduce background from ionising collisions

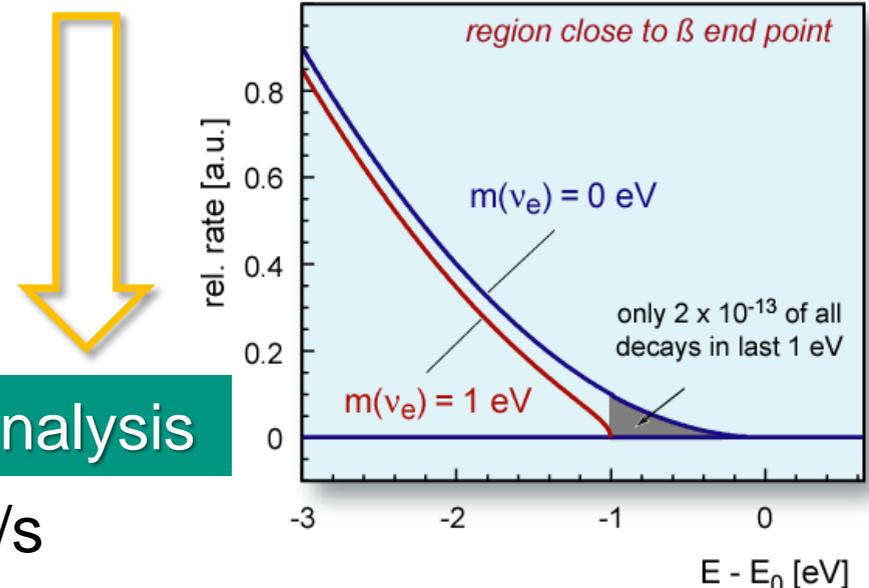


precision filter - scanning

variable retarding potential

$$U_0 = - 18.4 \dots -18.6 \text{ kV}$$

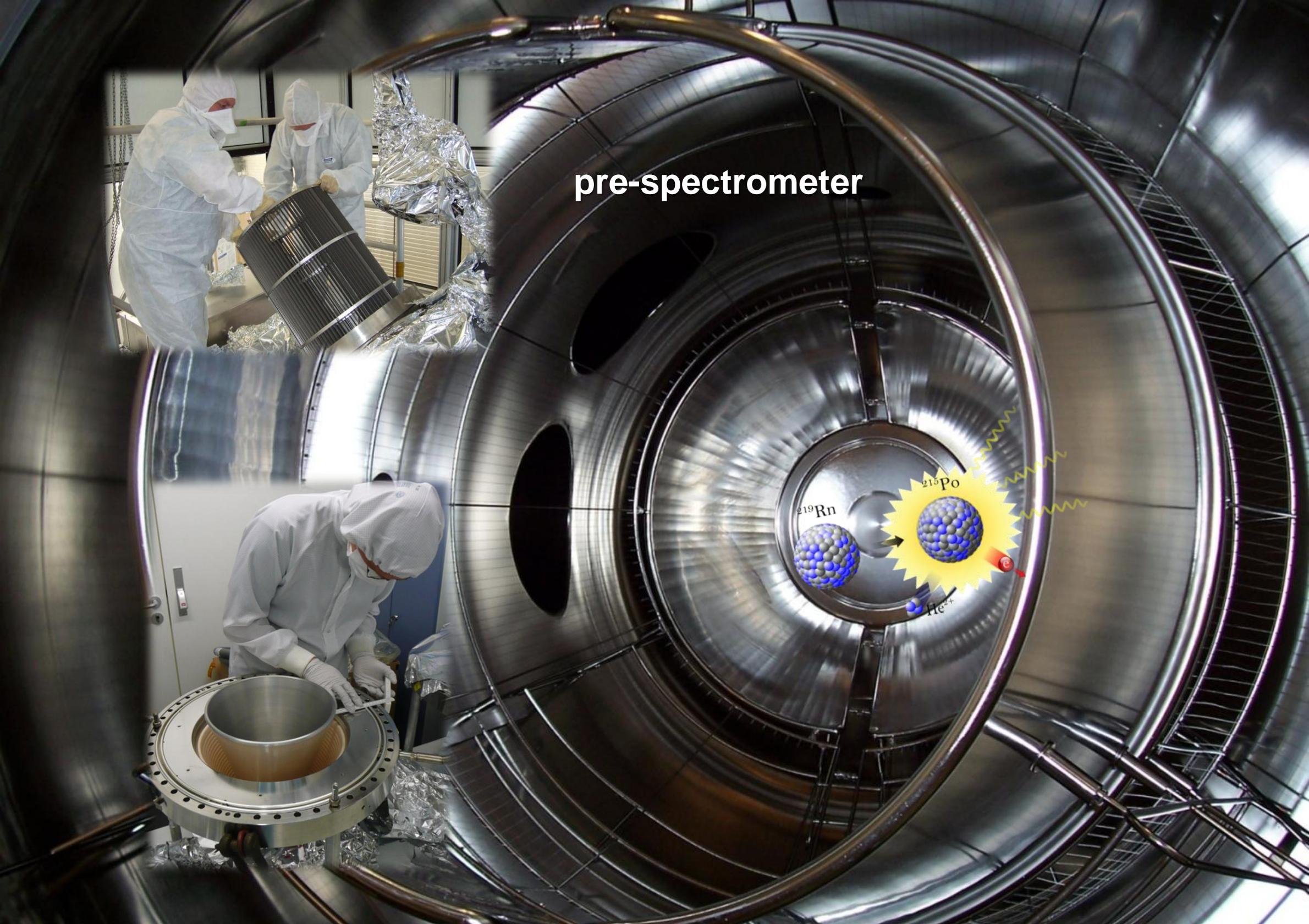
$$\Delta E \sim 0.93 \text{ eV (100% transmission)}$$



tandem design: pre-filter & energy analysis

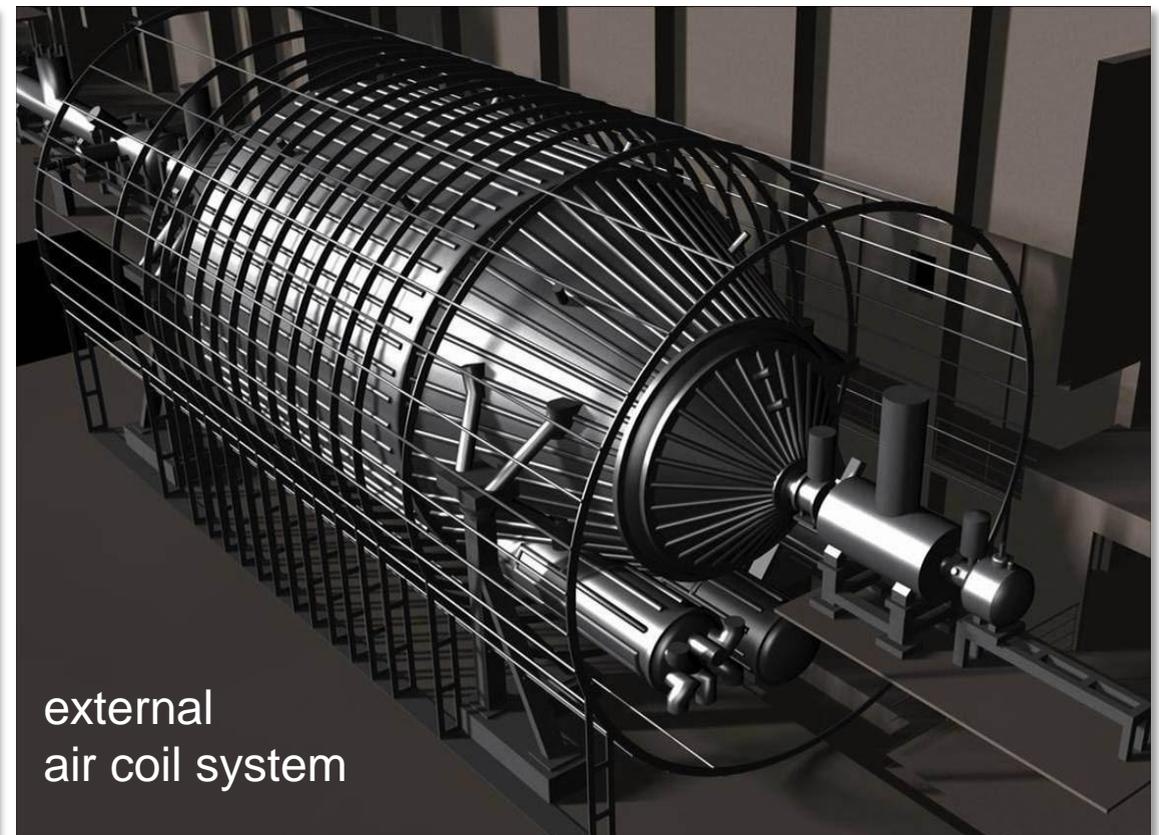
$$10^{11} \text{ electrons/s} \Rightarrow 10^3 \text{ electrons/s}$$

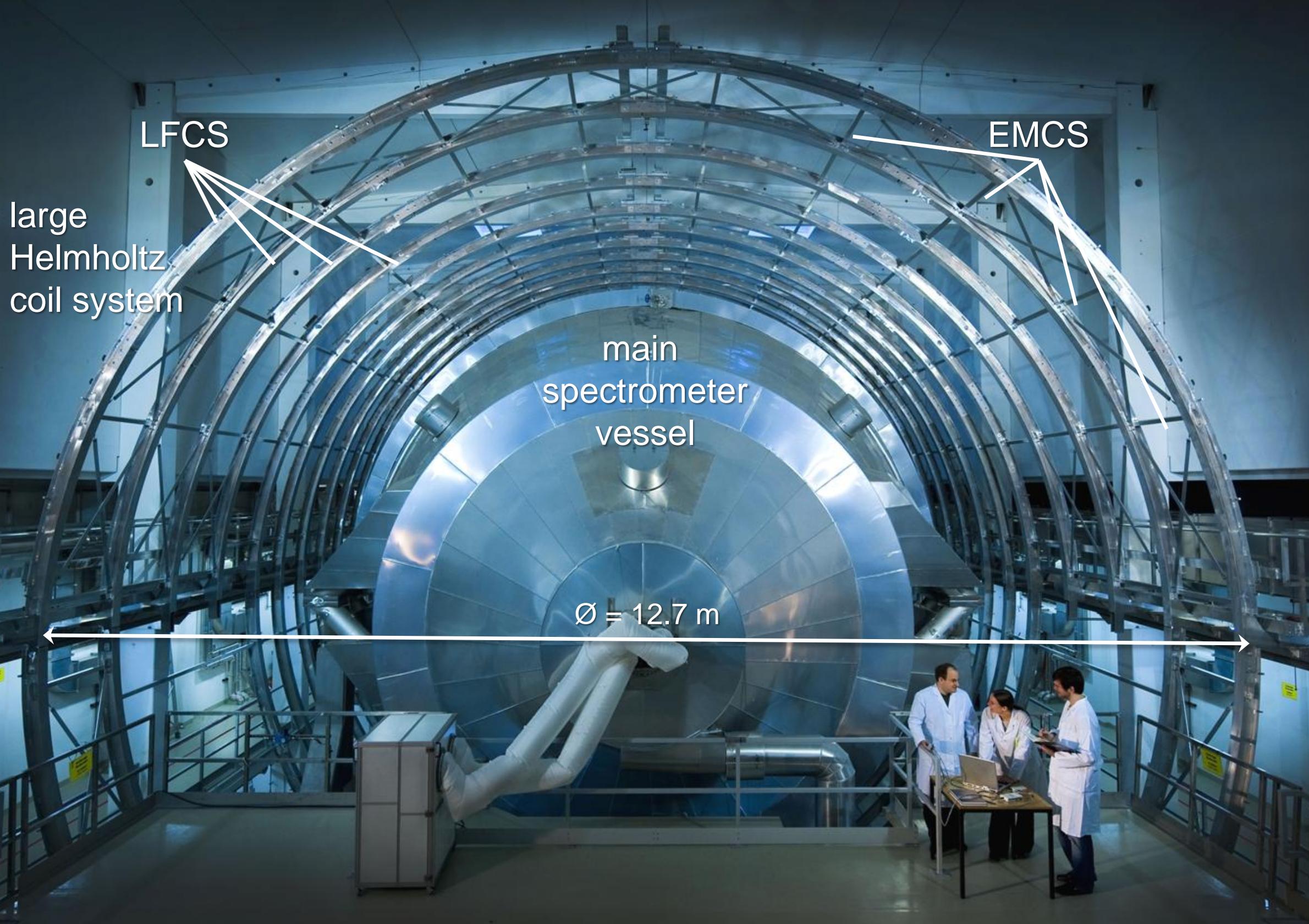
pre-spectrometer



main spectrometer

- **ultra-precise energy analysis** of β -decay electrons close to endpoint E_0 with 'energy resolution' $\Delta E = 0.93 \text{ eV}$ (0% \rightarrow 100% transmission)
- **features:** $\varnothing = 10 \text{ m}$, length = 24 m, surface = 690 m², volume = 1240 m³, $p < 10^{-11} \text{ mbar}$ (world's largest UHV recipient)
inner electrode system & external Helmholtz-type air coil system





LFCS

EMCS

large
Helmholtz
coil system

main
spectrometer
vessel

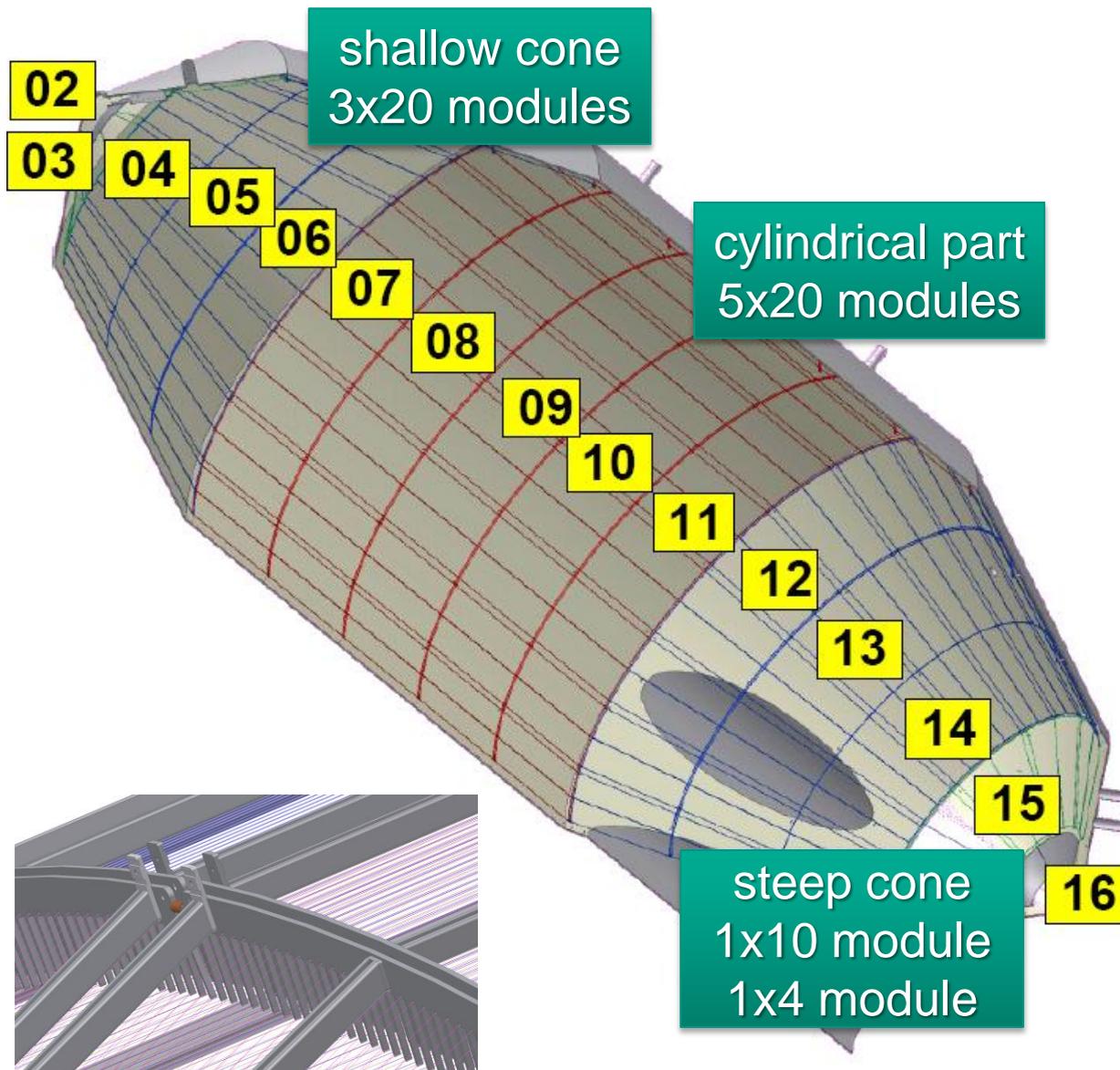
$\text{Ø} = 12.7 \text{ m}$



inner electrode system – objectives

- a double-layered inner electrode system for β -spectroscopy

>24,000 wires
(in 250 frames)



#1: background suppression

inelastic reactions of cosmic muons
↳ low-energy secondary electrons
from the 690 m² inner surface are
repelled electrostatically

#2: fine forming of retarding field

- precision HV power supplies:
intrinsic HV precision ~1 ppm
- dipole/ECR mode: eject particles
stored in Penning traps

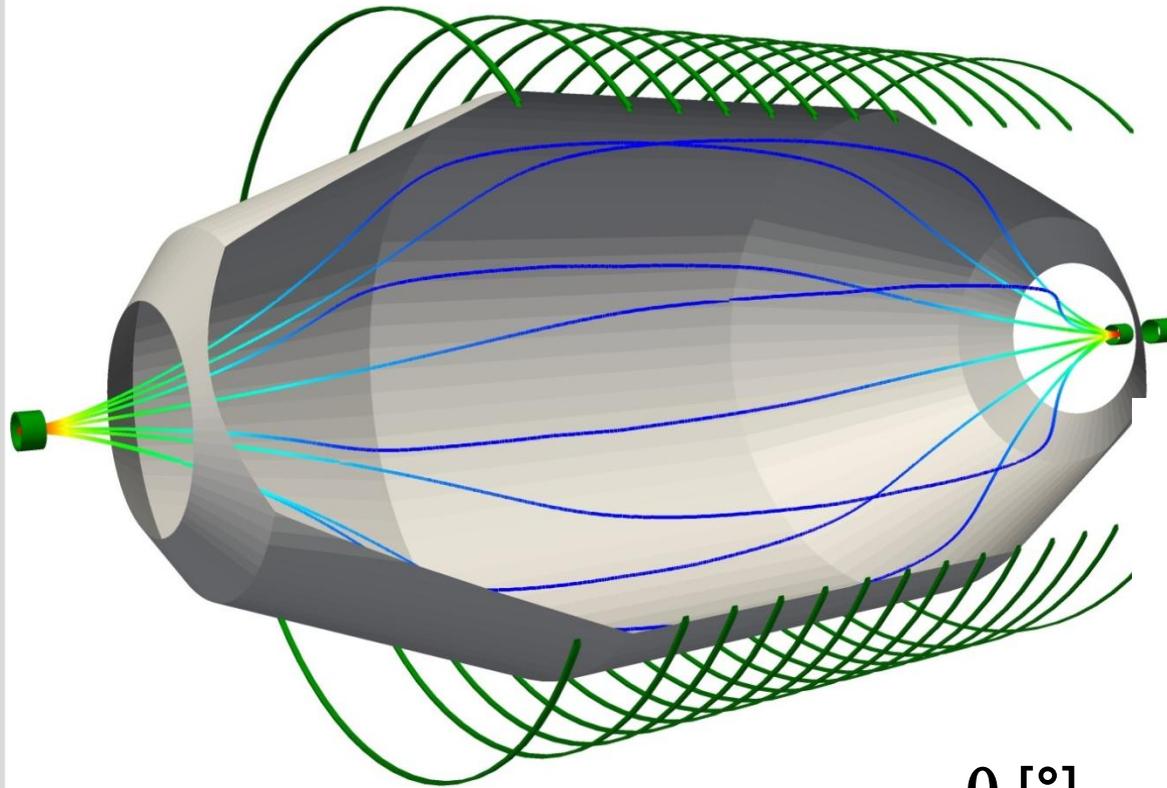
SDS Commissioning Measurements

KATRIN Collaboration
2011-10-07, Revision 10835



KASSIOPEIA: signal & background

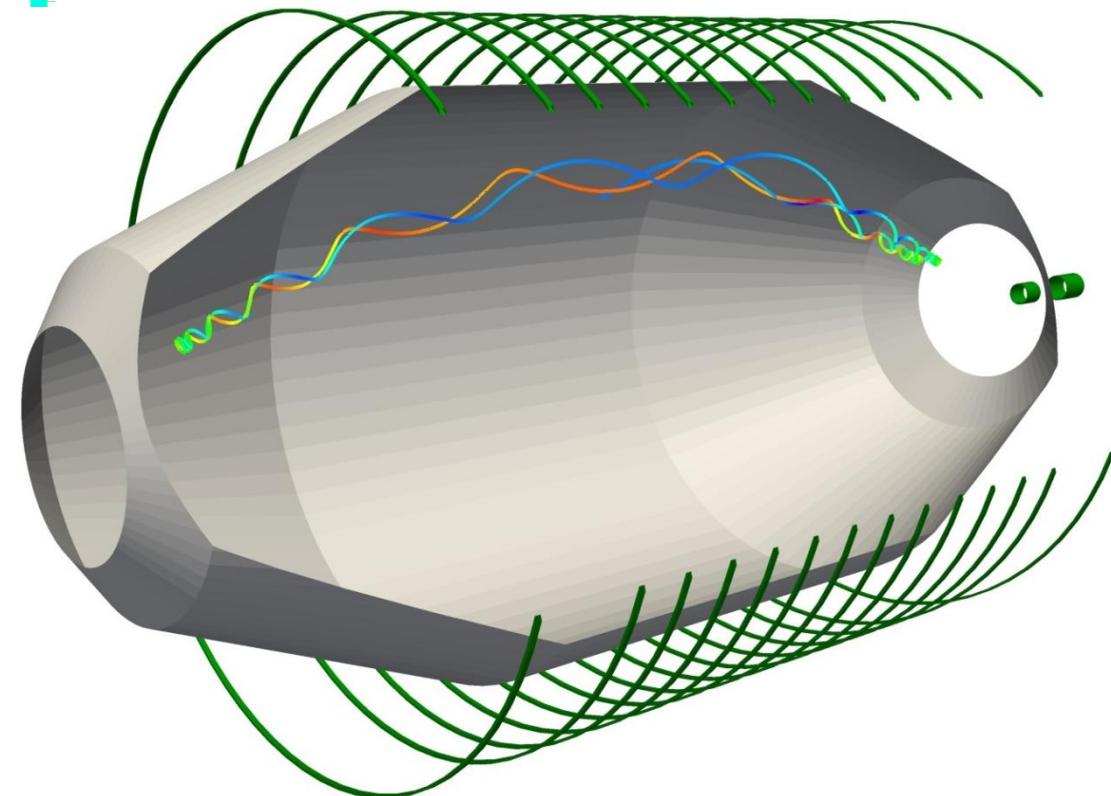
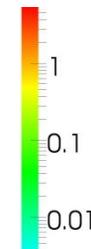
■ KASSIOPEIA: detailed simulation of electron trajectories



■ **trapping of bg-electrons:**
spectrometer acts as
a magnetic bottle,
long storage (h)

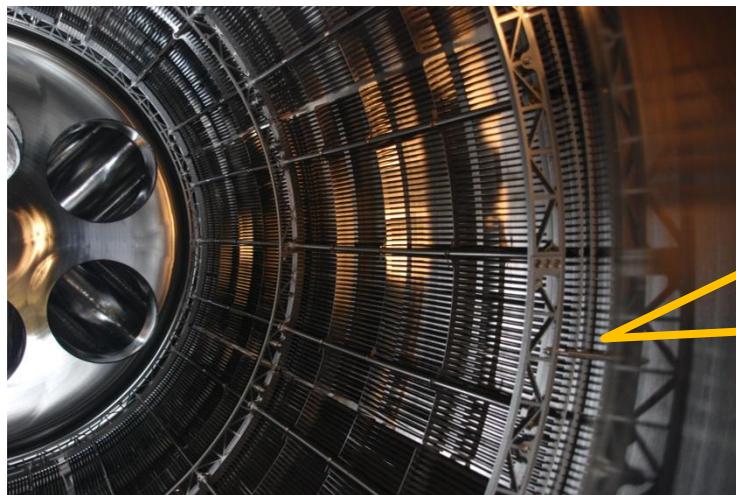
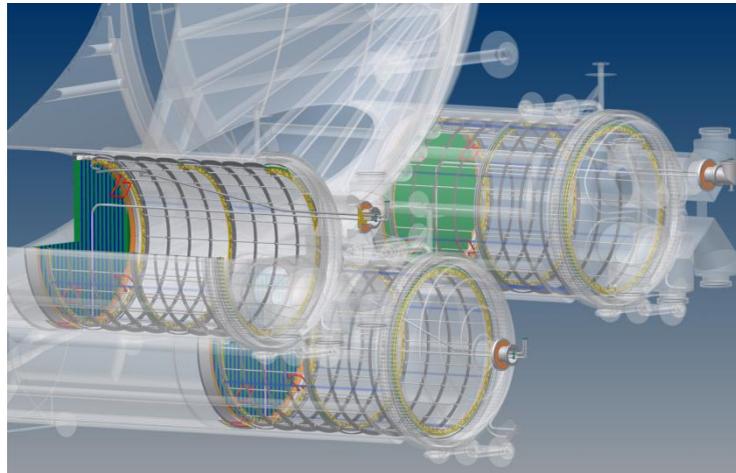


B [T] ■ **transmission of β -electrons:**
magnetic guiding &
electrostatic retardation



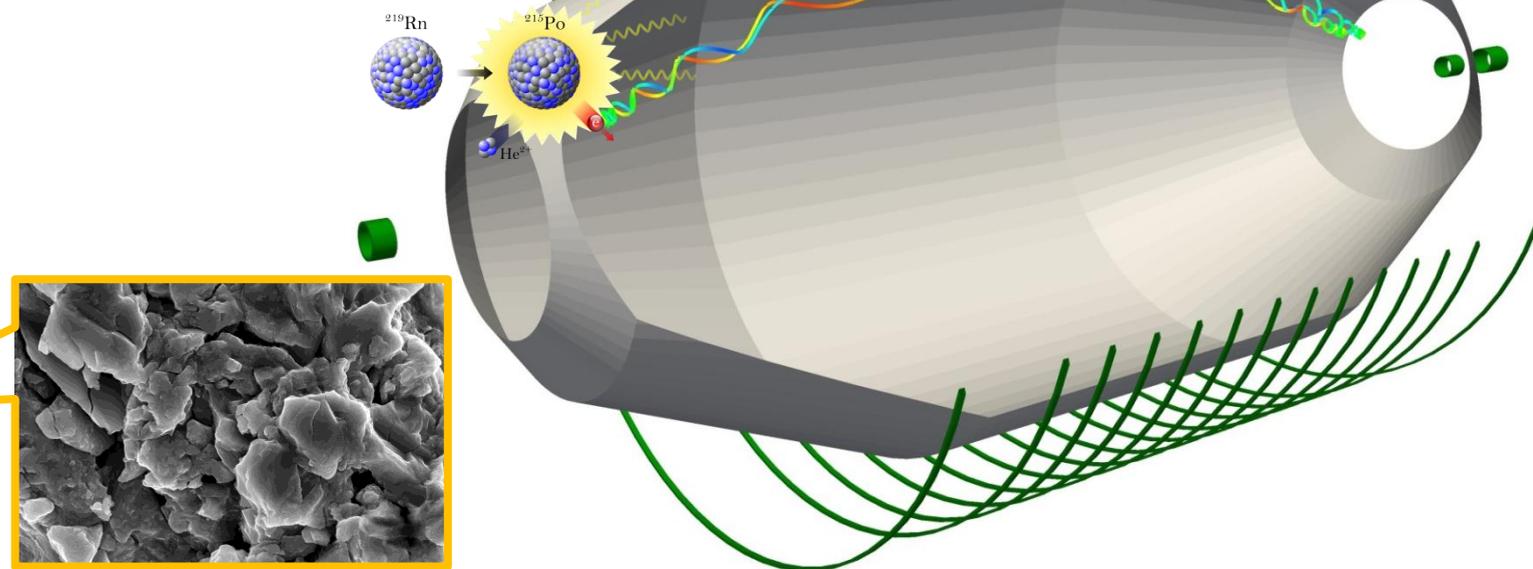
radon induced background

- ^{219}Rn emanation from St707 NEG getter strips ($3 \cdot 1 \text{ km}$) in pump ports of spectrometers



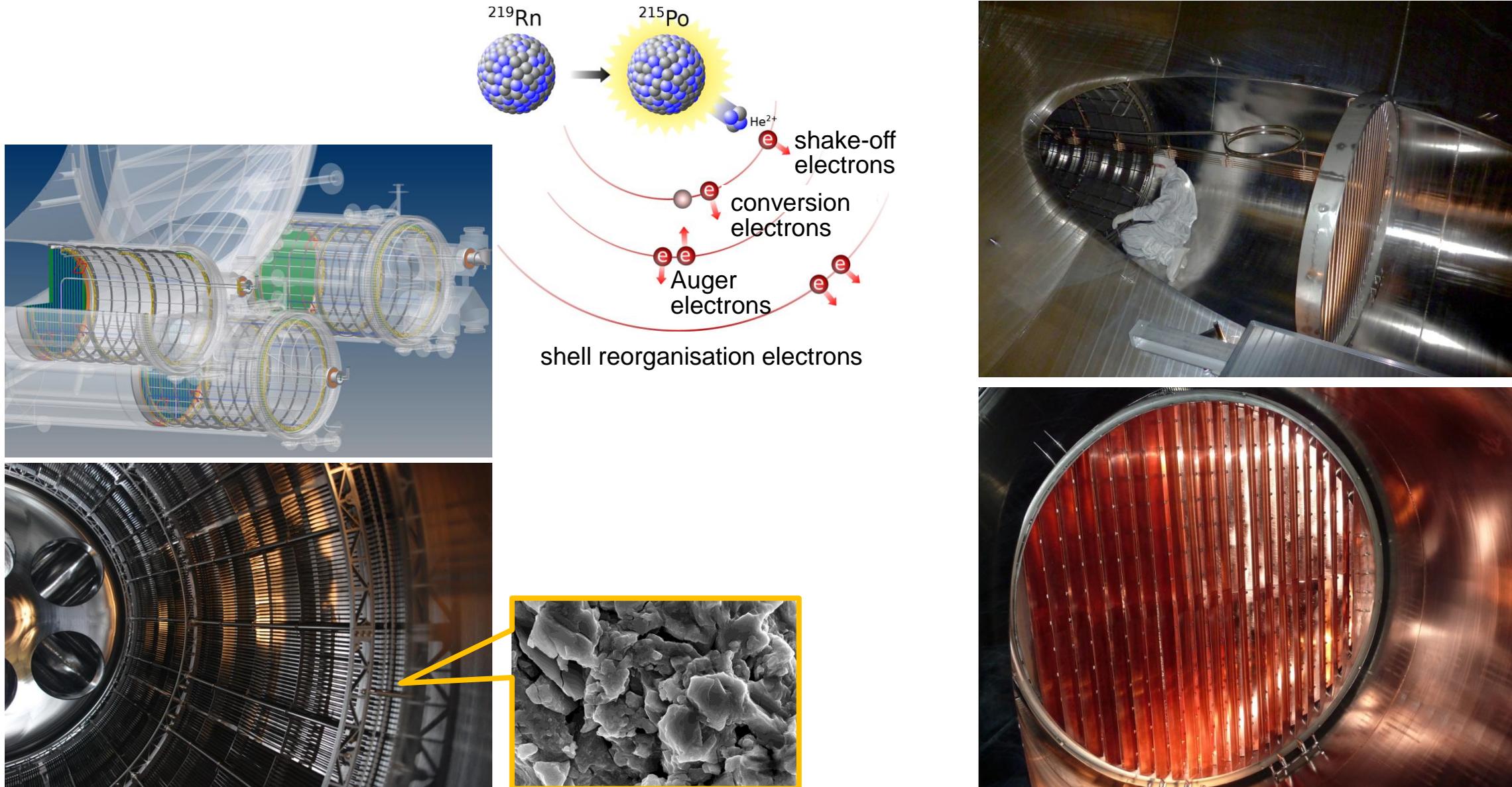
F.M. Fränkle et al.,
Astropart. Phys. **35** (2011) 128

S. Mertens, PhD thesis KIT (2012)



KASSIOPEIA: signal & background

■ passive background reduction: **LN2-cooled baffles** to cryocondense ^{219}Rn



The diagram illustrates the decay of ^{219}Rn into ^{215}Po and the resulting electron emissions. The decay process is shown as a transition from a ^{219}Rn nucleus to a ^{215}Po nucleus, accompanied by the emission of a He^{2+} ion. The diagram labels the following electron emissions:

- shake-off electrons
- conversion electrons
- Auger electrons
- shell reorganisation electrons

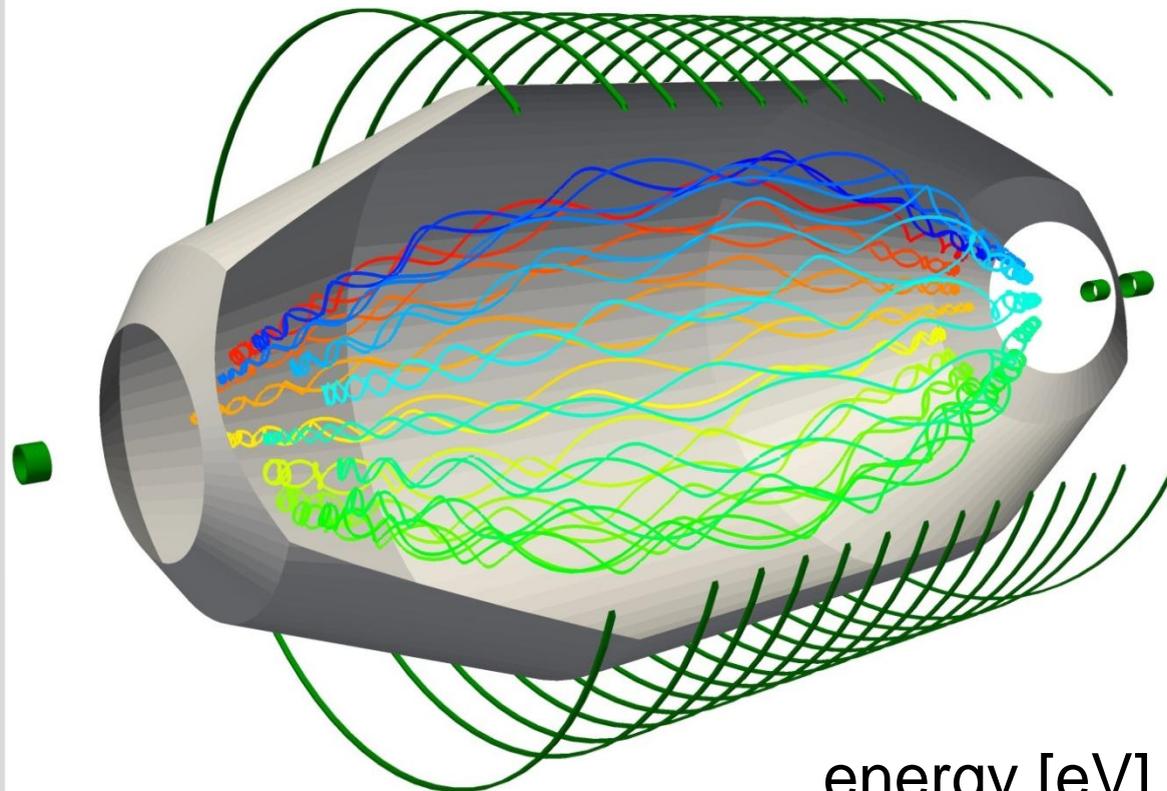
The experimental setup is shown in three images:

- A 3D schematic of the detector assembly, showing a central region surrounded by multiple layers of cylindrical components.
- A photograph of a person in a white protective suit working inside a large, cylindrical metal chamber, likely for maintenance or assembly.
- A close-up photograph of the LN2-cooled baffles, which are copper-colored vertical rods arranged in a circular pattern.

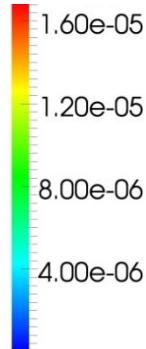
A scanning electron microscope (SEM) image shows the surface morphology of the baffles, which are covered in a layer of condensed material. A yellow arrow points from this SEM image to the corresponding baffles in the photograph above it.

KASSIOPEIA: background reduction

- $^{219,220}\text{Rn}$ emanation from bulk material of vessel: need active bg-suppression



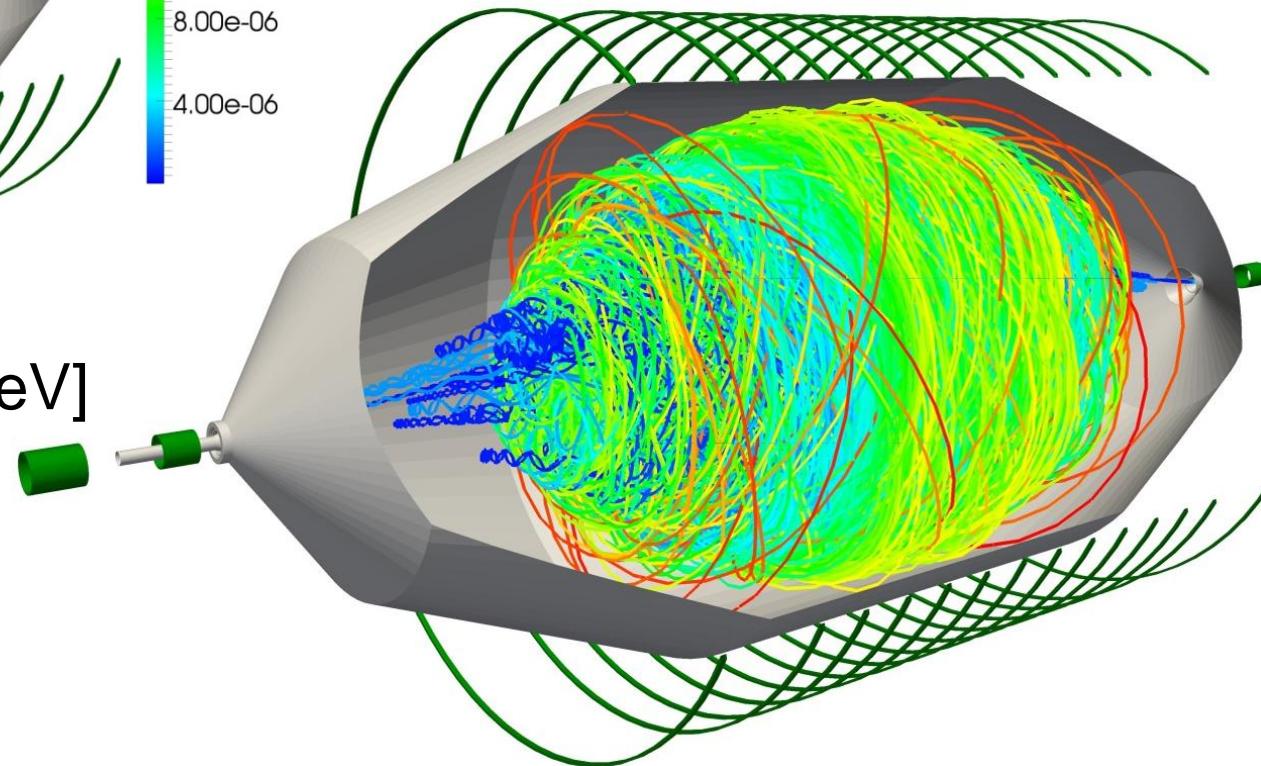
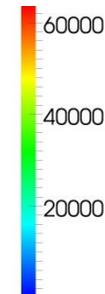
time [s]



- **stored multi-keV electrons:**
rapid cyclotron motion
intermediate axial oscillation
slow magnetron drift

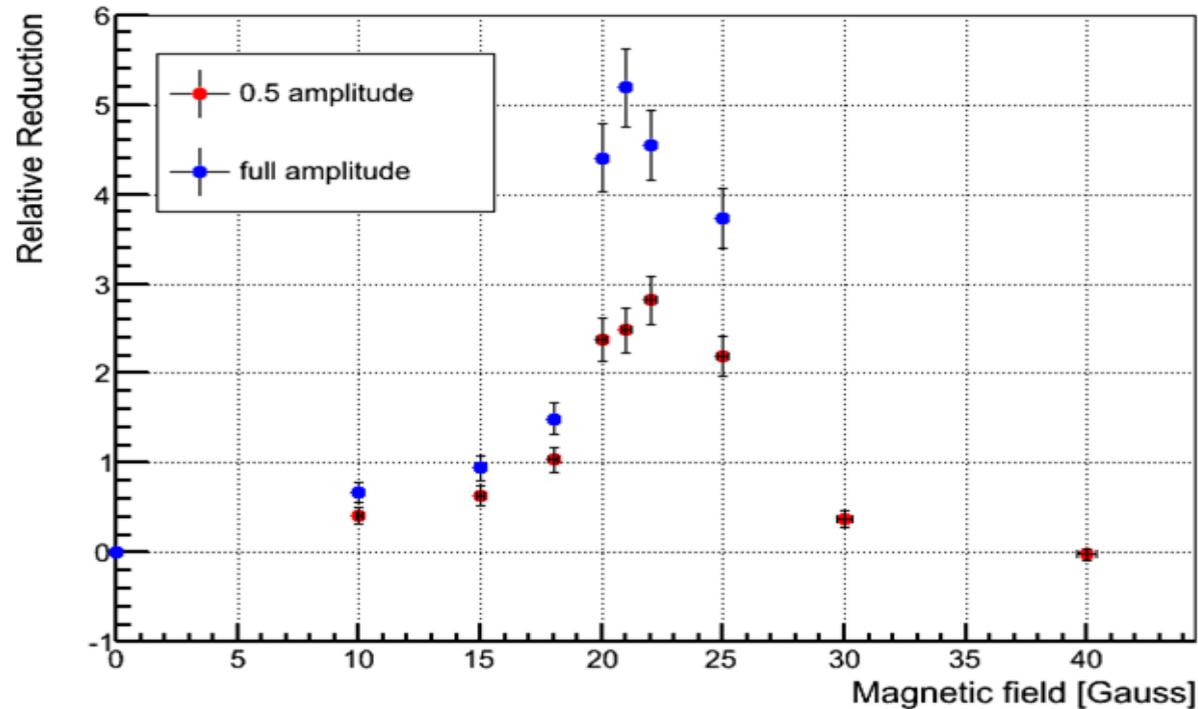
- **ECR technique:**
stochastic heating
by short RF pulses
with $\omega_{\text{RF}} = \omega_{\text{cycl}}$

energy [eV]



KASSIOPEIA: background reduction

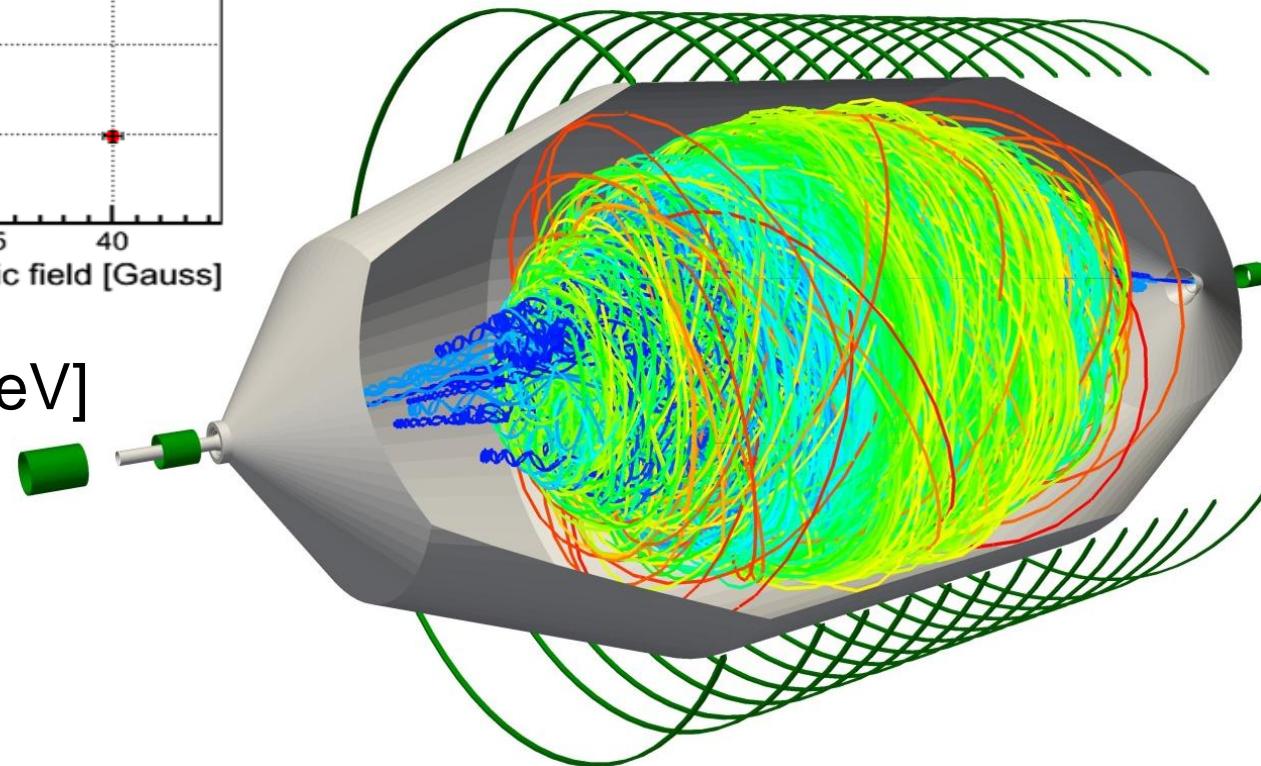
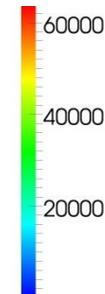
- ECR-tests at pre-spectrometer very successful: promise of low bg!



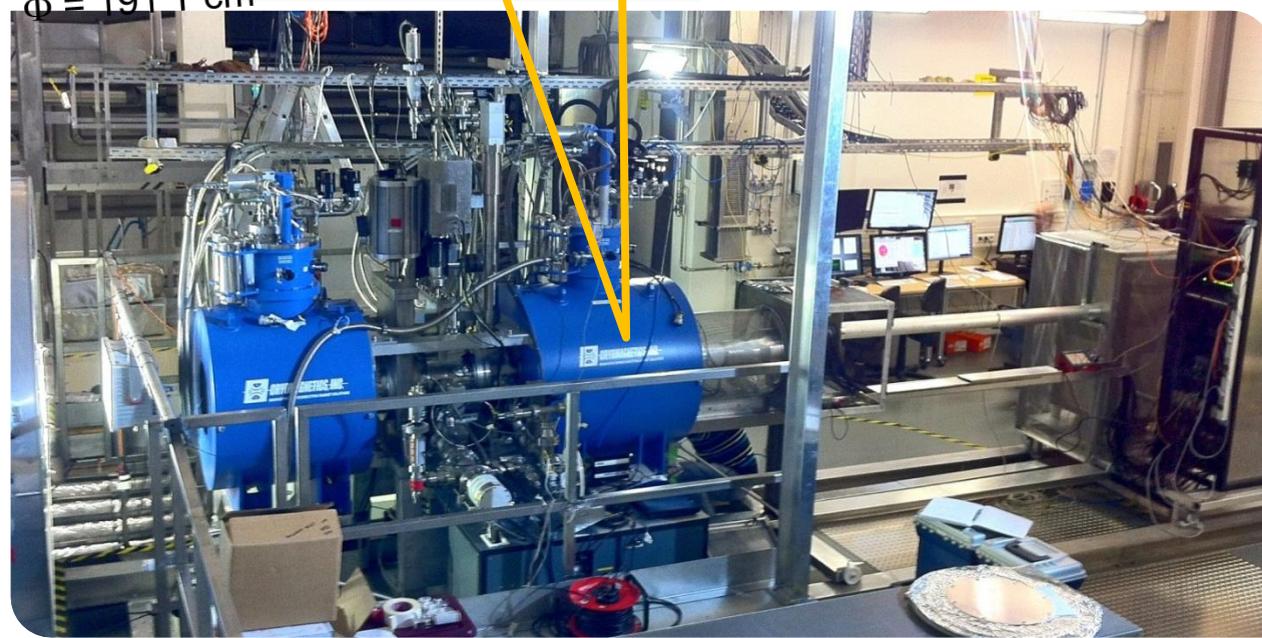
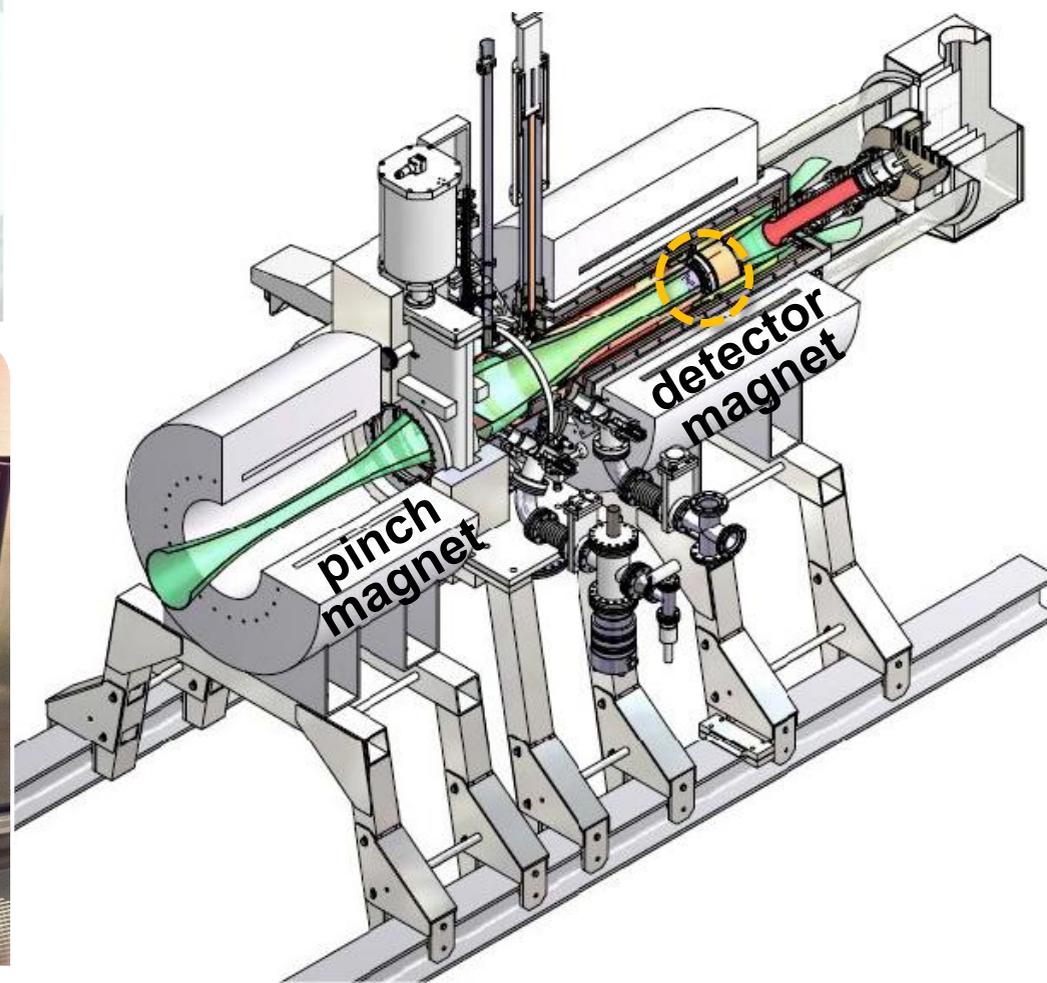
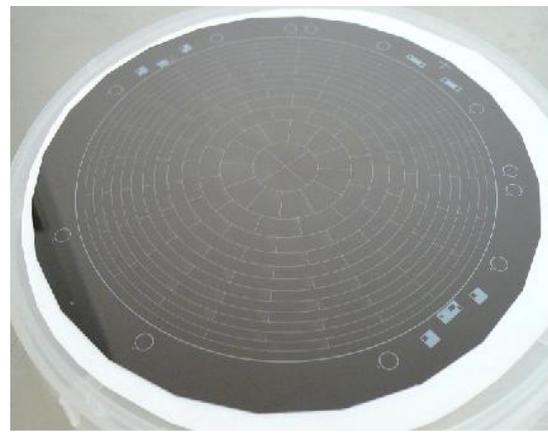
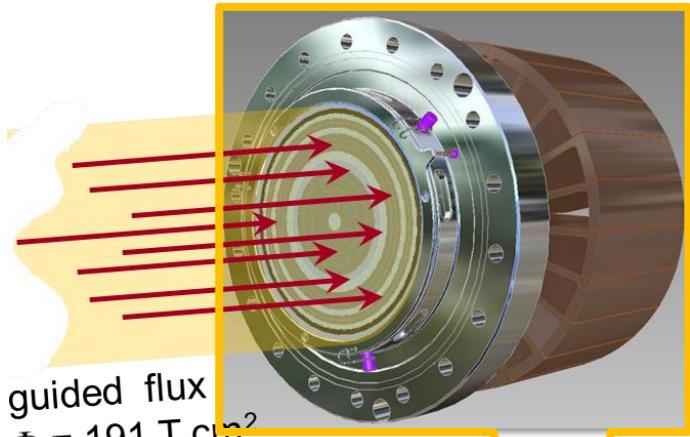
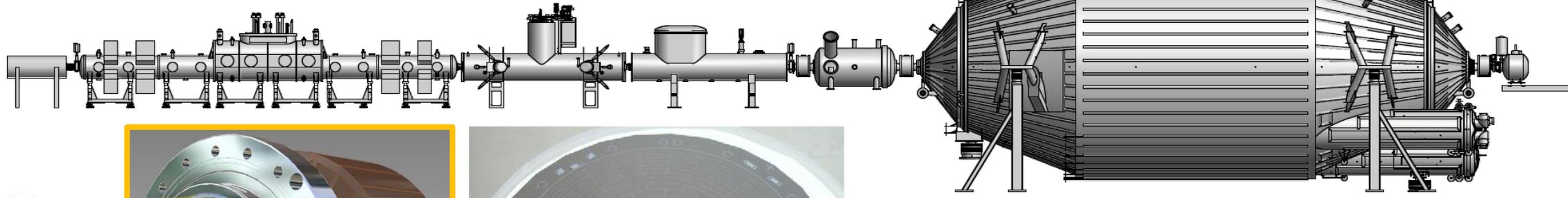
- **stored multi-keV electrons:**
 - rapid cyclotron motion
 - intermediate axial oscillation
 - slow magnetron drift

- **ECR technique:**
 - stochastic heating
 - by short RF pulses
 - with $\omega_{RF} = \omega_{cycl}$

energy [eV]



focal plane system – final commissioning



KATRIN sensitivity

reference ν -mass sensitivity

for 3 'full beam' years:

- statistical & systematic errors contribute equally:

$$\text{statistics } \sigma_{\text{stat}} = 0.018 \text{ eV}^2$$

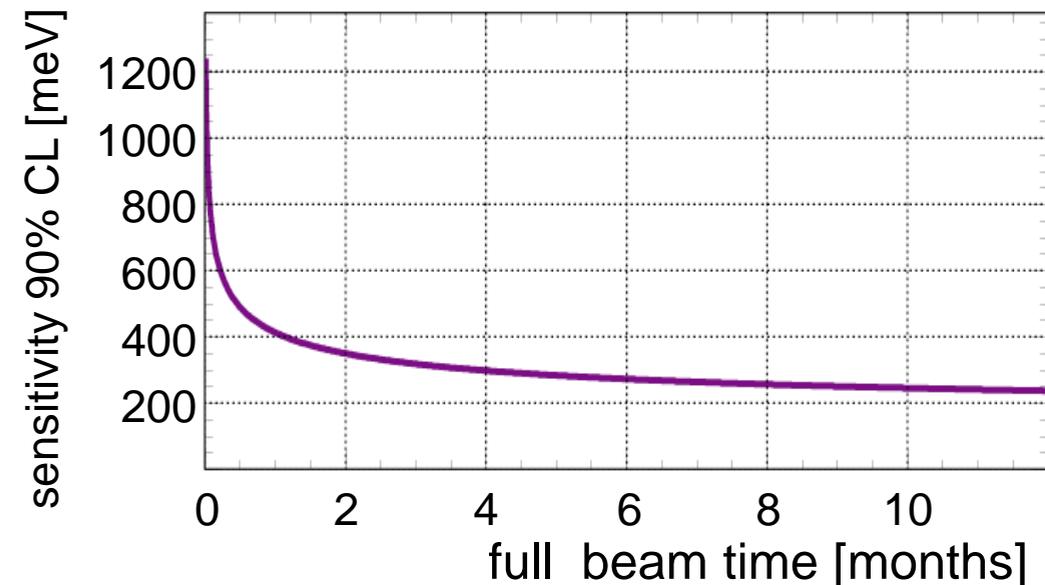
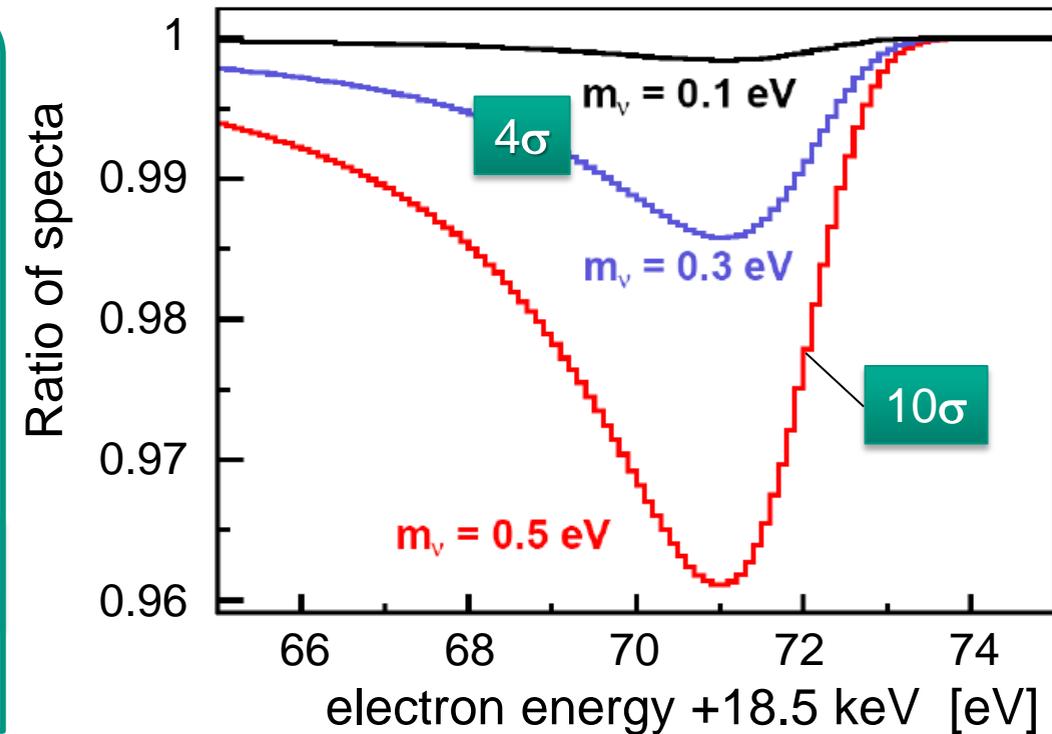
$$\text{systematics } \sigma_{\text{syst}} < 0.017 \text{ eV}^2$$

sensitivity $m(\nu) = 200 \text{ meV}$ (90% CL)

350 meV (5σ)

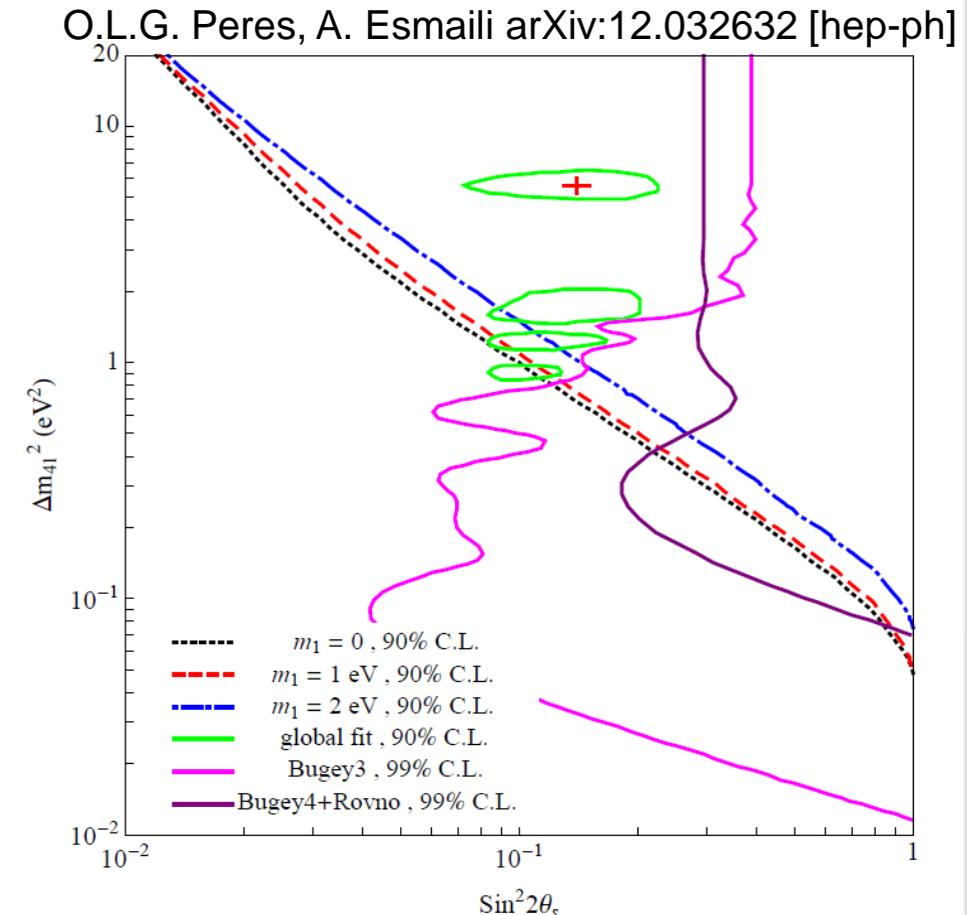
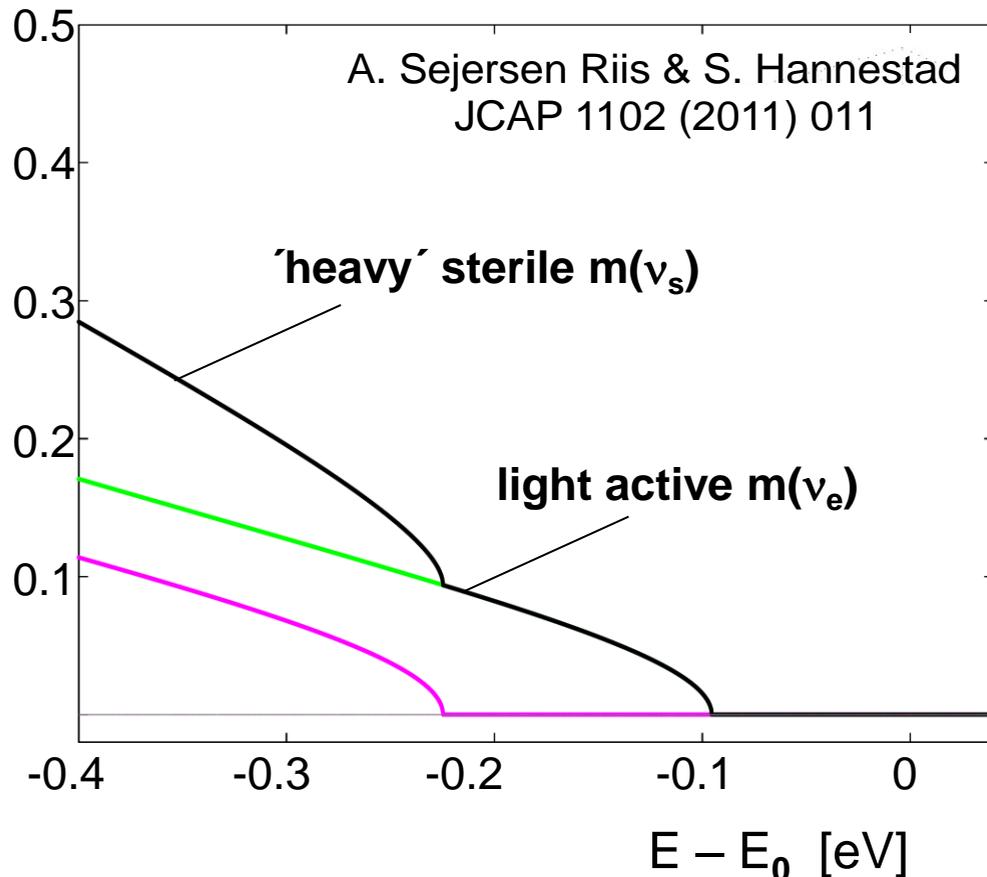
plans for a later KATRIN phase II:

- differential β -energy spectrum:
 - cryo-bolometer array with $\Delta E \sim 1 \text{ eV}$?
 - synchrotron emission (GHz-range)?
- precision external value end point E_0
- atomic tritium source?

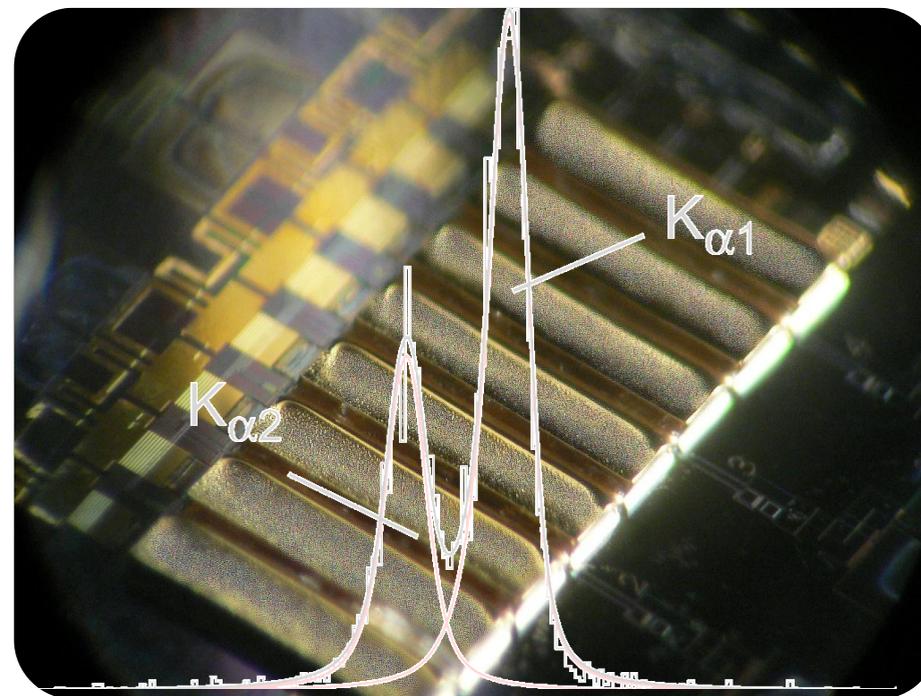


sterile neutrinos: (sub-)eV scale

- Hannestad et al. - initial estimates of KATRIN sensitivity for sterile ν 's
assume very light active neutrinos $m(\nu_e) \sim 0$ eV, mixed with sterile $m(\nu_s)$
- 3σ detection of 'kink' by m_{sterile} if active-sterile mixing $|U_{es}|^2 \geq 0.055$
3+2 scenarios can also be disentangled, **measure absolute value $m(\nu_s)$**



novel approaches: Project 8 & ^{163}Ho EC experiments



Project 8 – a novel technology ansatz

■ basic concept

- source:

(J. Formaggio, B. Monreal et al.)

WGTS in an NMR-type constant B-field

max. β -intensity: $\sim 10^9$ Bq ($\sim 10^{-2}$ of KATRIN)

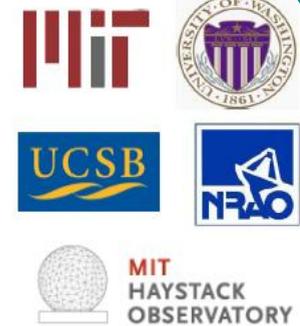
- spectroscopy:

array of microwave antennae to pick up

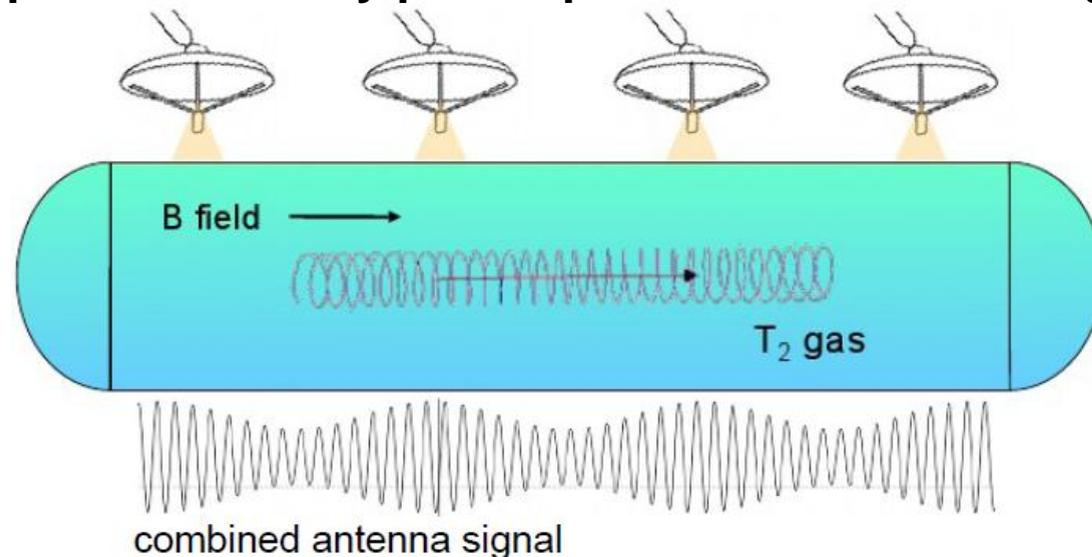
coherent cyclotron radiation of single electrons

as $\Delta\omega \sim 1/t_s \rightarrow$ long sampling time $t_s \sim 40 \mu\text{s}$ for $\Delta E = 1 \text{ eV}$

\rightarrow trapping in magnetic bottle



Doppler effect: array picks up blue- & red-shifted signals



B. Monreal, J. Formaggio, Phys. Rev. D 80, 051301(R) (2009)

■ basic parameters:

$$\omega(\gamma) = \frac{\omega_0}{\gamma} = \frac{e \cdot B}{m_e + E_{e,kin}}$$

- precise measurement of ω yields electron kinetic energy

- $B = 1 \text{ T}$ & $E_{e,kin} = 18.575 \text{ keV}$

$\rightarrow f_0 = \omega_0 / 2\pi \approx 27 \text{ GHz}$

Project 8 – a novel technology ansatz

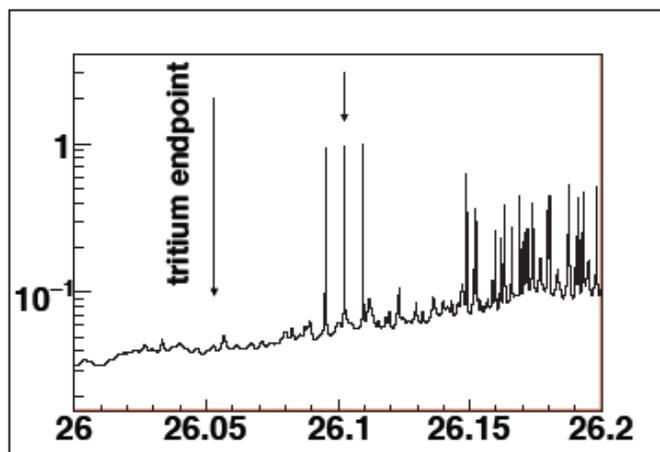
■ experimental challenges:

- very small power P of emitted synchrotron radiation by **single** keV-electron, requires adequate antennae & amplifier technologies

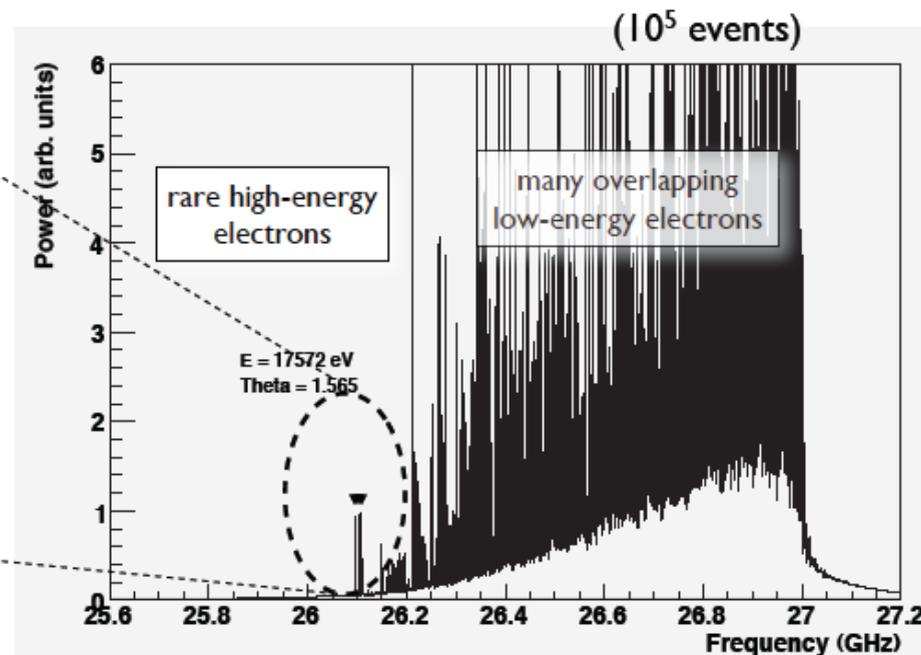
$$P(\beta, \gamma) = \frac{1}{4\pi\epsilon_0} \cdot \frac{2e^2 \cdot \omega_0^2}{3c} \cdot \frac{\beta^2 \cdot \sin^2 \theta}{1 - \beta^2}$$

$P_{\text{signal}} \sim 10^{-15} \text{ W}$ (1T, 18.6 keV)
 $P_{\text{noise}} \sim 10^{-17} \text{ W}$ (thermal noise ampl.)

■ MC simulation: 30 μs measuring interval with 10^5 β -decay electrons



signal: 3 lines (triplet)
1 unshifted (central) coherent line
2 side bands (incoherent Doppler)



Project 8 – a novel technology ansatz

■ experimental status:

- prototype experiment running at UW Seattle
- aim: detect cyclotron emission from **single** electron
- source: 17.8 keV electrons from $^{83\text{m}}\text{Kr}$ (K32-line)
- cryostat: $B = 1\text{T}$, small magnetic bottle ($V = 1\text{ mm}^3$)



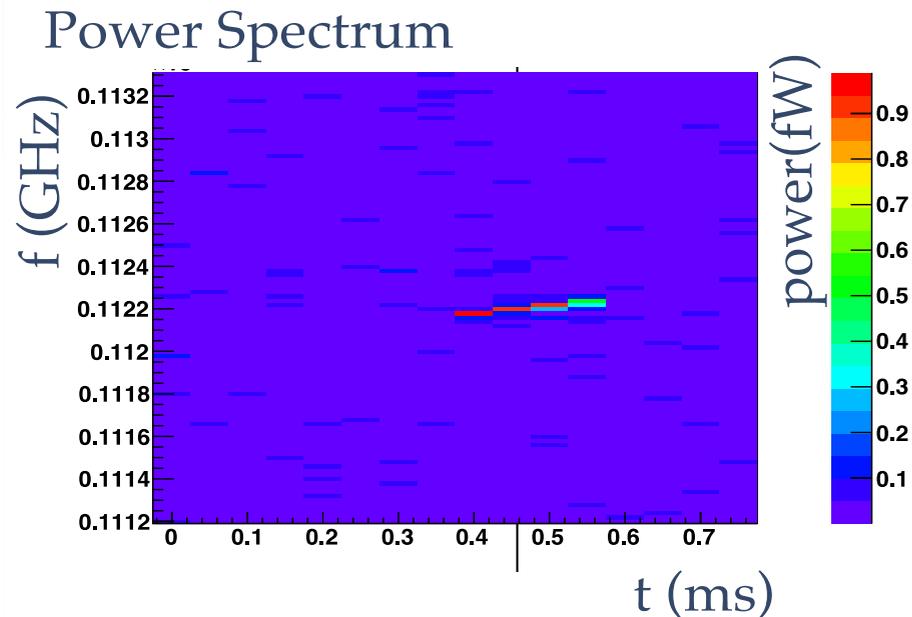
■ R&D on:

- antenna technology
- receiver & DAQ technology
- study Doppler shifts

■ Project 8 aims for

sensitivity $m(\nu) =$
100 meV (90% CL)

■ a lot of R&D work still to be performed

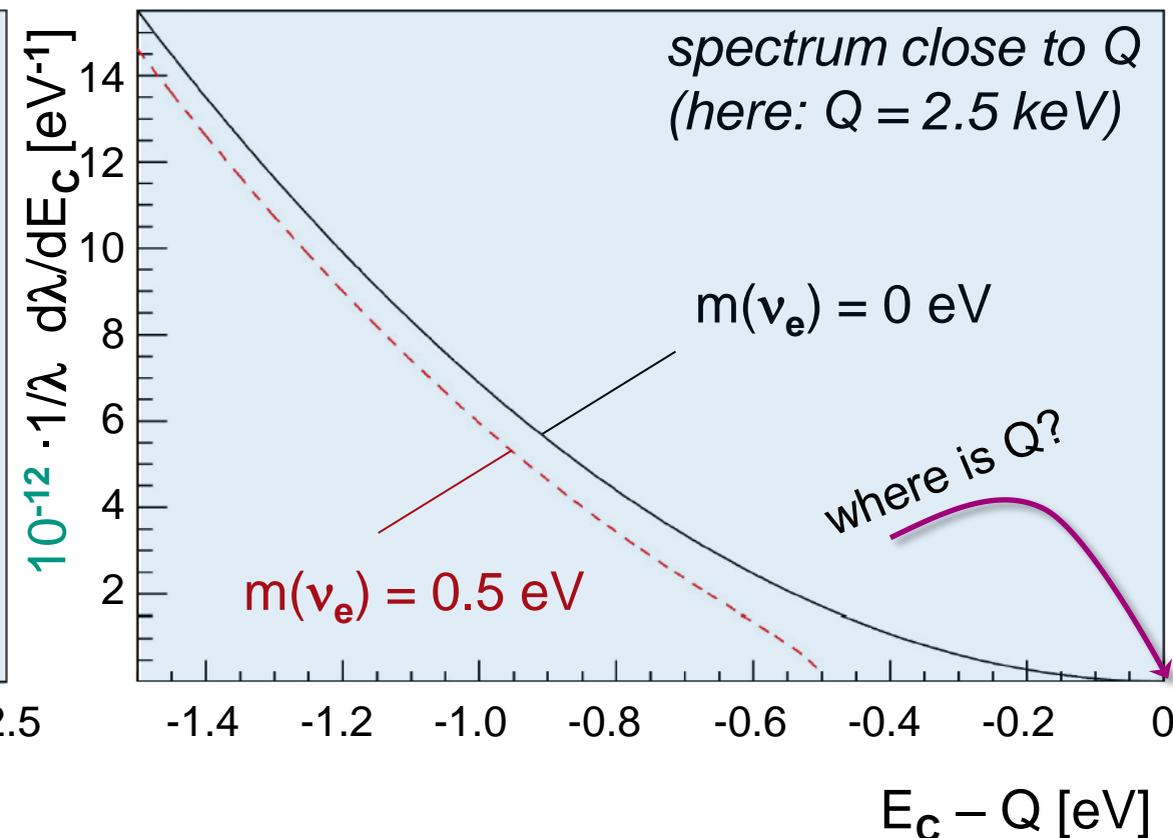
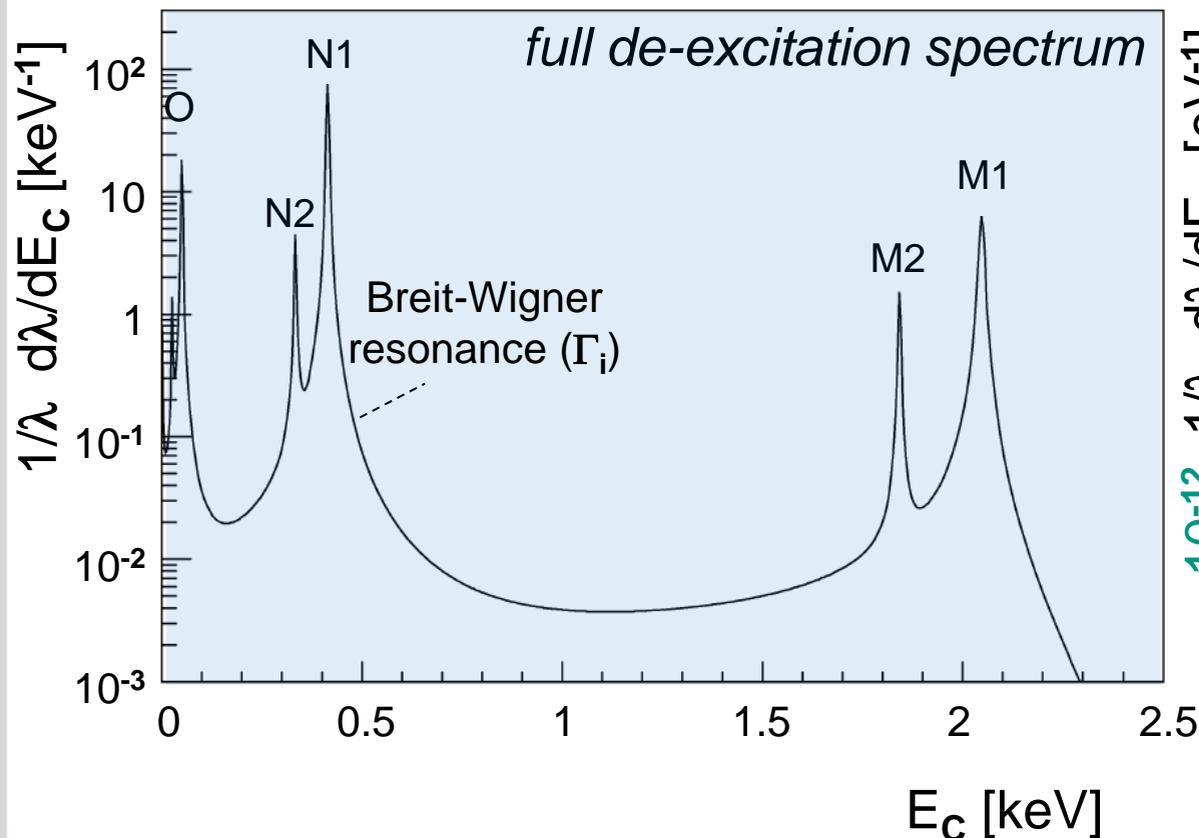


electron capture & ν -mass

- electron capture: non-zero $m(\nu_e)$ value affects the EC de-excitation spectrum

EC of ^{163}Ho is suitable candidate: $^{163}\text{Ho} + e^- \rightarrow \nu_e + ^{163}\text{Dy}^* \rightarrow ^{163}\text{Dy} + E_C$

$$\frac{d\lambda_{EC}}{dE_C} \sim (Q - E_C) \cdot \sqrt{(Q - E_C)^2 - m^2(\nu_e)} \cdot \sum_i n_i \cdot C_i \cdot \beta_i^2 \cdot B_i \cdot \frac{\Gamma_i}{2\pi} \cdot \frac{1}{(E_C - E_i)^2 + \Gamma_i^2 / 4}$$

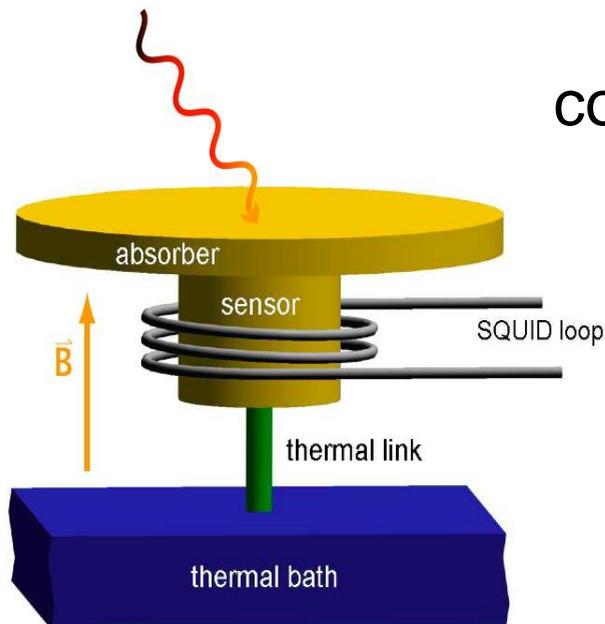


^{163}Ho experiments

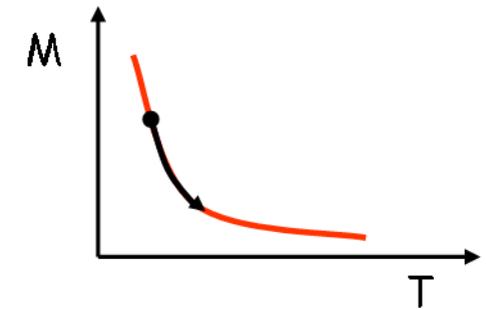
- ^{163}Ho EC-decay parameters: $Q_{\text{EC}} \sim 2.5 \text{ keV}$, $t_{1/2} = 4570 \text{ y}$
detection of de-excitation of $^{163}\text{Dy}^*$ (Dysprosium) by micro-calorimeters
 - **MARE groups** in Genova and Milano (& collaborators)
 - **ECHO collaboration** (**E**lectron **C**apture ^{163}Ho experiment):
Uni Hd, MPIK, Saha Inst. of Nucl. Phys., CERN – ISOLDE, Petersburg

- interesting and promising new detector technology:

MMC: magnetic micro-calorimeters with paramagnetic sensor Au:Er



concept: δT in absorber from EC-decay
 \Rightarrow change in magnetism δM of sensor



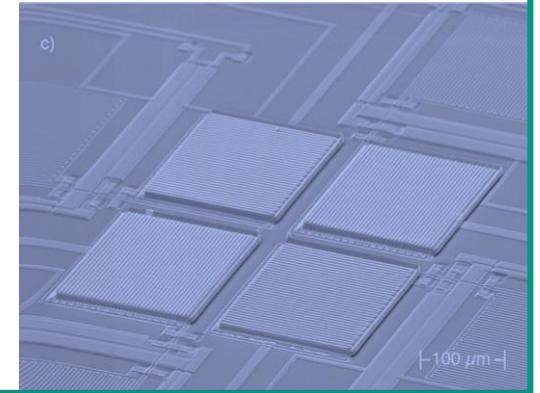
calorimeter signal:

$$\delta\Phi_s \sim \frac{\partial M}{\partial T} \cdot \Delta T \sim \frac{\partial M}{\partial T} \cdot \frac{1}{C_{\text{tot}}} \cdot \delta E$$

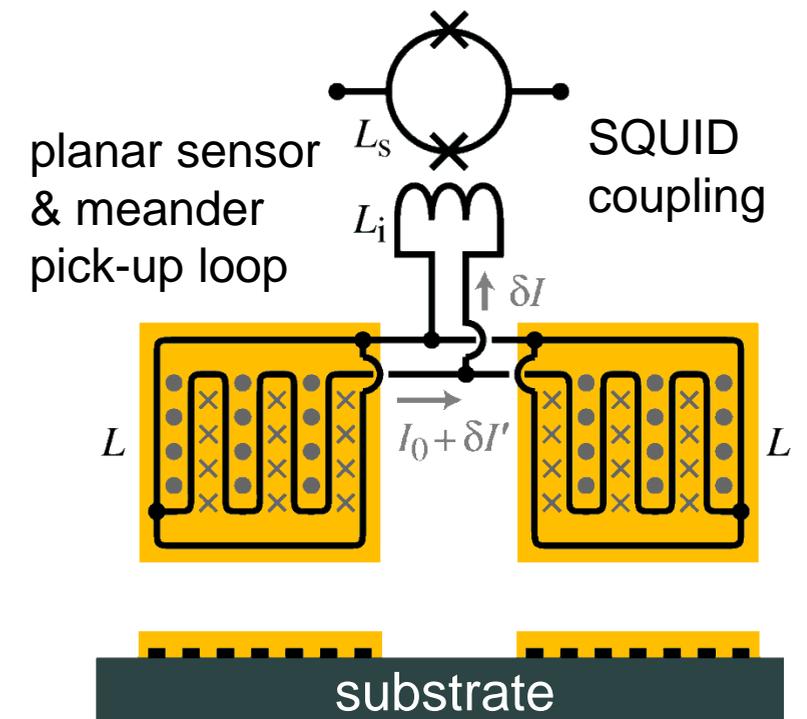
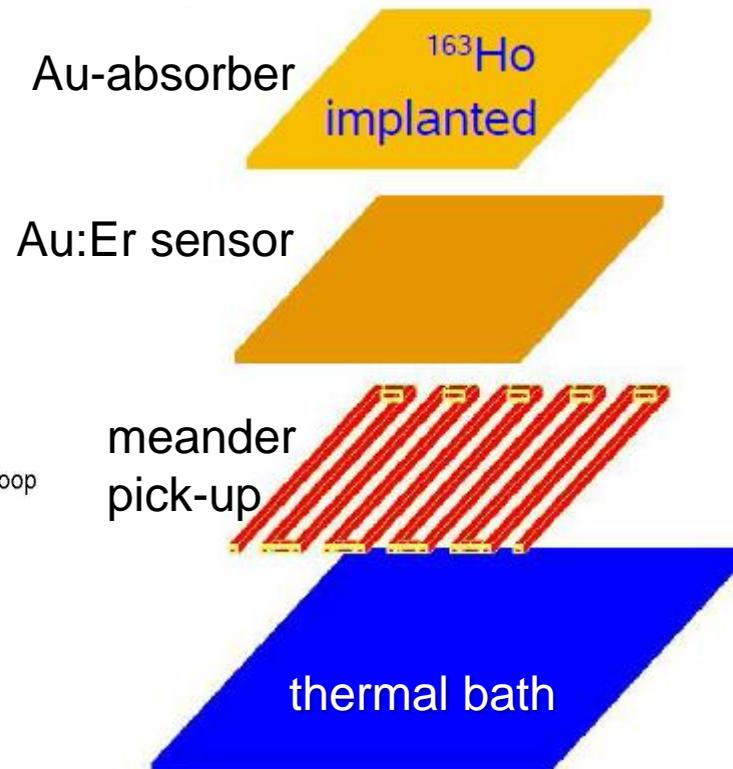
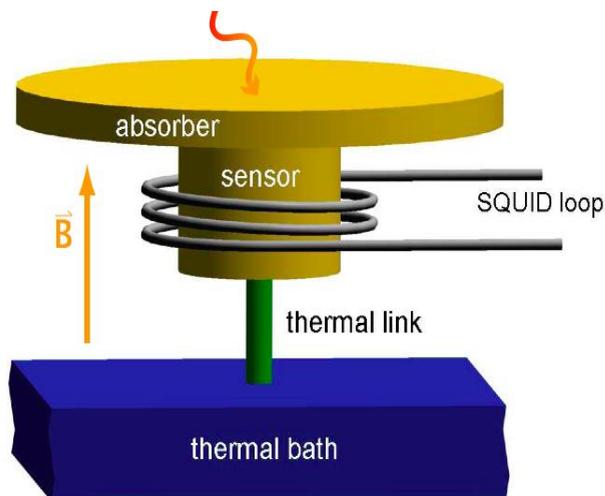
^{163}Ho experiments

■ micro-fabrication of MMC detectors

- absorber: ^{163}Ho ion implantation at CERN – ISOLDE



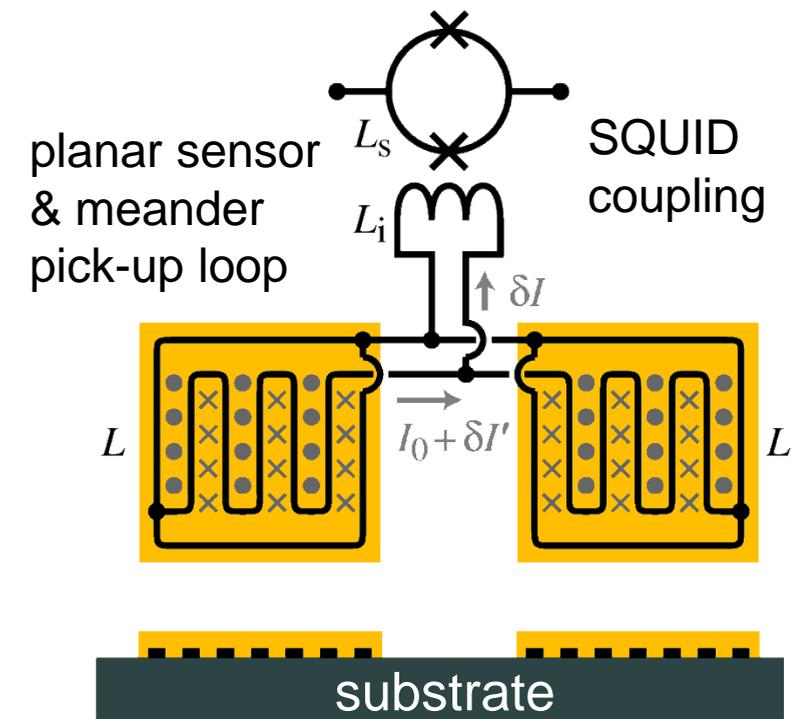
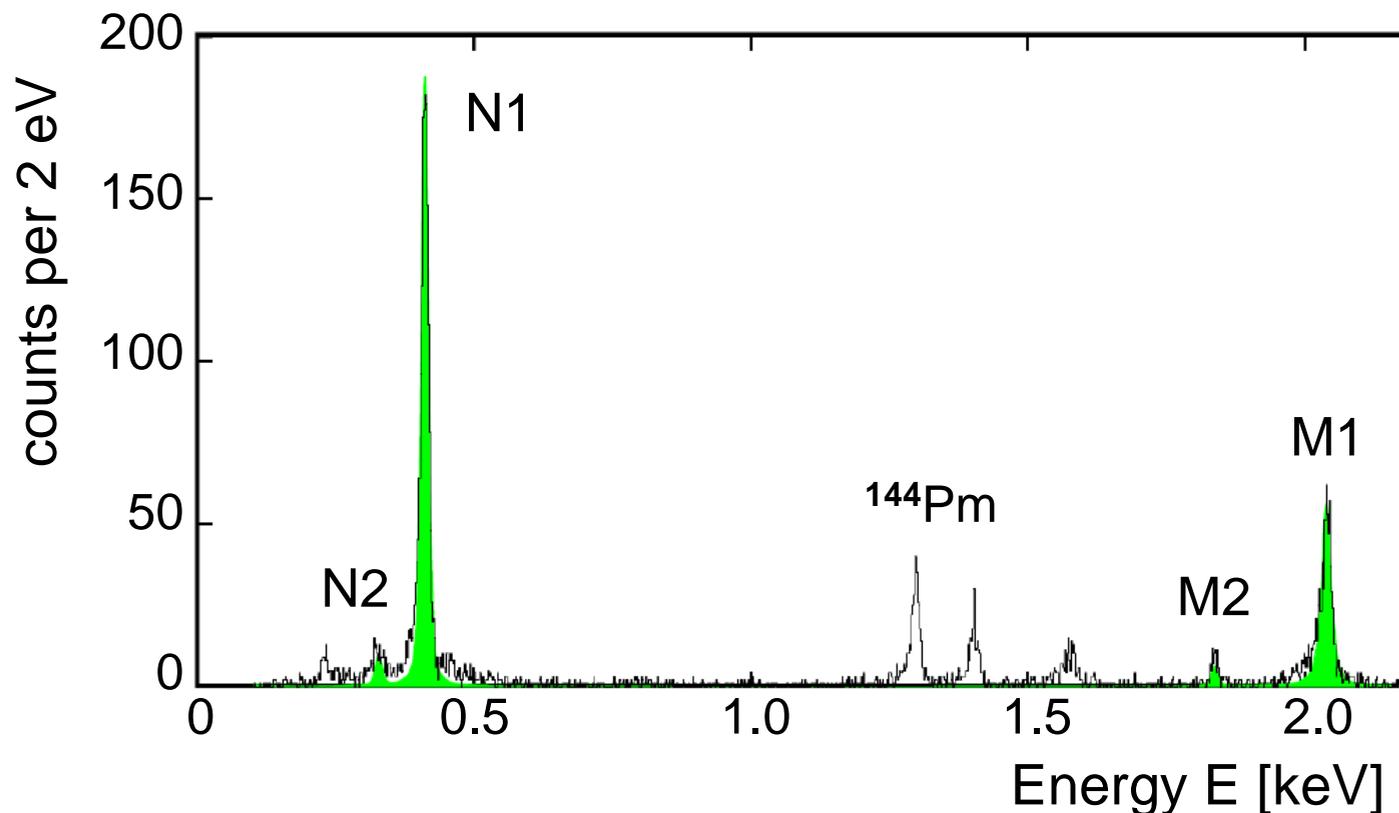
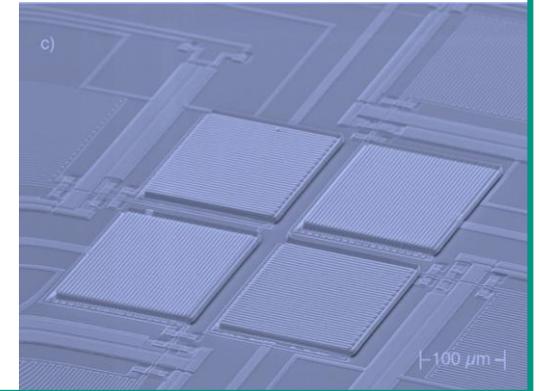
early design:



^{163}Ho experiments

■ micro-fabrication of MMC detectors

- absorber: ^{163}Ho ion implantation at CERN – ISOLDE
- test results: magnetic & thermal properties as expected
 $\Delta E_{\text{FWHM}} = 11.8 \text{ eV}$, latest designs $\Delta E_{\text{FWHM}} < 3 \text{ eV}$
fast rise-time: $\tau_R = 90 \text{ ns}$
- extracted end-point value $Q_{\text{EC}} = (2.8 \pm 0.1) \text{ keV}$



Conclusions

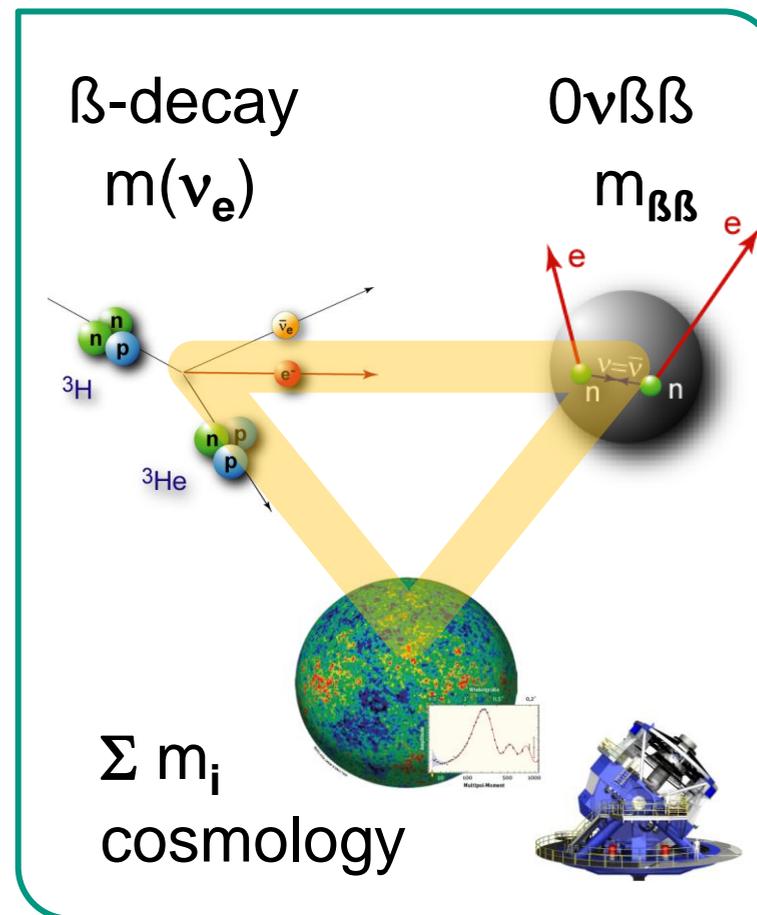
- studies of β -decay/EC kinematics – the only model-independent method to determine absolute ν -mass scale
- KATRIN will probe cosmologically relevant scale down to $m(\nu_e) = 200$ meV studies for phase II to go beyond this value



- calorimetric experiments (MARE, ECHO) will provide an independent check advantage: scalable approach, still a lot of R&D work for $m(\nu_e) = 200$ meV new ideas: Project 8 and others
- KATRIN next steps:
 - electromagnetic tests of main spectrometer
 - commissioning of CPS (end of 2013) and WGTS (end of 2014)

Conclusions

the complete picture of neutrino masses is obtained only by comparing high-precision results from direct neutrino mass searches with $0\nu\beta\beta$ experiments and cosmological studies



backup slides

history of tritium β -decay experiments

ITEP

T_2 in complex molecule
magn. spectrometer (Tret'yakov)

m_ν

17-40 eV

Los Alamos

gaseous T_2 - source
magn. spectrometer (Tret'yakov)

< 9.3 eV

Tokio

T - source
magn. spectrometer (Tret'yakov)

< 13.1 eV

Livermore

gaseous T_2 - source
magn. spectrometer (Tret'yakov)

< 7.0 eV

Zürich

T_2 - source impl. on carrier
magn. spectrometer (Tret'yakov)

< 11.7 eV

Troitsk (1994-today)

gaseous T_2 - source
electrostat. spectrometer

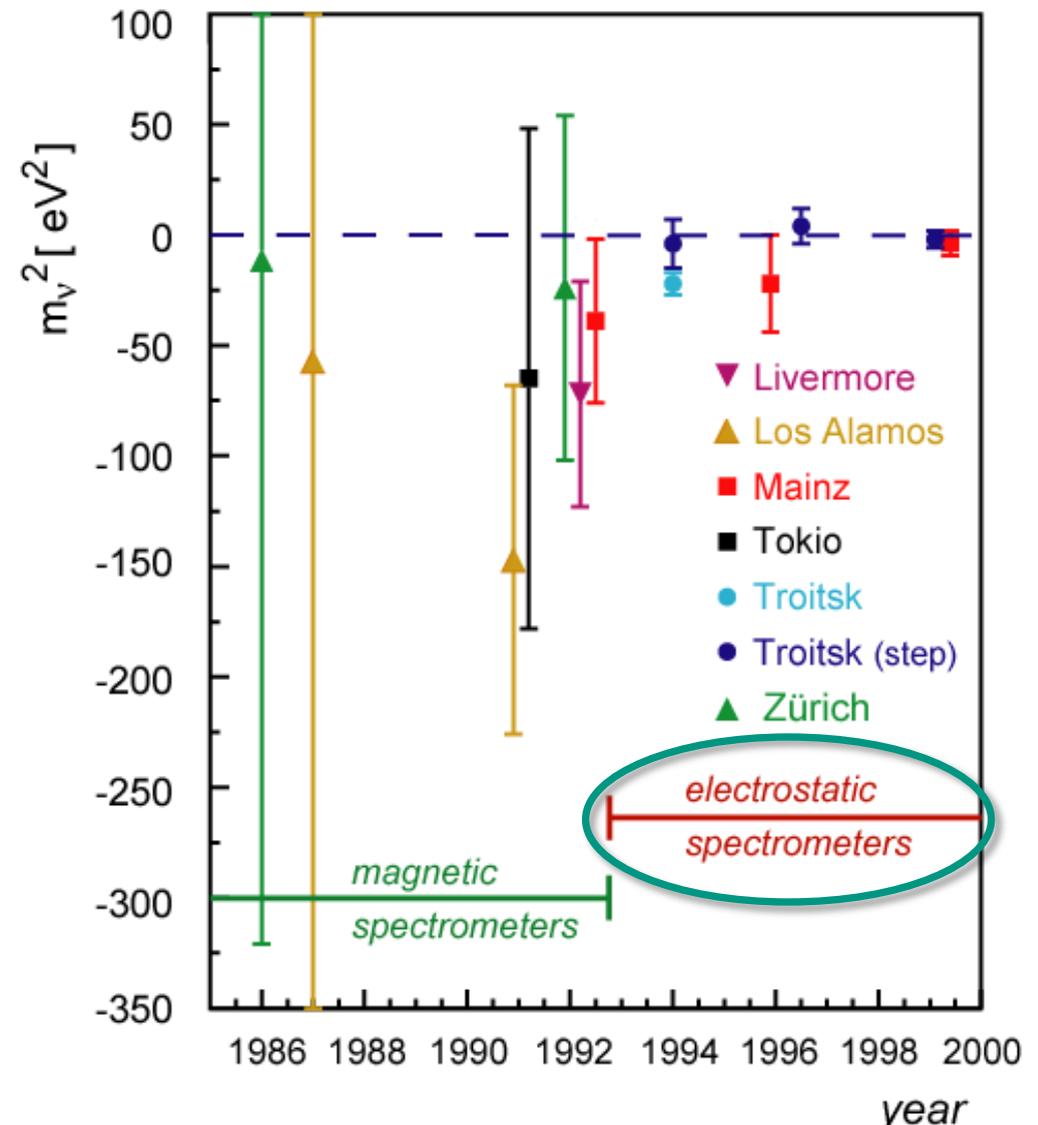
< 2.05 eV

Mainz (1994-today)

frozen T_2 - source
electrostat. spectrometer

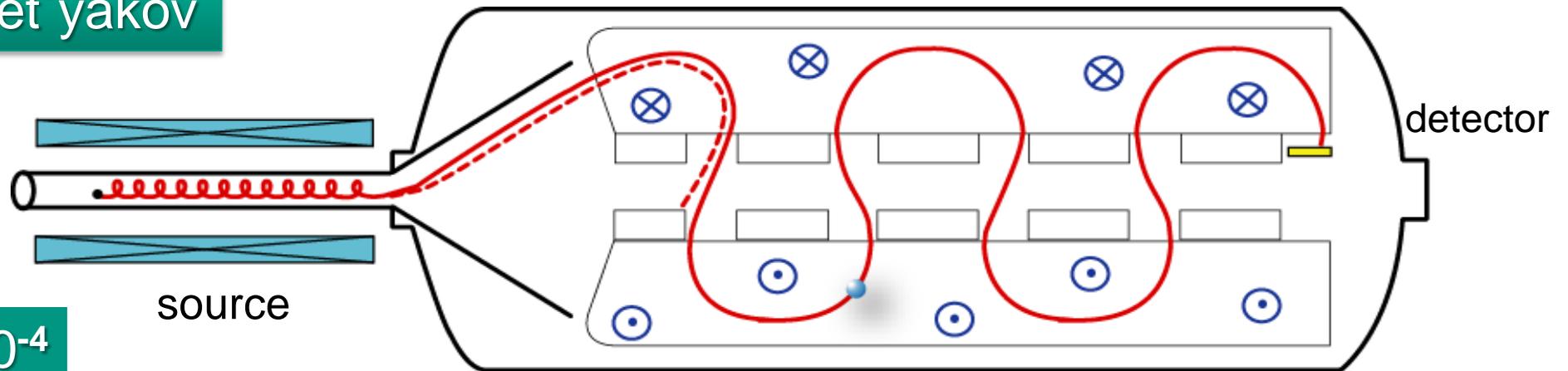
< 2.3 eV

experimental results for m_ν^2



techniques in β -spectroscopy

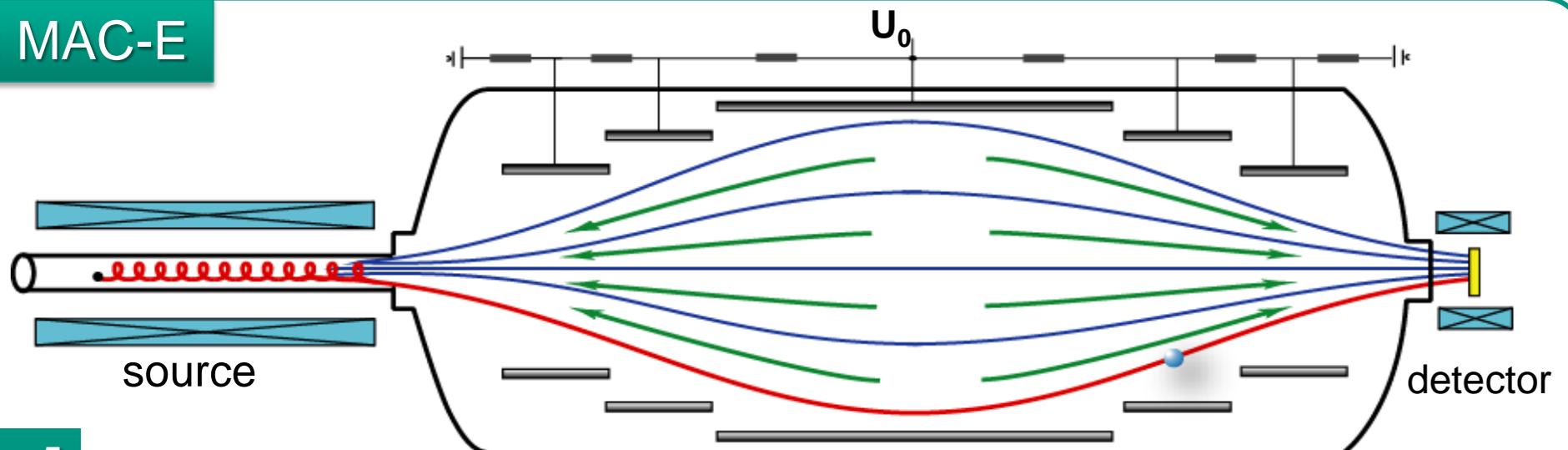
magnetic: Tret'yakov



$$\Delta p/p = 7 \times 10^{-4}$$
$$\delta\Omega = 10^{-3}$$

principle: analysis of electron *momentum* by magnetic field

electrostatic: MAC-E



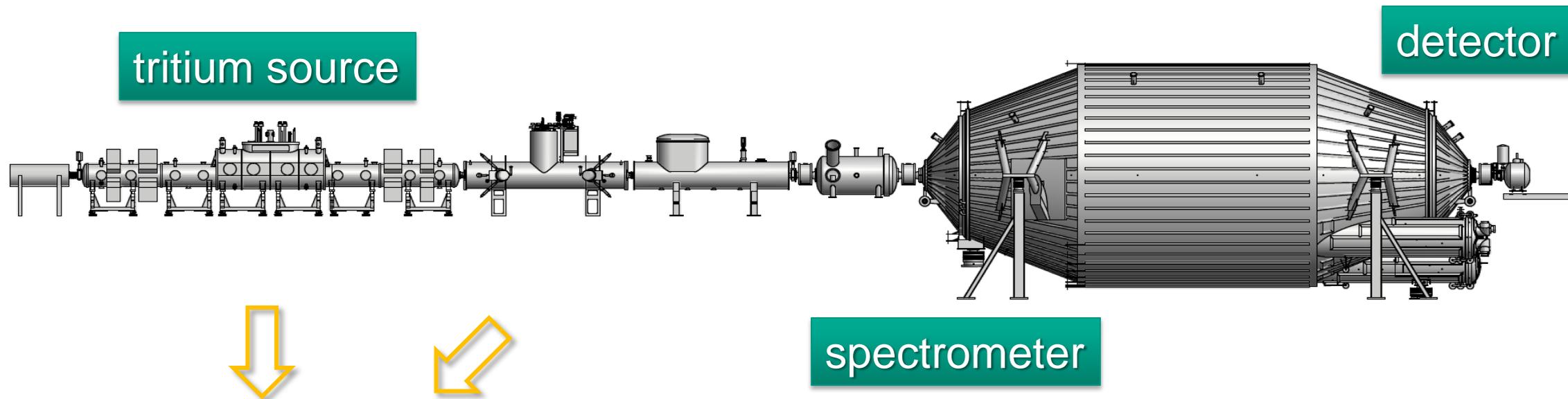
$$\Delta E/E = 1 \times 10^{-5}$$
$$\delta\Omega \sim 2\pi$$

principle: analysis of electron *energy* by electrostatic retardation

background sources

- β -decay electrons from areas with different electrostatic potentials
- β -decays from T^-/T^+ ions, clusters

- X-rays, gammas & electrons from natural radioactivity or scattered β -decay electrons (beam-halo)



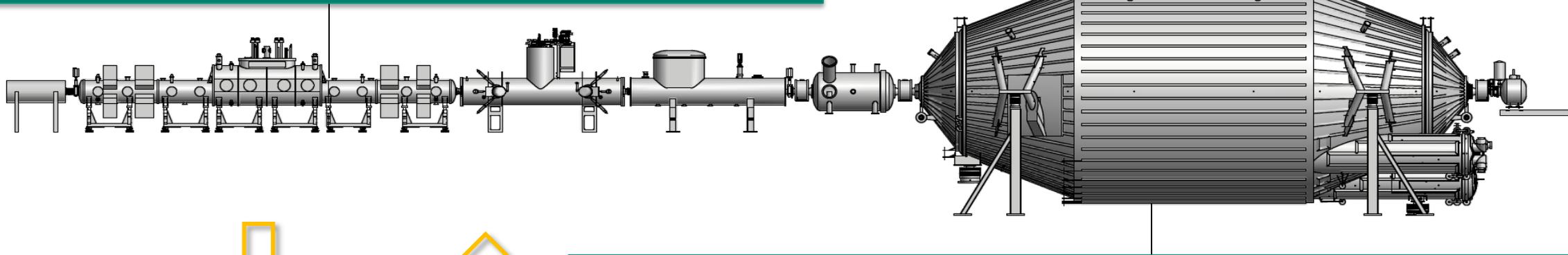
total bg - rate
 $R_{bg} < 10^{-2}$ cps

- stored β -electrons (Penning traps, scattering)
- trapped electrons from $^{219,220}\text{Rn}$ α -decays / T_2 - β -decays in central spectrometer area
- cosmic μ -induced secondary electrons

systematic effects – I

- precise measurement of experimental response function
- special unfolding technique to derive cross section σ_{inel} at $E = 18.6 \text{ keV}$
- narrow analysis window around E_0 to maximise no-loss electron fraction

inelastic scattering of electrons in WGTS



fluctuations of spectrometer retarding HV potential

$$\Delta m_\nu^2 = -2\sigma_{\text{sys}}^2$$

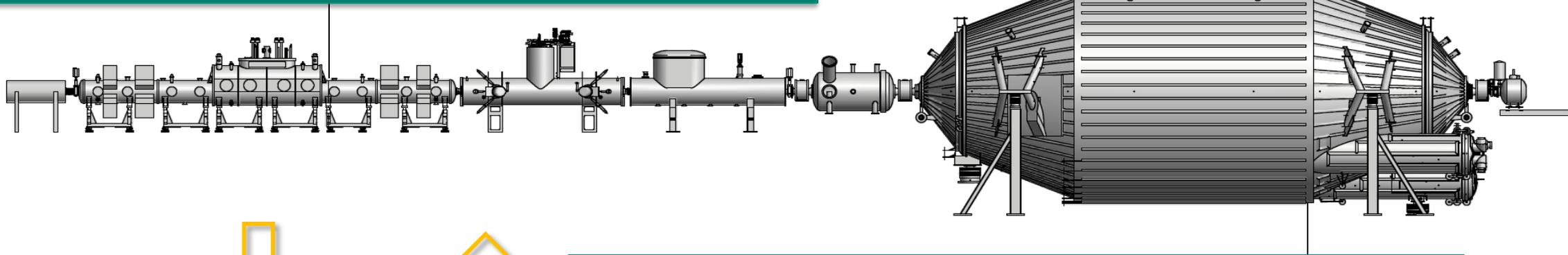
general relation for
tritium- β -decay

- stabilisation of HV: precision HV units
- monitoring of HV:
 1. ultra-stable HV-divider & precise digital voltmeter
 2. Rb/Kr-source & separate monitor spectrometer

systematic effects – II

- stabilisation of ρ_d : injection pressure, beam tube $T = 27\text{K}$, Laser-Raman
- cyclic scans of ρ_d : high-intensity electron gun
- monitoring of ρ_d : rear detector/system, forward beam monitor

fluctuations of WGTS column density ρ_d



hysteresis effects from HV and ρ_d scanning

- minimisation of trapped particles from scanning of column density ρ_d
- optimised scanning strategy
- randomized steps of HV

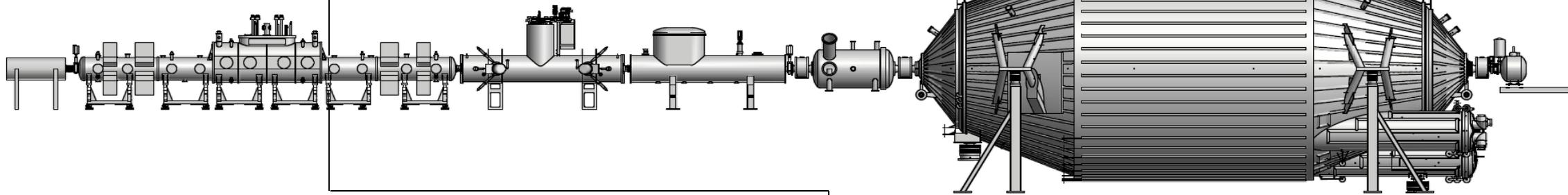
$$\Delta m_\nu^2 = -2\sigma_{\text{sys}}^2$$

general relation for
tritium- β -decay

systematic effects – III

- stabilisation of plasma: neutralise ions ($\Phi < 20$ mV), injection of meV- e^-
- cyclic scans of plasma: high-intensity electron gun runs at different pd
- monitoring of plasma: rear detector/system

plasma fluctuations in WGTS (charging)



final states (molecular excitations in $^3\text{H}^3\text{He}$)

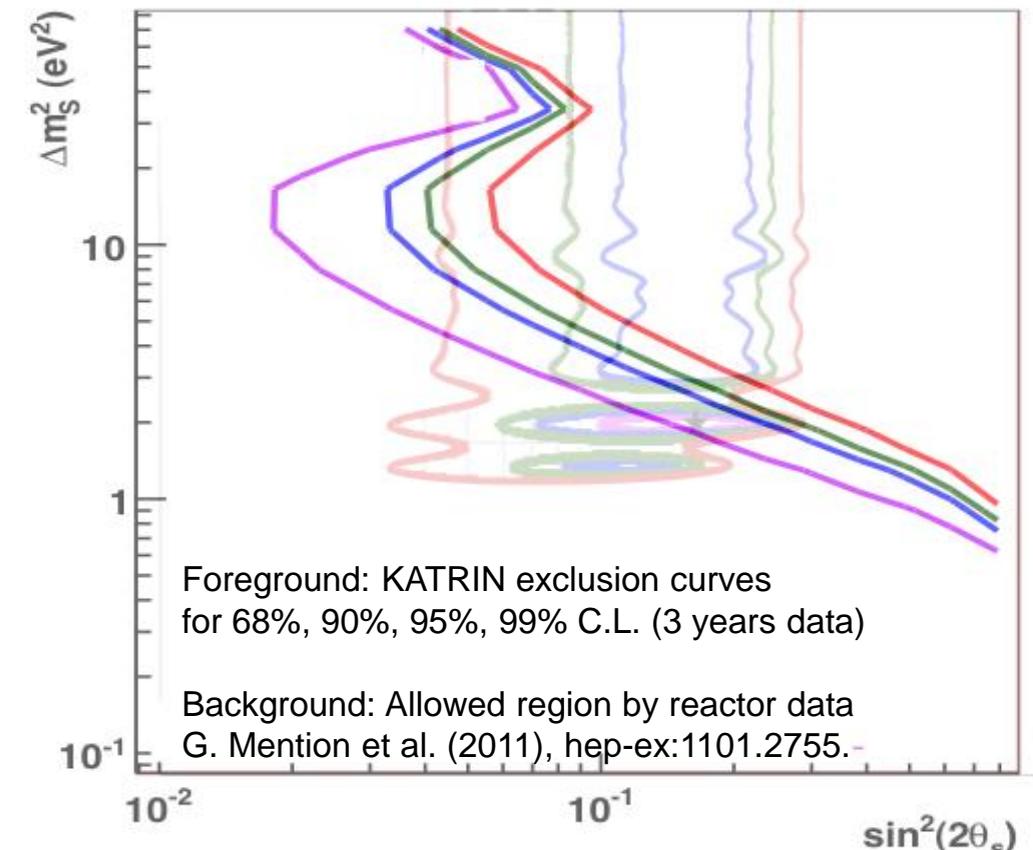
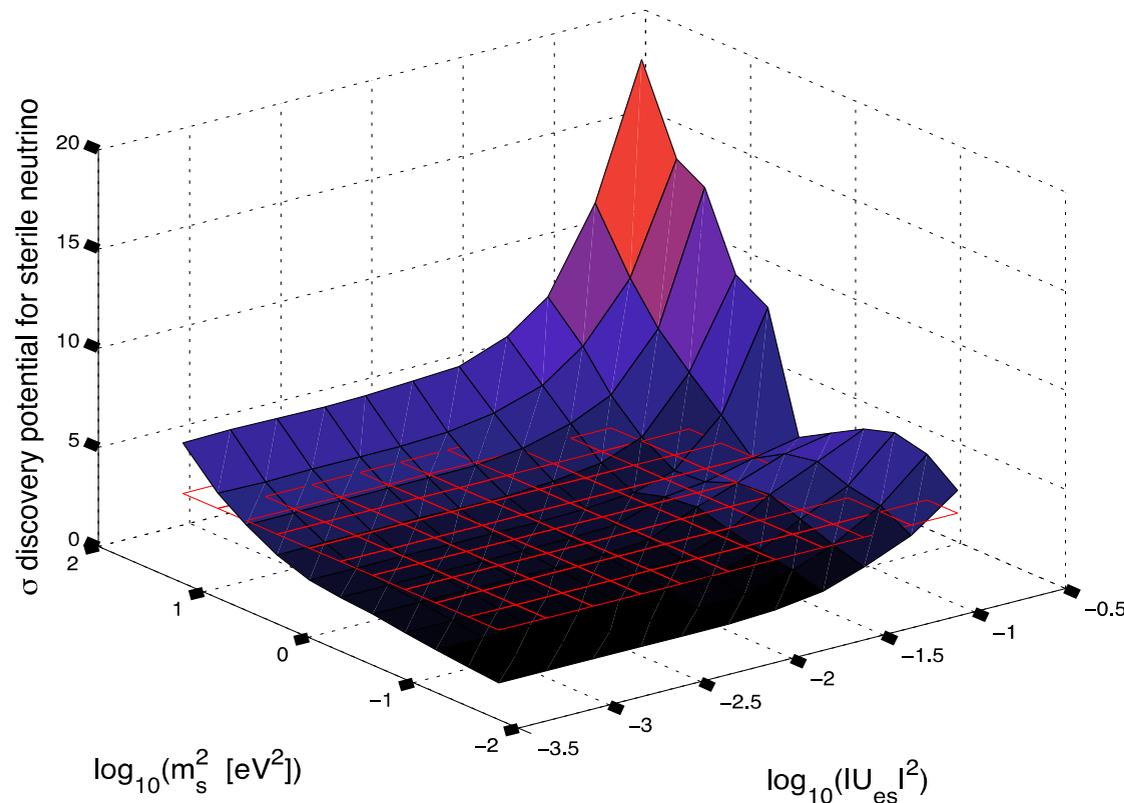
- reliable quantum-chemical calculations for all isotopologues available (up to continuum)
- very good agreement among the different calculations, sum rules correct

$$\Delta m_\nu^2 = -2\sigma_{\text{sys}}^2$$

general relation for
tritium- β -decay

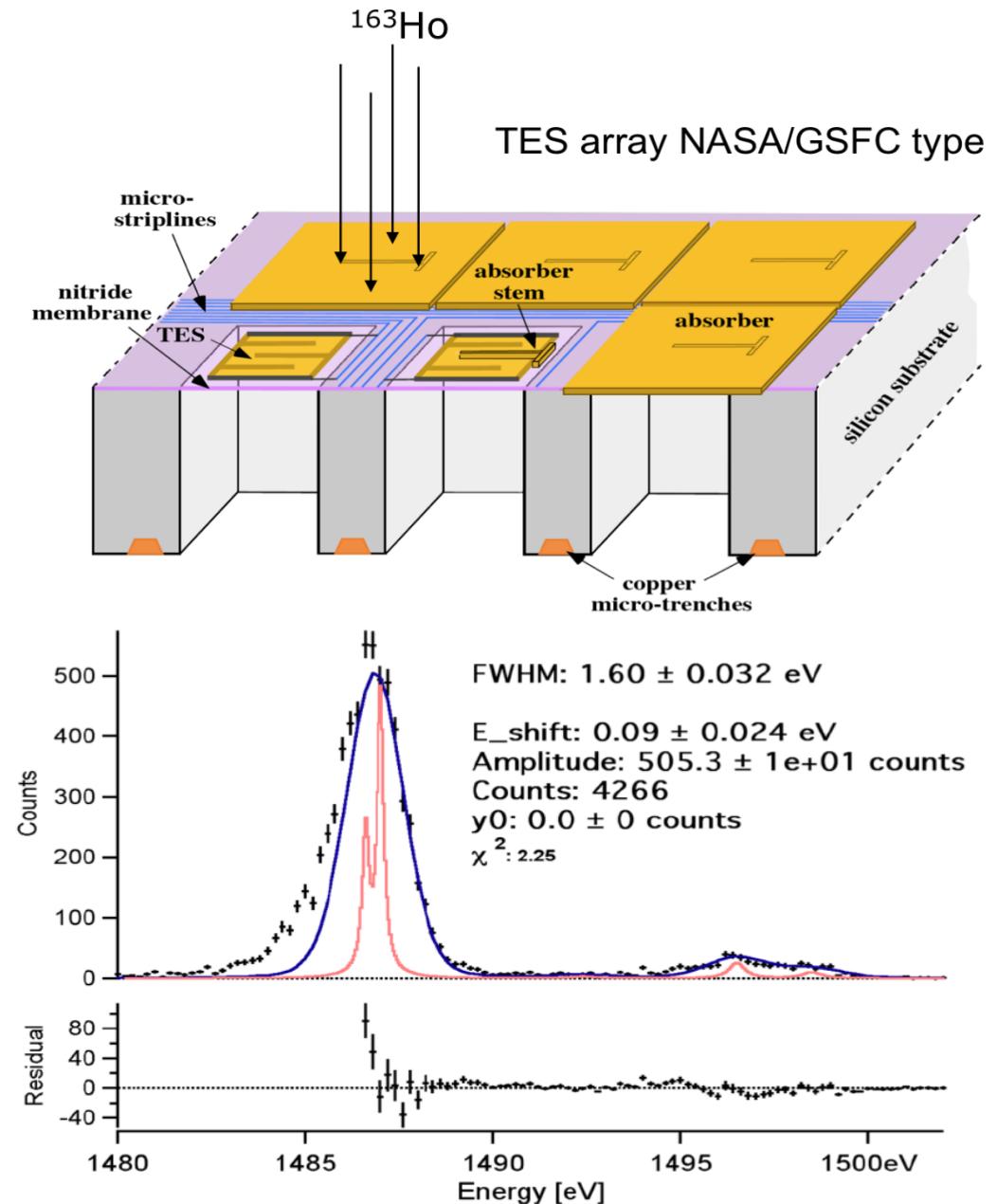
sterile neutrinos: (sub-)eV scale

J. A. Formaggio, J. Barret, arXiv:1105.1326



- Single β decay experiments (MARE, Project8, KATRIN) can detect a sterile neutrinos signature.
- KATRIN: for $m_{\text{sterile}} > 3.2 \text{ eV}$ a 3σ detection could be made for any mixing angle.
- Single β decay offers a complementary input, independent of CP phases.

- Use TES arrays with 32x32 pixels
- Resolution 1 – 2 eV FWHM
- Need 5 TES arrays for 0.2 eV/c² sensitivity
 - Makes **5000 pixels** (vs. 50000 for Re)
- ¹⁶³Ho production has been demonstrated
- Embedding process is under investigation
- Readout developed and tested as prototype
- Next: TDR for funding



F. Gatti, ISAPP 2011 and
J Low Temp Phys (2008) 151